

# Recent developments in the physics of the light quarks

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Confinement8

Mainz, September 3, 2008

## In memoriam Jan Stern



29. 6. 1942 – 2. 7. 2008

## Energy gap of QCD

- *Main characteristic of QCD at low energies: energy gap is very small,  $M_\pi \simeq 140 \text{ MeV}$*
- *Nambu found out why this is so: the strong interaction has a hidden, approximate symmetry*  
*Nambu 1960*
- *Gap is determined by the masses of the two lightest quarks*  
*Gell-Mann, Oakes & Renner 1968*

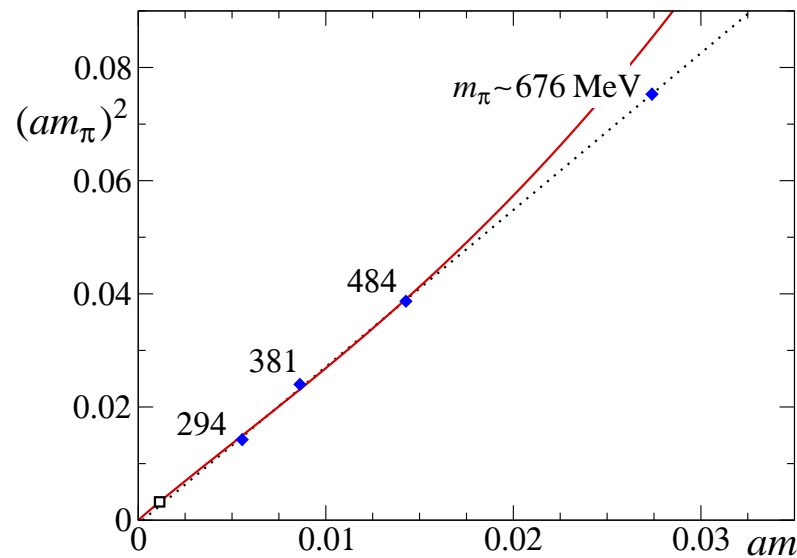
$$M_\pi^2 = (m_u + m_d) \times |\langle 0 | \bar{u}u | 0 \rangle| \times \frac{1}{F_\pi^2}$$

$\uparrow$   $\uparrow$

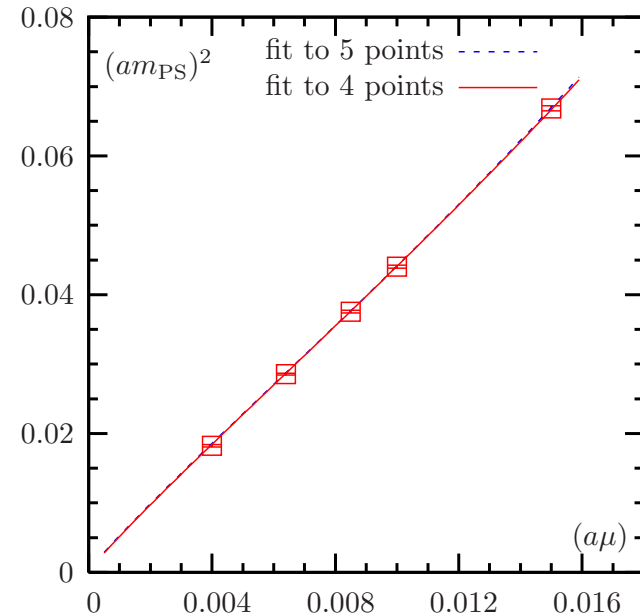
*explicit* *spontaneous*

# Checking the GMOR formula on the lattice

- Can determine  $M_\pi$  as a function of  $m_u = m_d = m$



Lüscher, Lattice conference 2005



ETM collaboration, hep-lat/0701012

- No quenching, quark masses are sufficiently light
- ⇒ Legitimate to use  $\chi$ PT for the extrapolation to the physical values of  $m_u, m_d$

## Expansion of $M_\pi^2$ in powers of the quark masses

- Gell-Mann-Oakes-Renner formula represents leading term of the chiral perturbation series
- Disregard isospin breaking, set  $m_u = m_d = m$
- Expand in powers of  $m$ , keeping  $m_s$  fixed
- At NLO, the expansion contains a logarithm

*Langacker & Pagels 1973, Gasser and Zepeda 1980, Gasser 1981*

$$M_\pi^2 = M^2 \left\{ 1 + \frac{M^2}{32\pi^2 F_\pi^2} \ln \frac{M^2}{\Lambda_3^2} + O(M^4) \right\}$$

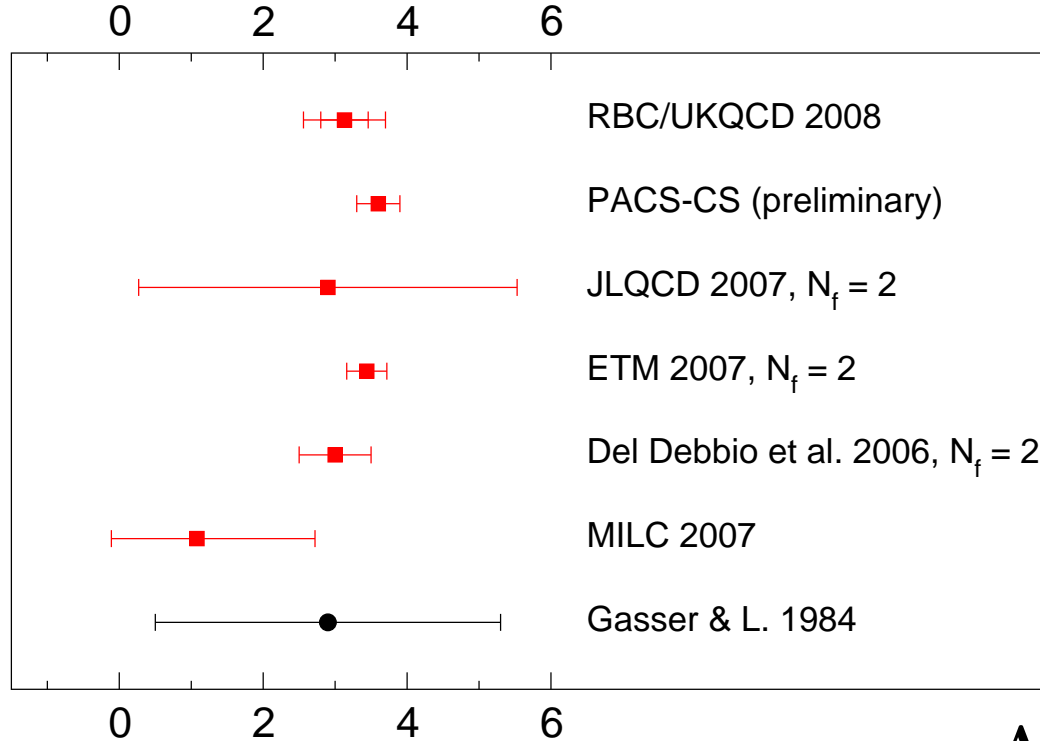
$$M^2 \equiv 2Bm$$

- Coefficient is determined by the pion decay constant  
Symmetry does not determine the scale  $\Lambda_3$
- Crude result, based on  $SU(3) \times SU(3)$ :

$$0.2 \text{ GeV} \lesssim \Lambda_3 \lesssim 2 \text{ GeV}$$

*Gasser & L. 1984*

# Lattice allows more accurate determination of $\Lambda_3$



Horizontal axis shows the value of  $\bar{\ell}_3 \equiv \ln \frac{\Lambda_3^2}{M_\pi^2}$

→ talk by Silvia Necco

Range for  $\Lambda_3$  obtained in 1984 corresponds to  $\bar{\ell}_3 = 2.9 \pm 2.4$

Result of RBC/UKQCD 2008:  $\bar{\ell}_3 = 3.13 \pm 0.33 \pm 0.24$   
*stat* *syst*

## Expansion of $F_\pi$ in powers of the quark mass

- Also contains a logarithm at NLO:

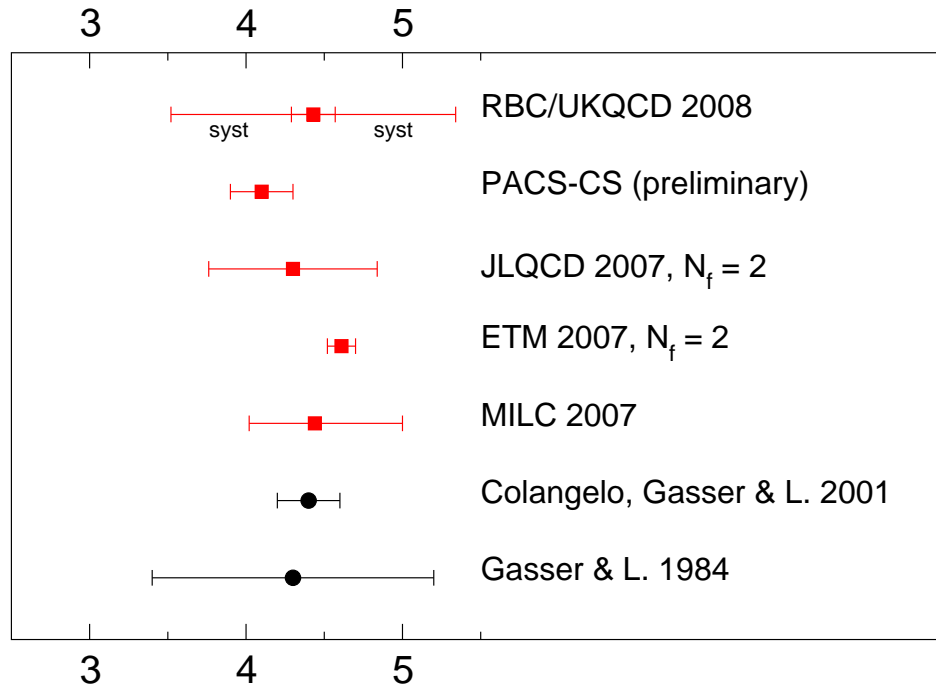
$$F_\pi = F \left\{ 1 - \frac{M^2}{16\pi^2 F^2} \ln \frac{M^2}{\Lambda_4^2} + O(M^4) \right\}$$

$$M_\pi^2 = M^2 \left\{ 1 + \frac{M^2}{32\pi^2 F^2} \ln \frac{M^2}{\Lambda_3^2} + O(M^4) \right\}$$

$F$  is value of pion decay constant in limit  $m_u, m_d \rightarrow 0$

- Structure is the same, coefficients and scale of logarithm are different
- Quark mass dependence of  $F_\pi$  can also be measured on the lattice  
⇒ measurement of  $\Lambda_4$
- Alternative method: determine the scalar form factor of the pion, radius  $\langle r^2 \rangle_s \Leftrightarrow \bar{\ell}_4$

## Lattice results for $\Lambda_4$



$$\bar{\ell}_4 = \ln \frac{\Lambda_4^2}{M_\pi^2}$$

→ talk by Silvia Necco

- Lattice results beautifully confirm the prediction for the sensitivity of  $F_\pi$  to  $m_u, m_d$ :

$$\frac{F_\pi}{F} = 1.072 \pm 0.007$$

Colangelo and Dürr 2004



## $\pi\pi$ interaction

- Sharp predictions for S-wave  $\pi\pi$  scattering lengths  
Uncertainty in prediction is dominated by uncertainty in  $\ell_3, \ell_4$   
⇒ Can make use of the lattice results for these

→ talk by Gerhard Ecker

- Experimental tests

- Production experiments

$$\pi N \rightarrow \pi\pi N, \psi \rightarrow \pi\pi\omega \dots$$

Problem: pions are not produced in vacuo

⇒ Extraction of  $\pi\pi$  scattering amplitude not simple

⇒ Accuracy rather limited

- Precision measurements

$$K^\pm \rightarrow \pi^+\pi^- e^\pm \nu \quad \text{CERN-Saclay, E865, NA48/2}$$

$$K^\pm \rightarrow \pi^0\pi^0\pi^\pm \quad \text{cusp near threshold} \quad \text{NA48/2}$$

$$\pi^+\pi^- \text{ atoms} \quad \text{DIRAC}$$

→ talk by Brigitte Bloch-Devaux

## Conclusions for $SU(2) \times SU(2)$

- *Expansion in powers of  $m_u, m_d$  yields a very accurate low energy representation of QCD*
- *Lattice results confirm the GMOR relation*
- ⇒  *$M_\pi$  is dominated by the contribution from the quark condensate*
- ⇒ *Energy gap of QCD is understood very well*
- *Lattice approach allows an accurate measurement of the effective coupling constant  $\ell_3$  already now*
- *Even for  $\ell_4$ , the lattice starts becoming competitive with dispersion theory*
- *Precision experiments test the theory to high accuracy*

## Expansion in powers of $m_s$

- *Theoretical reasoning:*
  - *The eightfold way is an approximate symmetry*
  - *The only coherent way to understand this within QCD:  
 $m_s - m_d, m_d - m_u$  can be treated as perturbations*
  - *Since  $m_u, m_d \ll m_s$* 
    - $\Rightarrow$   *$m_s$  can be treated as a perturbation*
    - $\Rightarrow$  *Expect expansion in powers of  $m_s$  to work,  
but convergence to be comparatively slow*
- *In principle, this can now also be checked on the lattice*

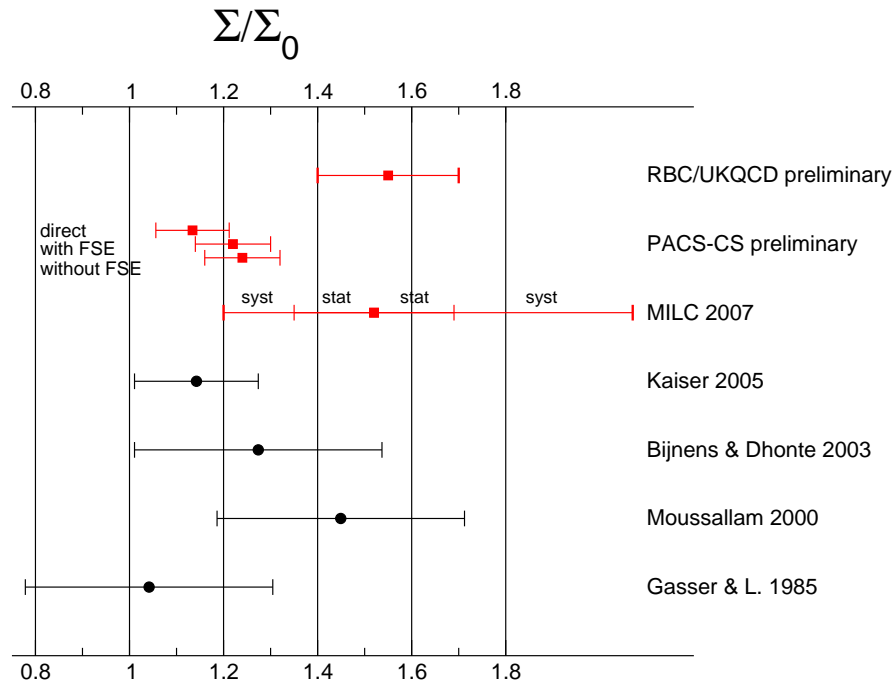
## Paramagnetic inequalities

- Consider the limit  $m_u, m_d \rightarrow 0$ ,  $m_s$  physical
  - $F$  is value of  $F_\pi$  in this limit
  - $\Sigma$  is value of  $|\langle 0 | \bar{u}u | 0 \rangle$  in this limit
  - $B$  is value of  $M_\pi^2 / (m_u + m_d)$  in this limit
- Exact relation:  $\Sigma = F^2 B$
- $F_0, B_0, \Sigma_0$ : values for  $m_u = m_d = m_s = 0$
- Inequalities set up by Jan Stern and collaborators:  
both  $F$  and  $\Sigma$  should decrease if  $m_s$  is taken smaller

$$F > F_0, \quad \Sigma > \Sigma_0$$

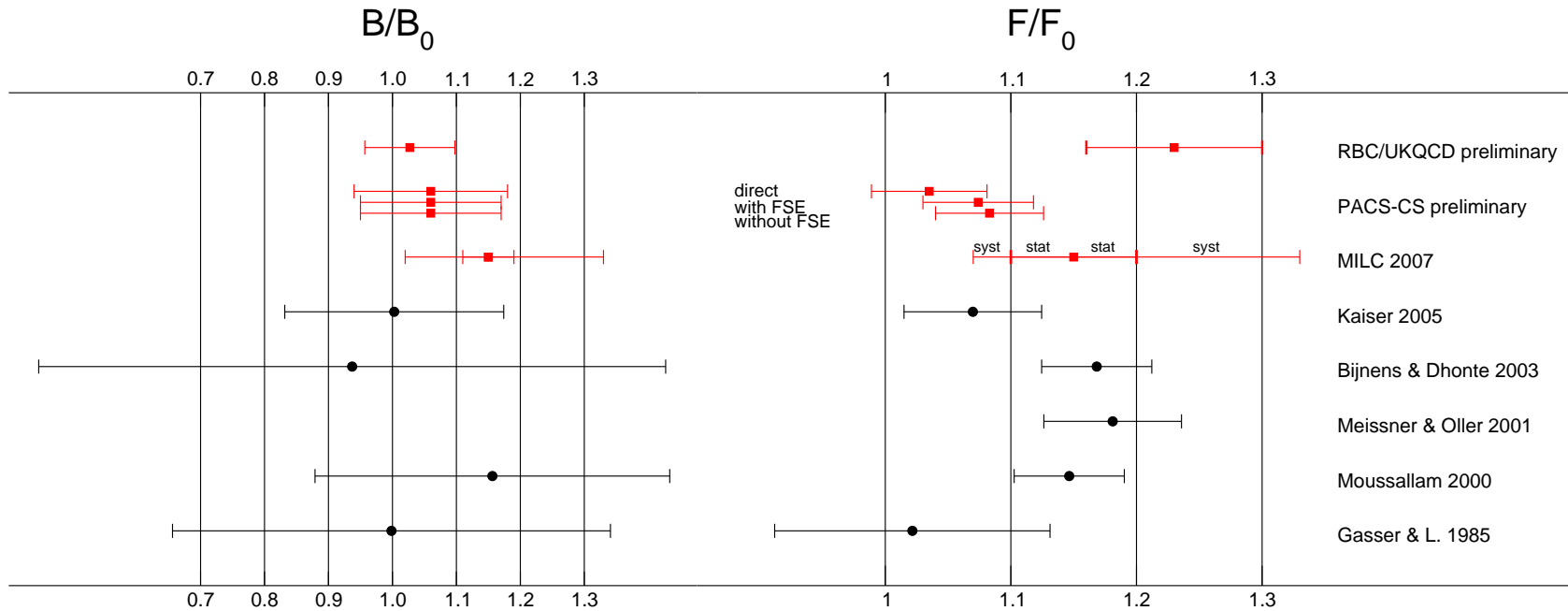
- For  $N_c \rightarrow \infty$ :  $F, \Sigma$  and  $B$  become independent of  $m_s$   
 $\Rightarrow (F/F_0 - 1), (\Sigma/\Sigma_0 - 1), (B/B_0 - 1)$  violate the OZI rule

# Condensate



- Central values of RBC/UKQCD and PACS-CS for  $\Sigma/\Sigma_0$  lead to qualitatively different conclusions concerning OZI-violations
- ⇒ Discrepancy indicates large systematic errors
- The lattice results confirm the parametric inequalities, but do not yet allow to draw conclusions about the size of the OZI-violations

# Results for $B$ , $F$



● Results for  $B$  are coherent, indicate small OZI-violations in  $B$

⇒  $F$  is the crucial factor in  $\Sigma = F^2 B$

## Conclusion for validity of Zweig rule ?

- *The available lattice data allow for very juicy OZI-violations, but are also consistent with  $B/B_0 \simeq F/F_0 \simeq \Sigma/\Sigma_0 \simeq 1$*
- *The central value of RBC/UKQCD for  $F/F_0$  is 1.23. If this result were confirmed within small uncertainties, we would be faced with a qualitative puzzle:*
  - *$F_\pi$  is the pion wave function at the origin*
  - *$F_K$  is larger because one of the two valence quarks is heavier  
→ moves more slowly → wave function more narrow  
→ higher at the origin:  $F_K/F_\pi \simeq 1.19$*
  - *$F/F_0 = 1.23$  indicates that the wave function is more sensitive to the mass of the sea quarks than to the mass of the valence quarks ... very strange → extraordinarily interesting*

## Two examples where $\chi PT$ appears to fail

First example:

●  $K_{\ell 3}$  decay: value of  $f_+^{K^0 \pi^-}(0)$

●  $V_{ud}$  from nuclear  $\beta$  decay + CKM unitarity +  $K_{\ell 3}$  decay rate

$$\Rightarrow f_+^{K^0 \pi^-}(0) = 0.9594 \pm 0.0049$$

● Form factor known to NNLO.

*Post & Schilcher, Bijens & Talavera*

*Result obtained with resonance estimates for the effective coupling constants:*

$$\Rightarrow f_+^{K^0 \pi^-}(0) = 0.986 \pm 0.007_{1/N_c} \pm 0.002_{M_S, M_P}.$$

*Cirigliano et al., Kastner & Neufeld*

→ talk by Gerhard Ecker



## Two examples where $\chi PT$ appears to fail

Second example:

- $K \rightarrow \pi\pi$  decay: value of  $\delta_0^0 - \delta_0^2$  at  $s = M_K^2$ 
  - $\pi\pi$  phase shifts accurately known from dispersion theory  
 $\delta_0^0 - \delta_0^2 = 47.5^\circ \pm 1.5^\circ$  *Colangelo et al.*
  - In the determination from  $K \rightarrow \pi\pi$  via Watson theorem, isospin breaking is enhanced because of the  $\Delta I = \frac{1}{2}$  rule
  - Complete analysis to NLO *Cirigliano, Ecker, Neufeld & Pich*
  - Recent update of the numerics yields  
 $\delta_0^0 - \delta_0^2 = 57.5^\circ \pm 3.4^\circ$  *FlaviaNet Kaon Working Group*

## Problems with scalar meson dominance ?

- In both examples, resonance estimates are used  
In my opinion, this is the weakest point

→ talks by Gerhard Ecker and Toni Pich

- Lowest resonances do dominate the momentum dependence  
Vector meson dominance ✓

- Quark mass term in  $\mathcal{L}_{QCD}$  is a scalar operator

- Matrix elements dominated by scalar resonances ?

Can the dependence on the quark masses be accounted for with scalar meson dominance ?

To my knowledge, this assumption has not been tested

- Rapidly rising  $\pi\pi$  continuum (large chiral logs),  $\sigma$  makes a broad bump, narrow peak from  $f_0(980)$ , glueballs, etc.

- Failure of scalar meson dominance may be the origin of the problem, but more work is needed to resolve the two puzzles

more detailed discussion in Erice lectures, arXiv:0808.2825

## *Resonances: exact formula for mass and width*

- *Most of the pole positions quoted by the PDG are obtained by*
  - (a) parametrizing the data for real values of  $s$*
  - (b) continuing this parametrization analytically in  $s$*

⇒ *Result is sensitive to the parametrization used*
- *We found a model independent method:*
  - 1. Poles on second sheet are zeros on first sheet*
  - 2. The Roy equations are valid for complex values of  $s$ , in a limited region of the first sheet*

⇒ *Exact representation of the S-matrix elements in terms of observable quantities, valid for complex values of  $s$*

⇒ *Exact formula for the pole position*
  - 3. Can evaluate this formula to good precision and determine the pole position numerically*

## Formula for resonances with $I = \ell = 0$

$$\boxed{S_0^0(s) = 0} \quad \text{for } s = (M - \frac{i}{2}\Gamma)^2$$

$$S_0^0(s) = 1 + 2i\rho t_0^0(s), \quad \rho = \sqrt{1 - 4M_\pi^2/s}$$

$$t_0^0(s) = a + (s - 4M_\pi^2)b + \sum_{I,\ell} \int_{4M_\pi^2}^{\infty} ds' K_{0\ell}^{0I}(s, s') \text{Im}t_\ell^I(s')$$

- The subtraction constants are determined by  $a_0^0, a_0^2$ :

$$a = a_0^0, \quad b = (2a_0^0 - 5a_0^2)/(12M_\pi^2)$$

- The kernels are elementary functions, e.g.

$$K_{00}^{00}(s, s') = \underbrace{\frac{1}{\pi(s' - s)}}_{r.h.cut} + \underbrace{\frac{2 \ln\{(s + s' - 4M_\pi^2)/s'\}}{3\pi(s - 4M_\pi^2)} - \frac{5s' + 2s - 16M_\pi^2}{3\pi s'(s' - 4M_\pi^2)}}_{l.h.cut}$$

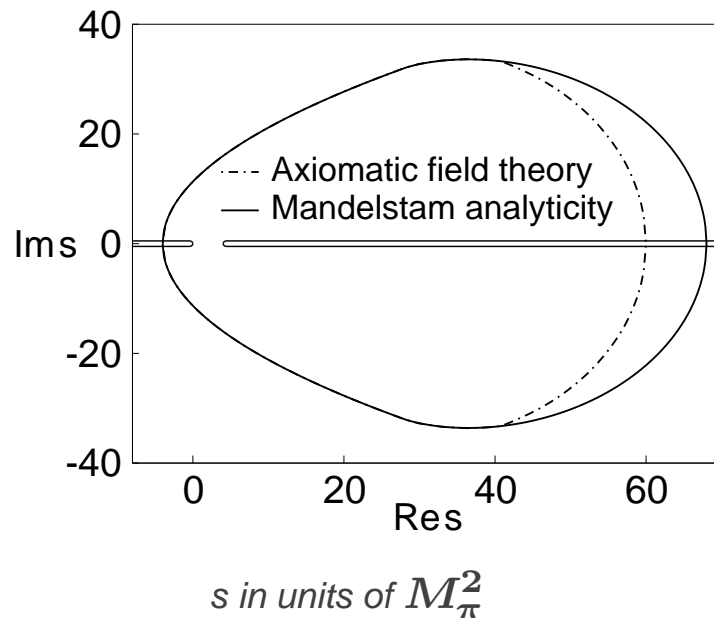
- Left hand cut is essential for convergence:

$$K_{00}^{00}(s, s') \sim 1/s'^3 \text{ for large } s'$$

## Domain of validity of the Roy equations

- Roy derived his equations for real energies in the interval  $-4M_\pi^2 < s < 60M_\pi^2$
- Equations are valid for complex  $s$  in a limited region of the first sheet

Caprini, Colangelo and L. 2006



- Proof is based on first principles, general quantum field theory

A. Martin, *Scattering Theory: Unitarity, Analyticity and Crossing*, Lecture Notes in Physics, vol. 3, 1969.

G. Mahoux, S. M. Roy and G. Wanders, *Nucl. Phys. B70* (1974) 297.

⇒ Exact representation for the  $S$ -matrix elements in this region do not need to parametrize the amplitude

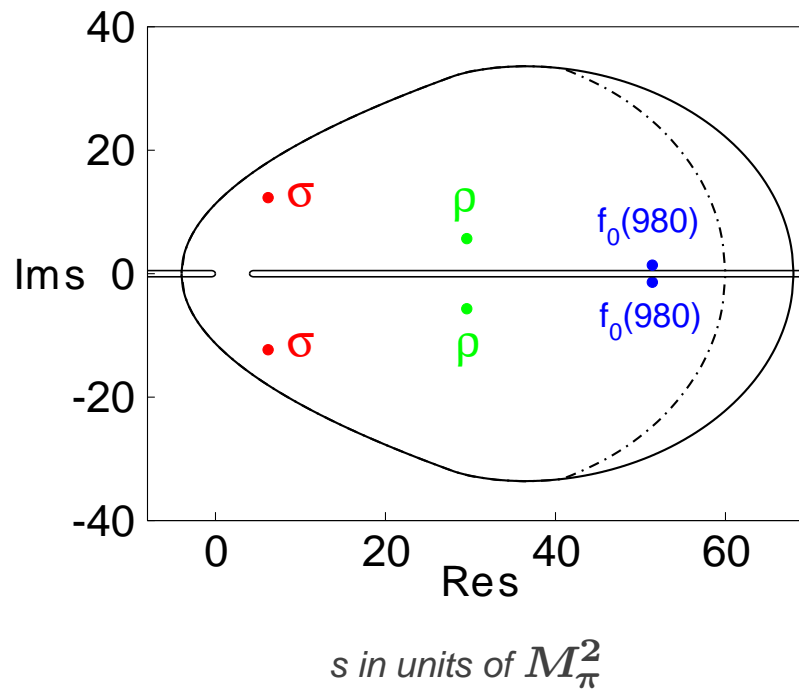
## Numerical result for resonances with vacuum quantum numbers

●  $S_0^0(s)$  has two pairs of zeros in the region where the formula holds

● For the central solution of the Roy equations, the zeros occur at

$$s = (6.2 \pm i 12.3) M_\pi^2 \quad \sigma$$

$$s = (51.4 \pm i 1.4) M_\pi^2 \quad f_0(980)$$



## Error analysis

- Formula is exact, evaluation is approximate
- Key point: can follow error propagation explicitly
- Focus on  $\sigma$ , split the formula into 3 pieces:

1. Contribution from  $\text{Im } t_0^0$  below  $K \bar{K}$  threshold
2. Subtraction terms
3. Higher energies and other partial waves

- Subtraction constants ( $a_0^0, a_0^2$ ) are known very accurately
- Higher energies barely contribute (2 subtractions)
- Crossed channel contributions are dominated by the  $\rho$ , excellent experimental information from  $e^+e^-$ ,  $\tau$
- Illustration: replace our representation for the higher energies and other partial waves by the one of KPY III :  
 $\sigma$  pole moves by  $-0.6 - i 1.2$  MeV

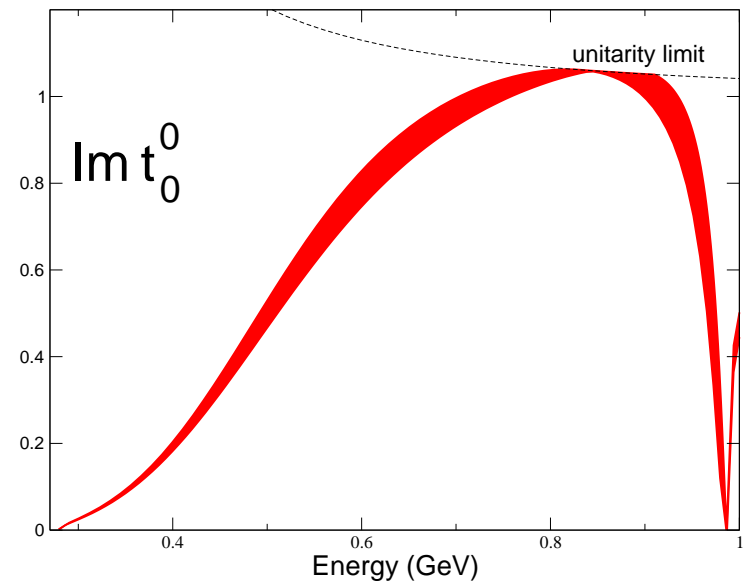
⇒ Uncertainty in result for  $\sigma$  pole is dominated by 1.

1. Contribution from  $\text{Im } t_0^0$  below  $K \bar{K}$  threshold
2. Subtraction terms
3. Higher energies and other partial waves

Unitarity and dip leave  
little room between  
800 MeV and  $2M_K$

Only the region below  
800 MeV really matters

There,  $S_0^0$  is nearly elastic

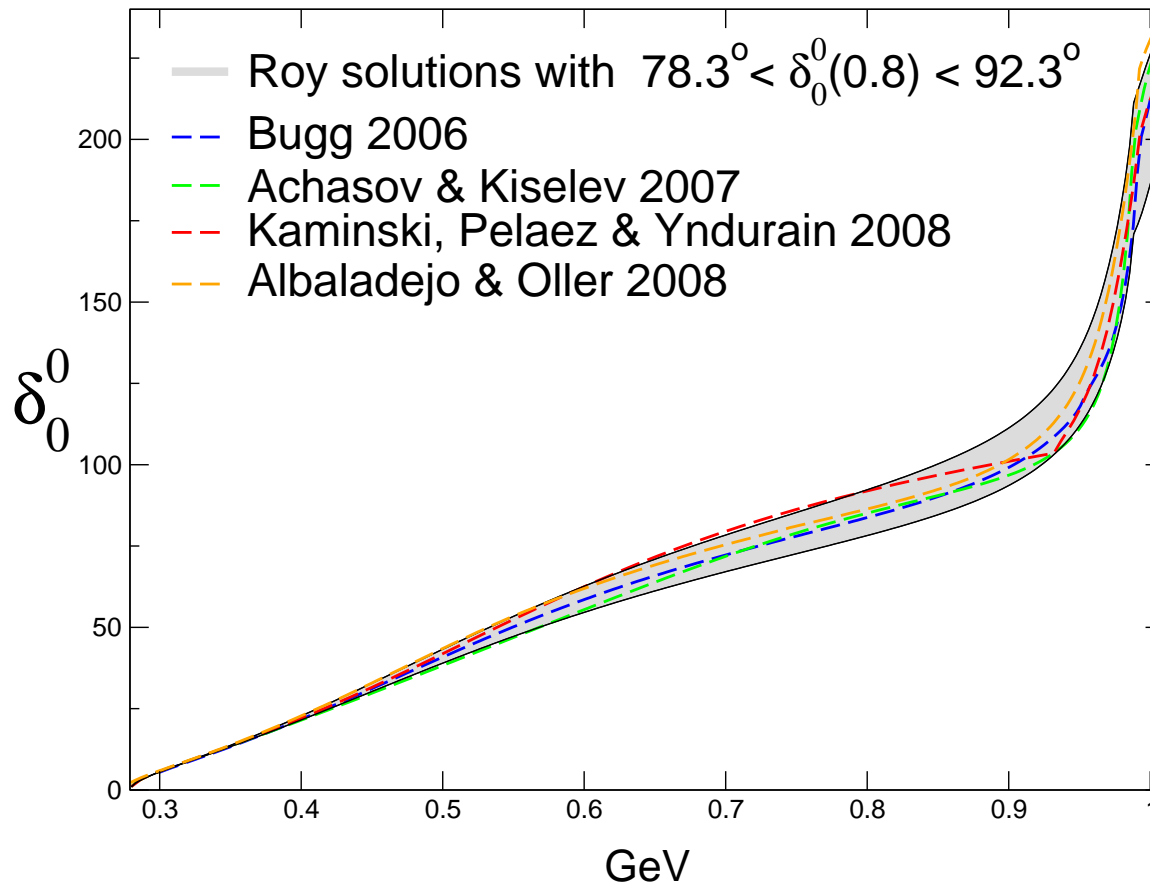


$$\text{Im } t_0^0 \simeq \sin^2 \delta_0^0 / \rho$$

⇒ Need to know the phase  $\delta_0^0$  below 800 MeV



# Behaviour of the phase below 800 MeV



*Bugg uses BES data for  $J/\psi \rightarrow \omega\pi\pi$*

*Achasov & Kiselev use KLOE data on  $\phi \rightarrow \gamma\pi\pi$*

*Kamiński, Peláez & Ynduráin*

*→ talk by Stefan Narison*

*Albaladejo & Oller: N/D fit to several data sets*

## Result for pole position

- Vary the Roy equation input within the experimental uncertainties, use the predictions for the subtraction constants and add errors up  
⇒ On the lower half of sheet II, the pole closest to the origin sits at

$$M_\sigma - \frac{i}{2}\Gamma_\sigma = 441^{+16}_{-8} - i 272^{+9}_{-13} \text{ MeV}$$

- Do not need to rely on the solution of the Roy equations:
  - Replace the central Roy solution below  $2M_K$  by the phase representation of Bugg 2006 ⇒ pole moves to  
 $444 - i 267 \text{ MeV}$
  - Ditto with Achasov & Kiselev 2007:  
 $438 - i 274 \text{ MeV}$
  - Ditto with Kamiński, Peláez & Ynduráin 2008:  
 $458 - i 253 \text{ MeV}$
  - Ditto with Albaladejo & Oller 2008:  
 $451 - i 257 \text{ MeV}$

## Conclusion for lowest resonance of QCD

- *Dispersion theory allows to extend the domain where the first few terms of the chiral perturbation series provide a decent approximation*
  - *Model independent method for analytic continuation*
  - *Crossing symmetry plays an essential role in this method: fixes the contributions from the left hand cut ensures fast convergence, low energy dominance*
- ⇒ *The lowest resonance of QCD carries vacuum quantum numbers and occurs at*

$$M_\sigma = 441 \begin{matrix} +16 \\ -8 \end{matrix} \text{ MeV} \quad \Gamma_\sigma = 544 \begin{matrix} +18 \\ -25 \end{matrix} \text{ MeV}$$

## Puzzling results on $K_L \rightarrow \pi\mu\nu$

- Hadronic matrix element of weak current:

$$\langle K^0 | \bar{u} \gamma^\mu s | \pi^- \rangle = (p_K + p_\pi)^\mu f_+(t) + (p_K - p_\pi)^\mu f_-(t)$$

- Scalar form factor  $\sim \langle K^0 | \partial_\mu (\bar{u} \gamma^\mu s) | \pi^- \rangle$

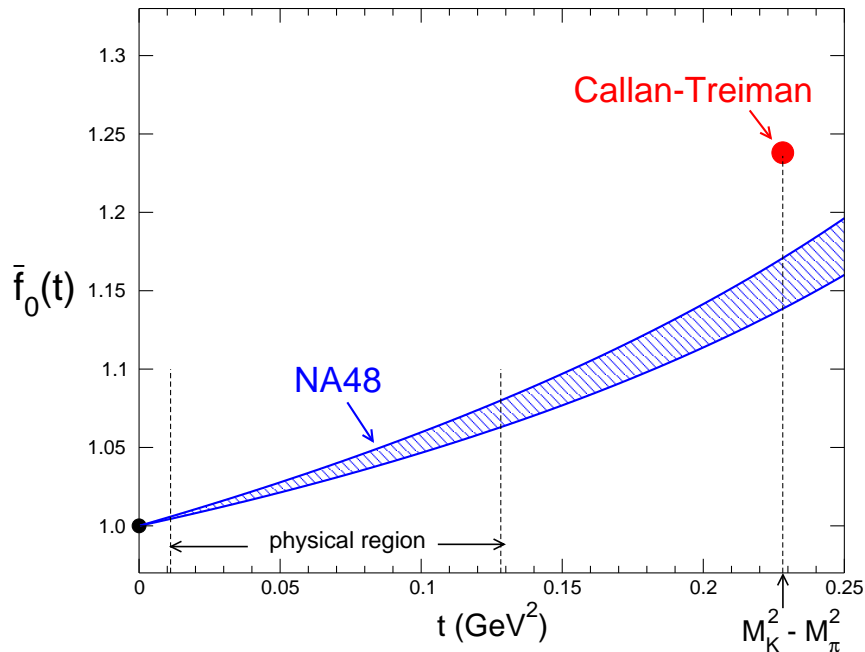
$$f_0(t) = f_+(t) + \frac{t}{M_K^2 - M_\pi^2} f_-(t)$$

- Low energy theorem of Callan and Treiman (1966):

$$f_0(M_K^2 - M_\pi^2) = \frac{F_K}{F_\pi} \left\{ 1 + O(m_u, m_d) \right\} \simeq 1.19$$

$$f_0(0) = f_+(0) \simeq 0.96 \text{ relevant for determination of } V_{us}$$

## Comparison with experiment



NA48, *Phys. Lett. B*647 (2007) 341  
141 authors,  $2.3 \times 10^6$  events

plot shows normalized  
scalar form factor

$$\bar{f}_0(t) = \frac{f_0(t)}{f_0(0)}$$

● Callan-Treiman relation in this normalization:

$$\bar{f}_0(M_K^2 - M_\pi^2) = \frac{F_K}{F_\pi f_+(0)}$$

● Experimental value:  $\frac{F_K}{F_\pi f_+(0)} = 1.2446 \pm 0.0041$

# Implications

- *NA48 data on  $K_L \rightarrow \pi\mu\nu$  disagree with SM*

- *If confirmed, the implications are dramatic:*

- ⇒ *right-handed currents ?*

*Bernard, Oertel, Passemar & Stern 2006*

- *There are not many places where the SM disagrees with observation, need to investigate these carefully*

- *At low energies, high precision is required*

## Slope of the scalar form factor

- Definition of the slope  $\bar{f}_0(t) = 1 + \frac{\lambda_0 t}{M_{\pi^+}^2} + O(t^2)$

- Callan-Treiman-relation implies sharp prediction:

$$\lambda_0 = (16.0 \pm 1.0) \times 10^{-3}$$

*Jamin, Oller & Pich 2004*

- Update with current experimental information

$$\lambda_0 = (15.0 \pm 0.7) \times 10^{-3}$$

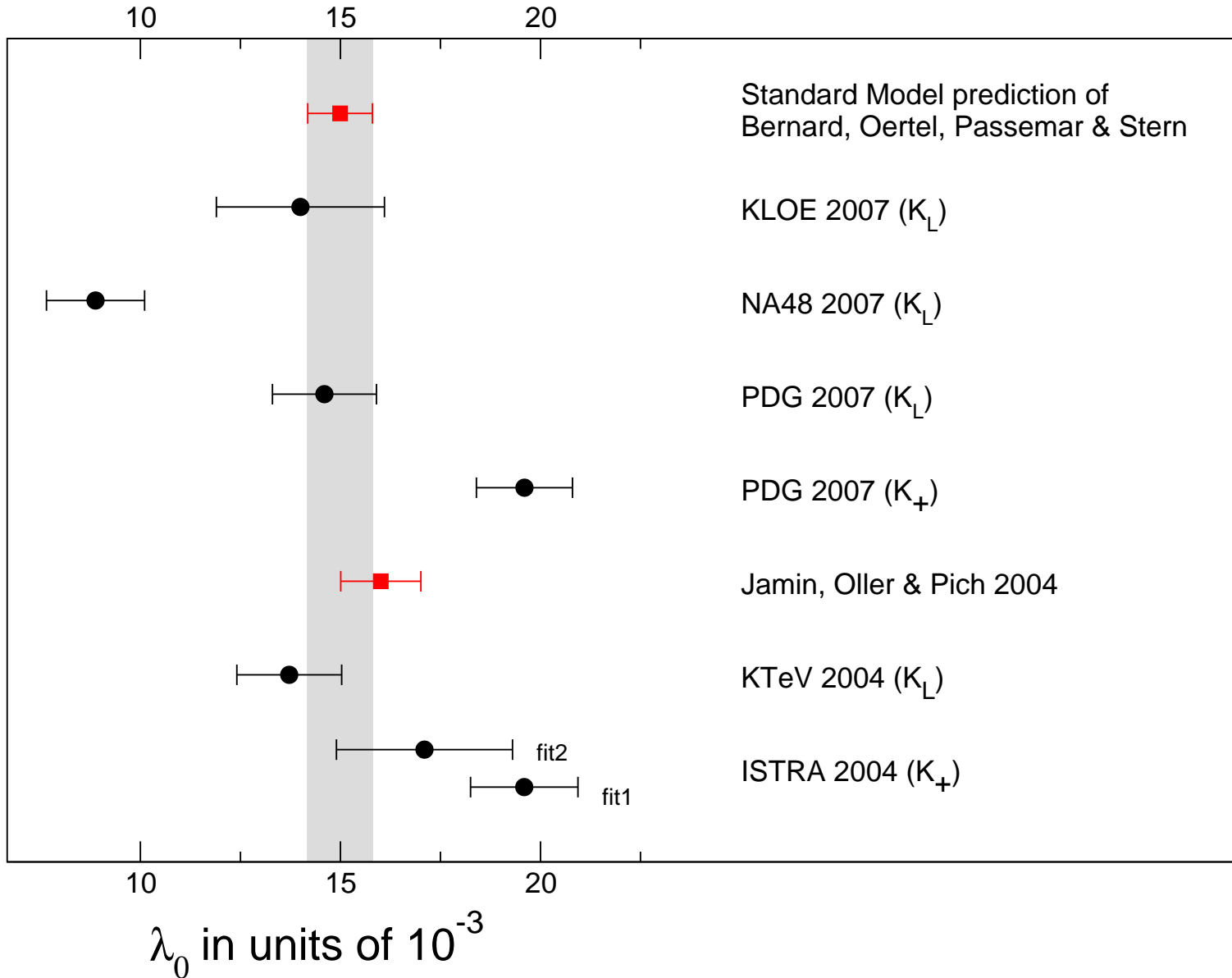
*Bernard, Oertel, Passemar & Stern, preliminary*

- To be compared with the result of NA48:

$$\lambda_0 = (8.9 \pm 1.2) \times 10^{-3}$$

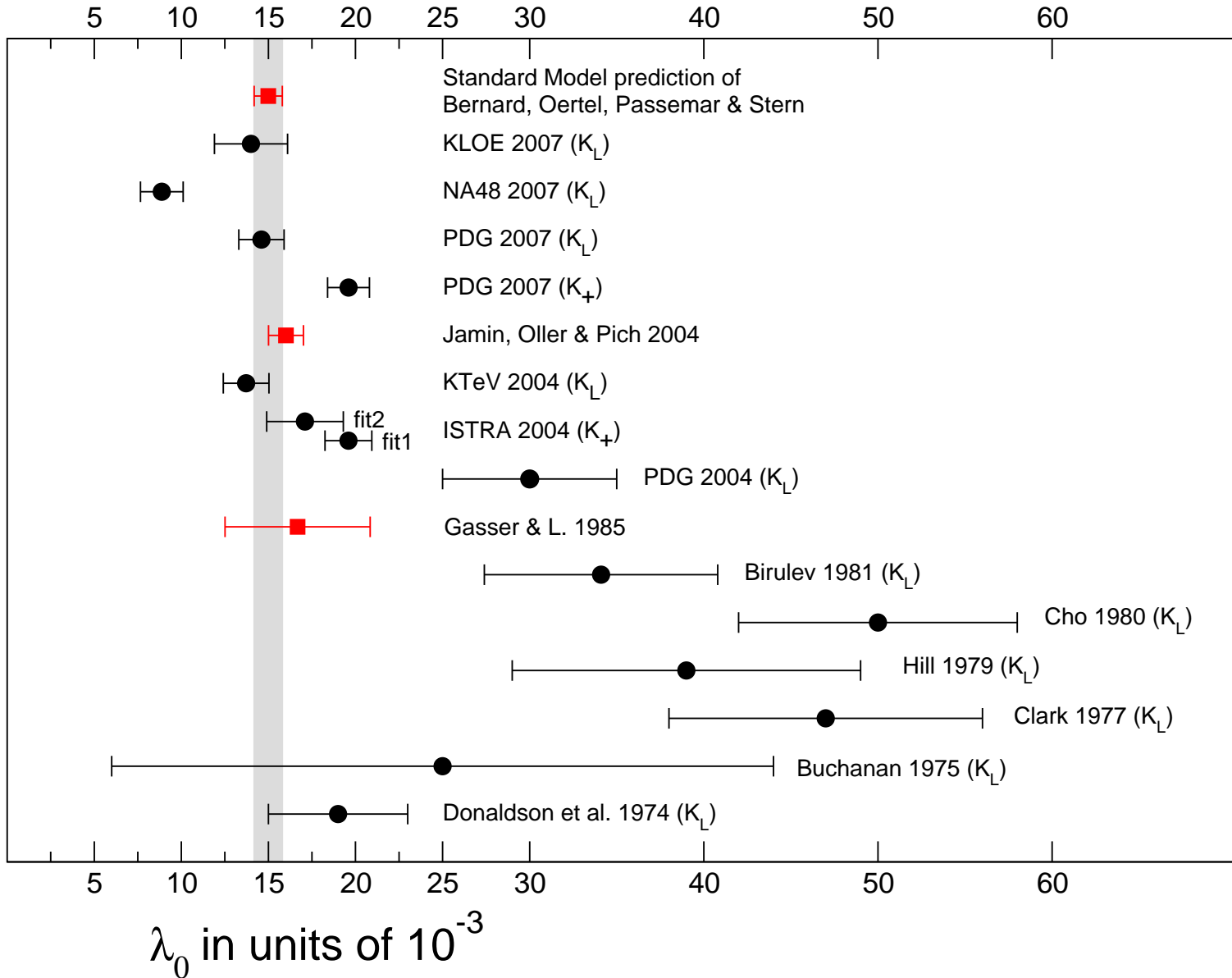
*Fit with dispersive representation of BOPS*

# Comparison of results for the slope





# Older measurements



## Conclusions for $K \rightarrow \pi \mu \nu$

- *Experiment is difficult, discrepancies need to be resolved*

*Donaldson 1974:  $1.6 \times 10^6$  events*

*ISTRA 2004:  $0.54 \times 10^6$  events*

*KTeV 2004:  $1.5 \times 10^6$  events*

*NA48 2007:  $2.3 \times 10^6$  events*

- *Dispersion theory fixes the shape of the form factors*

*publishing linear fits is nonsensical*

- *NA48 should improve their data analysis . . . and extend it to charged kaons (isospin breaking)*