

On the interface between lattice results and χ PT

H. Leutwyler
University of Bern

TROIA'09, Canakkale, Turkey, September 10, 2009

Standard Model at low energies

- Low energies ($E \ll M_W$): weak interaction is frozen
- ⇒ Standard Model reduces to QCD + QED
- Lagrangian only involves g_s, θ, e , fermion masses
- ⇒ Precision theory for cold matter ($T \ll M_W$), size and structure of atoms, solids, etc.
- QED is infrared stable, characterized by pure number, which happens to be small, $1/137$
- ⇒ QED can be accounted for with perturbation theory
- Hadrons at low energies: SM = QCD + corrections

Pièce de résistance: QCD

- Interaction fully determined by group geometry
Lagrangian contains 2 coupling constants:

$$g_s, \theta$$

- Quark mass matrix, can be brought to diagonal form, eigenvalues real, positive

$$m_u, m_d, m_s, m_c, m_b, m_t$$

- Pattern of quark masses is bizarre, not understood
- High energy side looks like what we are used to: relevant degrees of freedom are visible in the Lagrangian, can treat the interaction as a perturbation
- Low energies are out of reach of perturbation theory
⇒ Not a simple matter to work out the consequences of the Standard Model at low energies

Investigating QCD at low energies

- \exists many models that resemble QCD: instantons, monopoles, bags, superconductivity, gluonic strings, linear σ model, hidden gauge, NJL, AdS/CFT, but ...
- Nonperturbative methods needed
⇒ Progress in understanding is slow
- Model independent methods:
 - Numerical simulation on a lattice
 - Sum rules, dispersion relations
 - Effective field theory (χ PT)

Low energy expansion

- Cannot treat g_s as small
- If the spectrum has an energy gap
- ⇒ No singularities in scattering amplitudes or Green functions near $p = 0$
- ⇒ Low energy behaviour may be analyzed with Taylor series expansion in powers of momentum

$$f(t) = 1 + \frac{1}{6} \langle r^2 \rangle t + \dots \quad \text{form factor}$$

$$T(p) = a + b p^2 + \dots \quad \text{scattering amplitude}$$

Cross section dominated by
 S -wave scattering length

$$\frac{d\sigma}{d\Omega} \simeq |a|^2$$

Energy gap plays crucial role

- Energy gap: difference between the energies of ground state and first excited state
- Particle physics: mass of the lightest particle ($c = 1$)
- Expansion parameter: $\frac{p}{m} = \frac{\text{momentum}}{\text{energy gap}}$
- Taylor series only works if the spectrum has an energy gap, i.e. if there are no massless particles
- QCD does have an energy gap, but the gap is very small: $M_\pi \simeq 135 \text{ MeV}$
- ⇒ Taylor series has very small radius of convergence, useful only for $p < M_\pi$
- Why is the energy gap of QCD so small ?

Hidden symmetries in particle physics

Already in 1960, Nambu realized that

1. $SU_L(2) \times SU_R(2)$ is an approximate symmetry of the strong interaction
2. The symmetry is "hidden", "spontaneously broken": $|0\rangle$ invariant only under the isospin subgroup $SU(2)$
3. The spontaneous breakdown of an exact symmetry entails massless particles
4. For the strong interaction, the pions play this role
5. The pions are not massless, only light, because the symmetry is only an approximate one

Nobel Prize 2008

Explains why the energy gap of the strong interaction is so small : $M_\pi \simeq 135 \text{ MeV}$

When Nambu proposed this idea, the origin of the symmetry was mysterious

Approximate symmetries ? Partially conserved currents ?

For gauge theories like QCD, approximate symmetries do occur naturally

Chiral symmetry

Where is Nambu's hidden approximate symmetry in QCD ?

- QCD with N_f massless quarks: Lagrangian has an exact chiral symmetry: $SU_L(N_f) \times SU_R(N_f)$
- $|0\rangle$ is symmetric only under the subgroup $SU_{L+R}(N_f)$
Symmetry is spontaneously broken
- ⇒ Spectrum contains $N_f^2 - 1$ Nambu-Goldstone bosons
- m_u and m_d happen to be small
- ⇒ $SU_L(2) \times SU_R(2)$ is an approximate symmetry of QCD
 - broken spontaneously: $|0\rangle$ not invariant
 - broken explicitly: \mathcal{L}_{QCD} not invariantSymmetry broken by mass term $m_u \bar{u}u + m_d \bar{d}d$
 m_u, m_d are small \rightarrow symmetry breaking is small

Chiral perturbation series

- For $m_u = m_d = 0$, pion exchange gives rise to poles and branch points at $p = 0$
 - ⇒ Low energy expansion is not a Taylor series, contains infrared singularities
- Properties of the Nambu-Goldstone bosons are governed by the hidden symmetry that is responsible for their occurrence
- NG bosons of low momentum interact only weakly: can treat the momenta as well as m_u, m_d as perturbations
 - ⇒ Chiral perturbation series: simultaneous expansion of the matrix elements in powers of p, m_u, m_d

Effective Lagrangian

- Formulation in terms of an effective Lagrangian

Weinberg 1967, Coleman, Wess, Zumino, Callan, Dashen, Weinstein 1969

- Lagrangian \supset massless Nambu-Goldstone Bosons

⇒ Perturbation series has infrared singularities

Li + Pagels 1971, Langacker + Pagels 1973

Weinberg 1979, Gasser + Zepeda 1980, Gasser 1981

Singularities due to NG bosons can be worked out with an effective field theory
“Chiral Perturbation Theory”

- χ PT reproduces the low energy structure of QCD, order by order in the expansion in powers of p , m_u , m_d

Plethora of effective coupling constants

- χ PT merely exploits the symmetries of QCD: yields the general solution of the Ward-Takahashi identities
- \mathcal{L}_{eff} contains all functions that can be formed with the pion field and its derivatives, only subject to the condition that the sum is chirally invariant
- Order in number of derivatives (powers of momentum)
- ⇒ Number of terms in \mathcal{L}_{eff} rapidly grows with the order:
LO: 2, NLO: 7, NNLO: 53, ...
- Symmetries only relate – do not determine
- In principle, the effective theory is exact:
yields expansion of QCD Green functions in p, m_q

Illustration: energy gap of QCD

- Energy gap of QCD: M_π
- Ignore e.m. self energy, $e = 0$, pure QCD
- ⇒ M_π is a function of $\Lambda_{\text{QCD}}, m_u, m_d, \dots, m_t$
- How does M_π depend on m_u, m_d ?

Chiral symmetry: $M_\pi \rightarrow 0$ for $m_u, m_d \rightarrow 0$

- Leading order formula (tree level of χ PT):

$$M_\pi^2 = (m_u + m_d)B$$

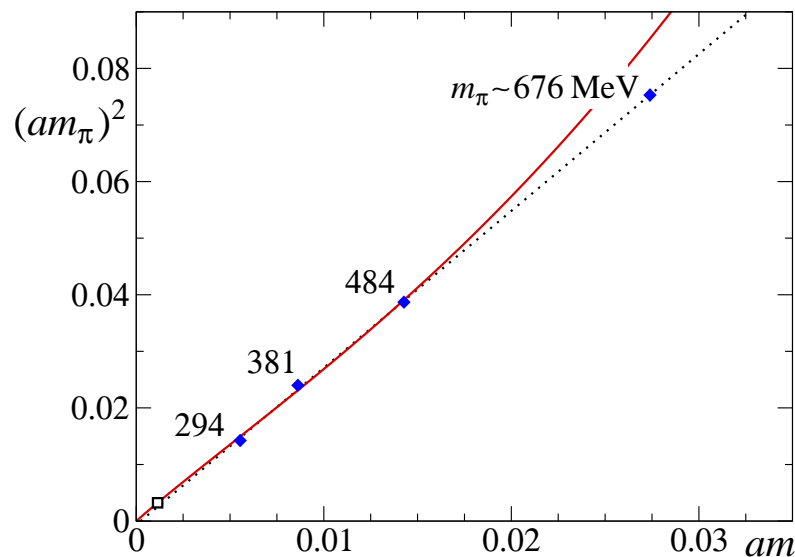
Gell-Mann, Oakes, Renner 1968

- The coefficient is determined by the quark condensate:

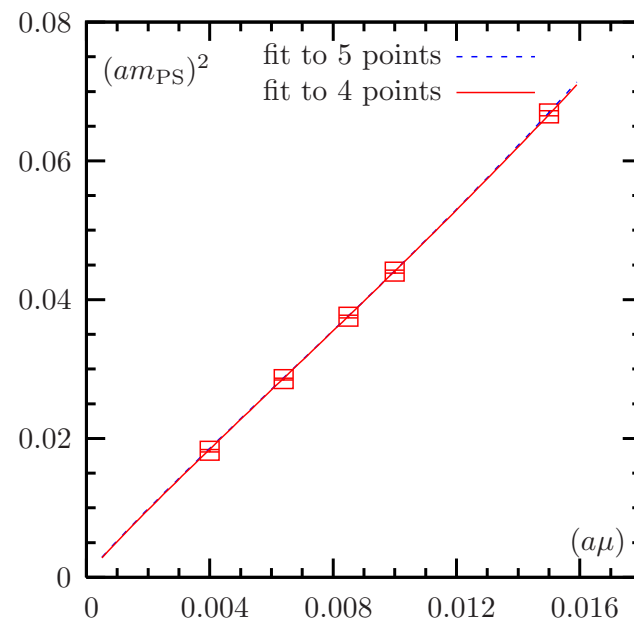
$$B = \frac{|\langle 0 | \bar{u}u | 0 \rangle|}{F_\pi^2}$$

Lattice results for M_π

- GMOR formula can now be checked on the lattice: determine M_π as a function of $m_u = m_d = m$



Lüscher, Lattice conference 2005



ETM collaboration, hep-lat/0701012

These plots are for QCD with $N_f = 2$. Behaviour in full QCD looks very similar.

Lattice

- Quality of data is impressive
- No quenching, quark masses are sufficiently light
- ⇒ Legitimate to use χ PT for the extrapolation to the physical values of m_u, m_d

- Proportionality of M_π^2 to

$$m_{ud} \equiv \frac{1}{2}(m_u + m_d)$$

holds out to $m_{ud} \simeq 10 \times m_{ud}^{\text{phys}}$

- Main limitation: systematic uncertainties from lattice artifacts, continuum extrapolation, finite size effects, etc.

Expansion of M_π^2 in powers of m_u, m_d

- GMOR formula represents leading term of χ PT
- Correction of first nonleading order:

$$M_\pi^2 = M^2 \left\{ 1 - \frac{M^2}{32\pi^2 F_\pi^2} \bar{\ell}_3 + O(M^4) \right\}$$

$$M^2 \equiv B(m_u + m_d)$$

$\ell_3 \in \mathcal{L}_{\text{eff}}$ depends logarithmically on running scale

- What counts is the running coupling at scale M_π :

$$\bar{\ell}_3 = \ell n \frac{\Lambda_3^2}{M_\pi^2}$$

⇒ Expansion of M_π contains a chiral logarithm

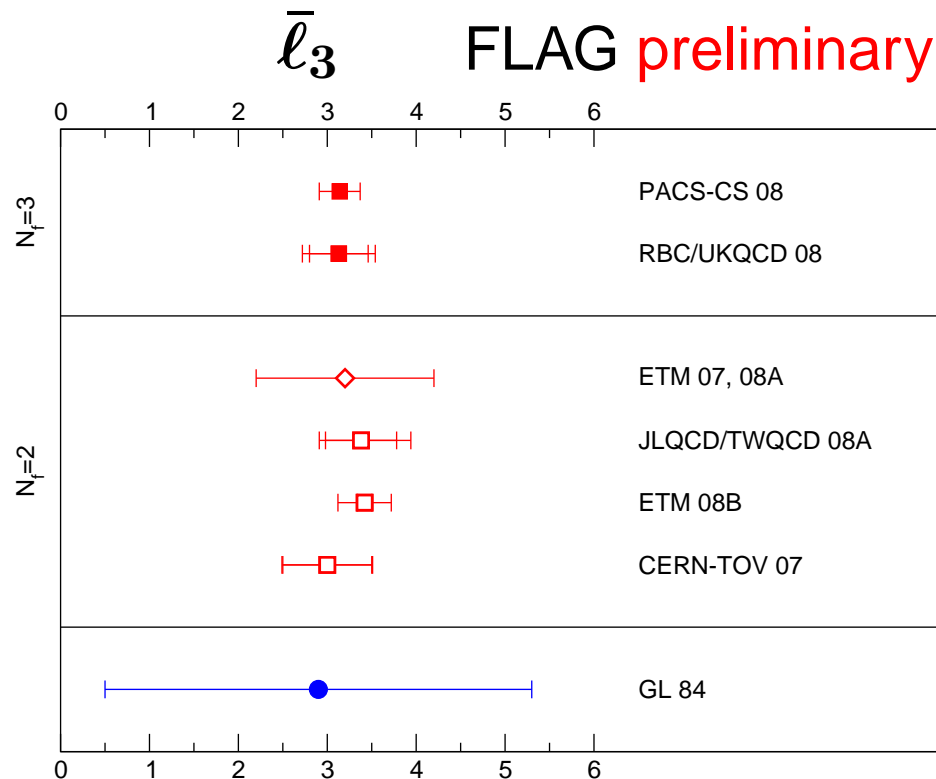
Langacker + Pagels 1973, Gasser + Zepeda 1980, Gasser 1981

Size of the effective coupling constant \bar{l}_3

Crude estimate, based on $SU(3) \times SU(3)$: $\bar{l}_3 = 2.9 \pm 2.4$

Gasser & L. 1984

Lattice allows more accurate determination:



$$\bar{l}_3 = \ln \frac{\Lambda_3^2}{M_\pi^2}$$

Result of RBC/UKQCD 08, for instance: $\bar{l}_3 = 3.13 \pm 0.33 \pm 0.24$
stat *sys*

Expansion of F_π in powers of the quark mass

- Also contains a logarithm at NLO:

$$F_\pi = F \left\{ 1 + \frac{M^2}{16\pi^2 F^2} \ell n \frac{\Lambda_4^2}{M^2} + O(M^4) \right\}$$

$$M_\pi^2 = M^2 \left\{ 1 - \frac{M^2}{32\pi^2 F^2} \ell n \frac{\Lambda_3^2}{M^2} + O(M^4) \right\}$$

F is value of pion decay constant in limit $m_u, m_d \rightarrow 0$

- Structure is the same, coefficients and scale of logarithm are different
- Quark mass dependence of F_π can also be measured on the lattice \Rightarrow measurement of Λ_4
- Alternative method: determine the scalar form factor of the pion, radius $\langle r^2 \rangle_s \leftrightarrow \bar{\ell}_4 = \ell n(\Lambda_4^2/M_\pi^2)$

Colangelo, Gasser & L. 2001

Lattice determination of scalar radius

- Scalar form factor can be measured on the lattice
- Most recent lattice determination:

$$\langle r^2 \rangle_s = 0.617 \pm 0.079_{\text{stat}} \pm 0.066_{\text{syst}} \text{ fm}^2$$

JLQCD/TWQCD collaboration, arXiv:0905.2465

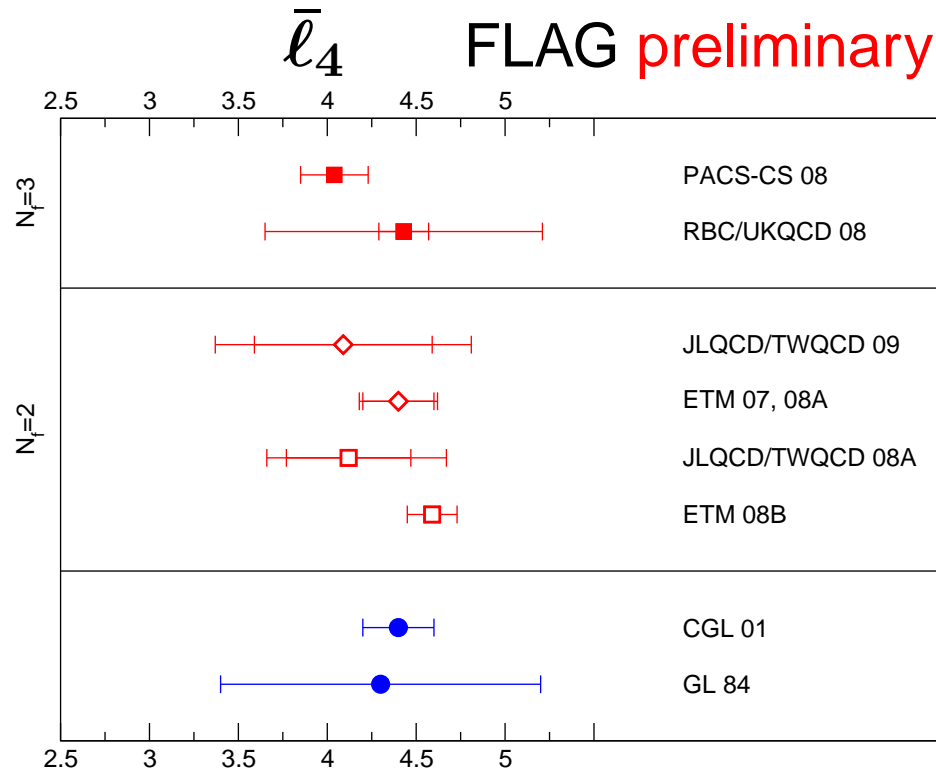
This is consistent with the value obtained on the basis of dispersion theory,

$$\langle r^2 \rangle_s = 0.61 \pm 0.04 \text{ fm}^2$$

Colangelo, Gasser & L. 2001

but uncertainties in lattice result are still large

Size of ℓ_4



$$\bar{\ell}_4 = \ln \frac{\Lambda_4^2}{M_\pi^2}$$

Lattice results are consistent with value obtained from dispersion theory, uncertainties are comparable

$\pi\pi$ interaction

- Symmetry fixes the interaction among the Nambu-Goldstone bosons

- LO formulae for the S-wave scattering lengths:

$$a_0^0 = \frac{7M_\pi^2}{32\pi F_\pi^2}, \quad a_0^2 = -\frac{M_\pi^2}{16\pi F_\pi^2} \quad \text{Weinberg 1966}$$

- NLO corrections are determined by ℓ_3, ℓ_4 Gasser + L. 1983

- $\pi\pi$ scattering amplitude known to NNLO

Bijnens, Colangelo, Ecker, Gasser + Sainio 1996

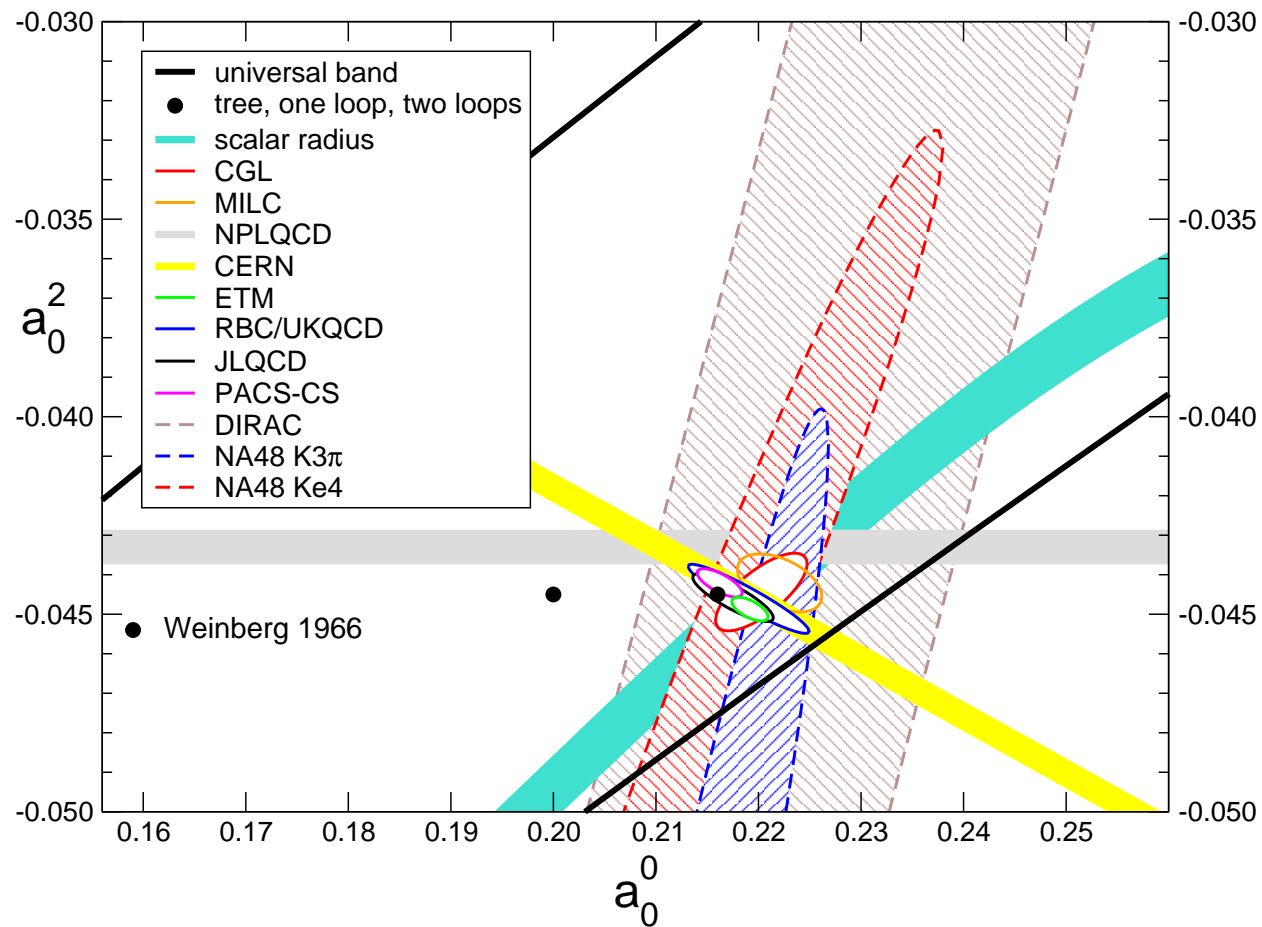
- Uncertainty in predictions for a_0^0, a_0^2 is dominated by the uncertainty in the effective coupling constants ℓ_3, ℓ_4

⇒ Can make use of the lattice results for these

- Contributions from higher order couplings are tiny

Guo + Sanz-Cillero arXiv:0904.4178

Consequence of lattice results for l_3, l_4



The plot represents beautiful physics: experiment as well as theory \Rightarrow talk by Jürg Gasser

Extension to $SU(3) \times SU(3)$

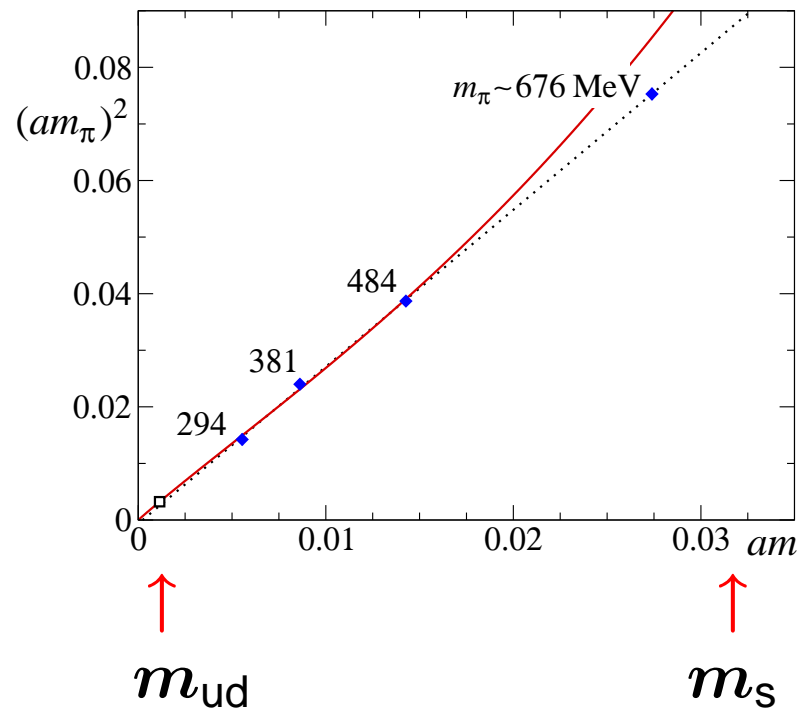
- In the theoretical limiting case $m_u = m_d = m_s = 0$ QCD acquires an exact $SU(3)_L \times SU(3)_R$ symmetry

Is m_s small enough for this to represent a useful approximate symmetry ?

- Theoretical reasoning
 - $SU(3)_{L+R}$ (eightfold way) is an approximate symmetry
 - Typical size of $SU(3)_{L+R}$ breaking: $\frac{F_K}{F_\pi} = 1.19 \pm 0.01$
 - Only coherent way to understand this in QCD:
The mass differences $m_s - m_d$, $m_d - m_u$ must be small, can be treated as perturbations
 - Since $m_u, m_d \ll m_s$
- ⇒ m_s is small, $SU(3)_L \times SU(3)_R$ must be an approximate symmetry, breaking not larger than for $SU(3)_{L+R}$

Expansion in powers of m_u, m_d, m_s

- Expansion in powers of m_u, m_d, m_s ought to work, but expect convergence to be comparatively slow
- Lattice results: $M_\pi^2 \propto m_{ud}$ holds out to $10 \times m_{ud}^{\text{phys}}$
- m_s is larger than that: $m_s \simeq 27 \times m_{ud}$



Compare

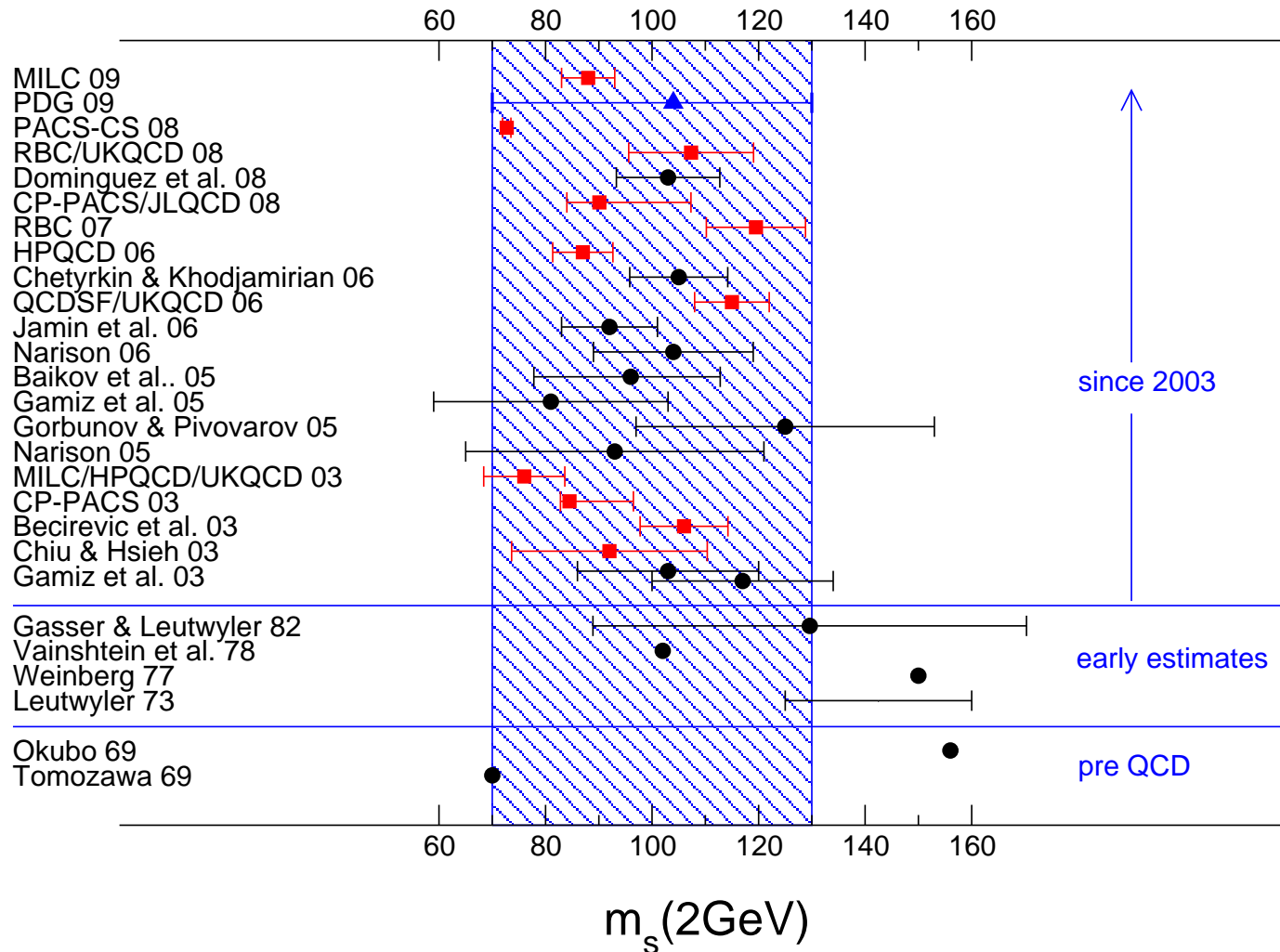
$$\frac{F_K}{F_\pi} \simeq 1.19$$

Three light quarks: interface between lattice and χ PT

- Steady progress in simulating QCD with light quarks, but the quark masses used are still too large for the NLO formulae of χ PT to work
- M_π OK, but M_K too large
- Three options
 - Use smaller quark masses
 - Extrapolate only in m_u, m_d , keep m_s fixed
 - Account for NNLO contributions
- Some lattice analyses do allow for NNLO contributions, but the chiral logarithms are accounted for only to NLO
- \exists discrepancies between different lattice results
 - In part, these may arise from nonperturbative renormalization effects
 - Some of the collaborations still use perturbative renormalization

\Rightarrow Illustrate this with the results for m_s

Mass of the strange quark



Lattice and sum rule results agree within errors

Can expect significant progress in lattice determinations very soon

Relative size of m_u , m_d , m_s

- $M_{\pi^+}^2 = (m_u + m_d) B_0 + O(m^2)$
 $M_{K^+}^2 = (m_u + m_s) B_0 + O(m^2)$
 $M_{K^0}^2 = (m_d + m_s) B_0 + O(m^2)$
- χ PT relates B_0 to the quark condensate, but does not predict its size \Rightarrow no prediction for size of quark masses

- Account for e.m. self energies at tree level of χ PT and drop effects of second order in isospin breaking

$$\frac{m_u}{m_d} = \frac{M_{K^+}^2 - M_{K^0}^2 + 2M_{\pi^0}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} = 0.56$$

$$\frac{m_s}{m_d} = \frac{M_{K^+}^2 + M_{K^0}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} = 20.2$$

Weinberg 1977

- Corrections from higher orders ? Could they strongly modify the numerical values ? $m_u = 0$?

Higher orders

- \nexists scalar probe analogous to γ , W^\pm
- ⇒ Quark masses cannot be determined from phenomenology alone, not even their ratios

Kaplan & Manohar 1986

- At LO, χ PT does determine the quark mass ratios
- At NLO, there is only one relation without unknowns:

$$Q^2 \equiv \frac{m_s^2 - m_{ud}^2}{m_d^2 - m_u^2} = \frac{M_K^2 - M_\pi^2}{M_{K^0}^2 - M_{K^+}^2} \frac{M_K^2}{M_\pi^2} + \text{NNLO} + \text{e.m.}$$

M_K, M_π : mean masses of the two multiplets

Gasser & L. 1985

The relation correlates the two ratios

Value of $Q \rightarrow$ ellipse in the plane $\left(\frac{m_u}{m_d}, \frac{m_s}{m_d}\right)$

- Weinberg's leading order formulae give $Q = 24.3$.

$$\eta \rightarrow \pi^+ \pi^- \pi^0$$

- Critical input for value of Q is the "Dashen theorem": e.m. self energies are accounted for only at tree level

- η decay allows an independent determination of Q

Gasser & L. 1985

- Dispersive analysis of the decay amplitude

Kambor, Wiesendanger & Wyler 1996, Anisovich & L. 1996, Walker 1998

- In $\eta \rightarrow 3\pi$, the e.m. contributions are suppressed

Bell & Sutherland 1968

- ⇒ Uncertainties are smaller

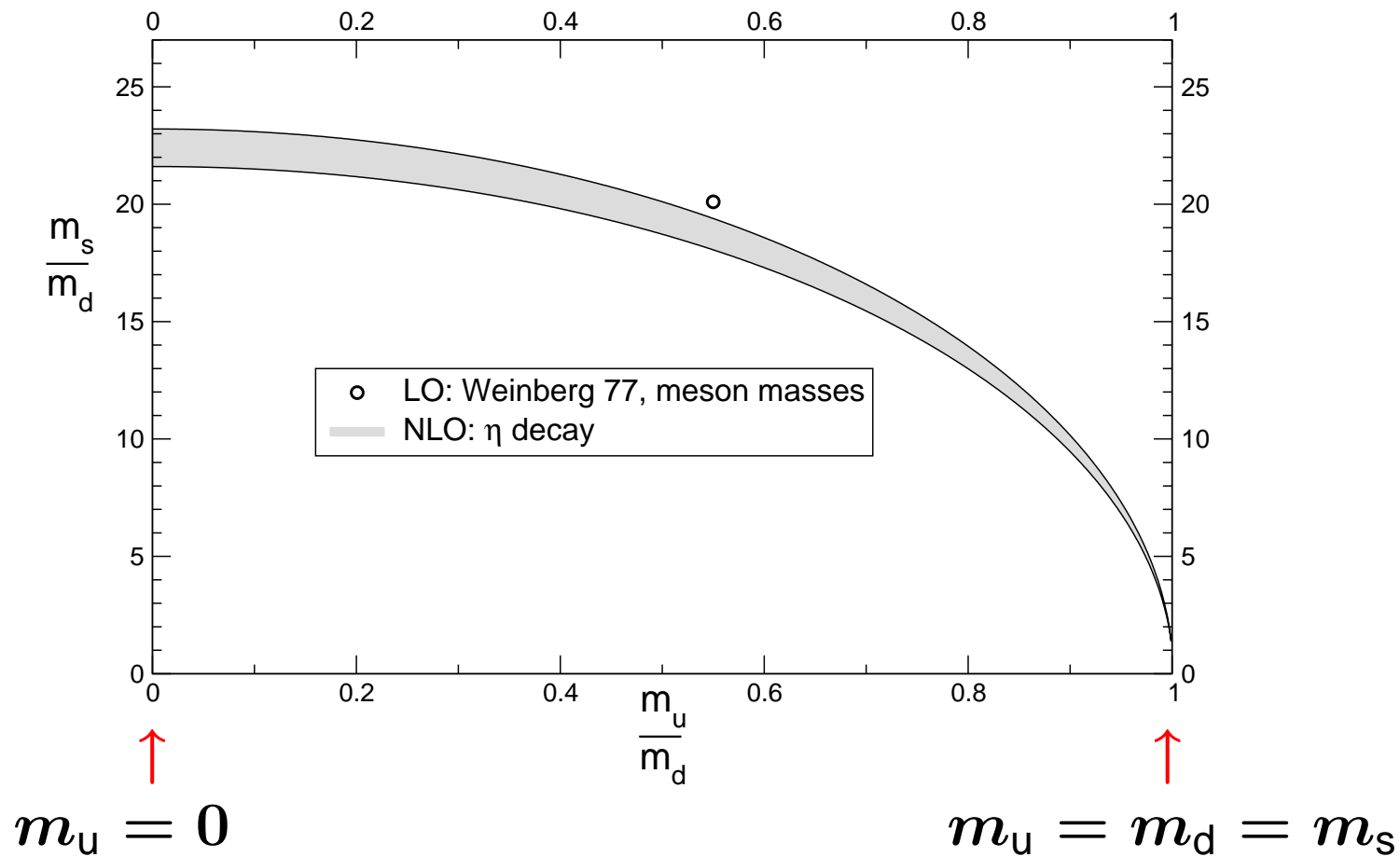
Quantitative analysis of e.m. contributions: Ditsche, Kubis & Meißner 2009

- Update of Walker's calculation with the current experimental information ⇒ $Q = 22.4 \pm 0.8$, to be compared with $Q = 24.3$ from Dashen theorem

- Comprehensive analysis of $\eta \rightarrow 3\pi$ is under way

PhD thesis of Stefan Lanz, in preparation

χ PT at leading and first nonleading order



Where on the ellipse ? $m_u = 0$?

- The vacuum angle θ breaks CP
- Chiral symmetry ensures that θ can enter physical quantities only via $\det \mathcal{M} \times e^{i\theta}$
- If m_u is zero $\rightarrow \det \mathcal{M}$ vanishes $\rightarrow \theta$ without physical significance \rightarrow QCD invariant under CP
- Quite a few authors advocated $m_u = 0$ as the solution of the strong CP problem, possibly some still do ...

Nice idea, but amounts to trading one puzzle for the other:

- If m_u were zero, the Weinberg formula for m_u/m_d would turn into a prediction for $M_{K^0} - M_{K^+}$:

$$M_{K^0} - M_{K^+} = \frac{2M_{\pi^0}^2 - M_{\pi^+}^2}{M_{K^0} + M_{K^+}} + \text{NLO}$$

\uparrow

3.9 MeV

experiment

\uparrow

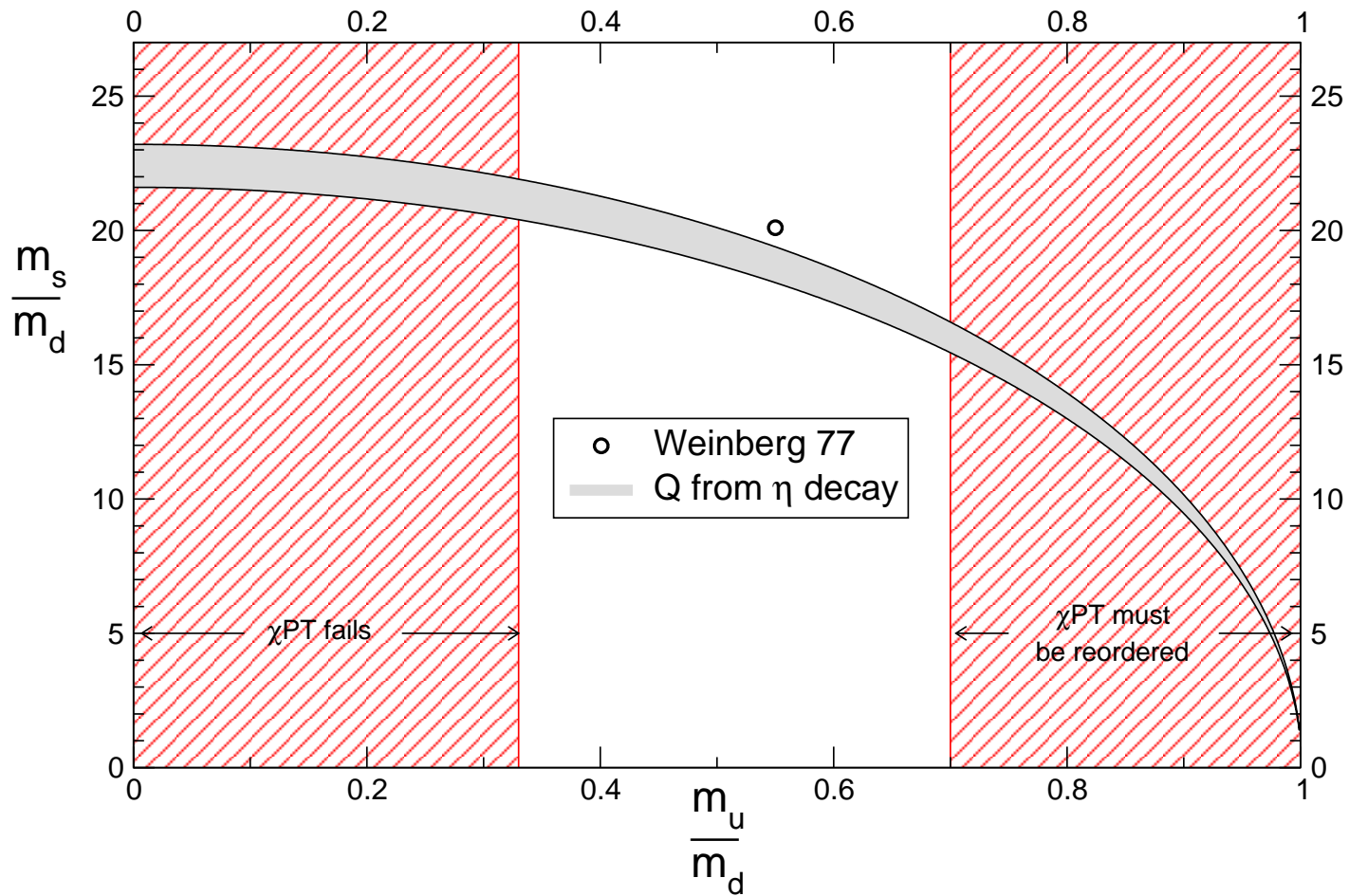
16.9 MeV

theory

$$m_u = 0 ?$$

- If m_u were zero, then χ PT would be in conflict with the observed mass pattern of the NG bosons
 - chiral series could not be truncated at low orders
 - $SU(3)_L \times SU(3)_R$ not an approximate symmetry
 - Success of Gell-Mann-Okubo formula accidental etc.
 - Leading order formula for $M_{K^0} - M_{K^+}$ is off by less than a factor of 2 only if $0.7 > m_u/m_d > 0.33$
 - Indeed, all of the lattice results have $m_u/m_d > 0.33$
None is consistent with the solution $m_u = 0$ of the strong CP problem
 - The MILC collaboration rules this solution out at 10σ
- ⇒ Nature solves the strong CP problem differently

Allowed range of mass ratios



Large N_c

- In the large N_c limit, the η' also becomes a Nambu-Goldstone boson
- ⇒ Can extend χ PT to include the η' , systematic expansion in powers of m_u, m_d, m_s and $1/N_c$
- In this framework, there is no ambiguity at NLO
- Triangle anomaly yields a prediction also for $\Gamma_{\eta' \rightarrow \gamma\gamma}$
Can use this to pin down all unknowns at NLO

Kaiser 1997

η and η' at large N_c

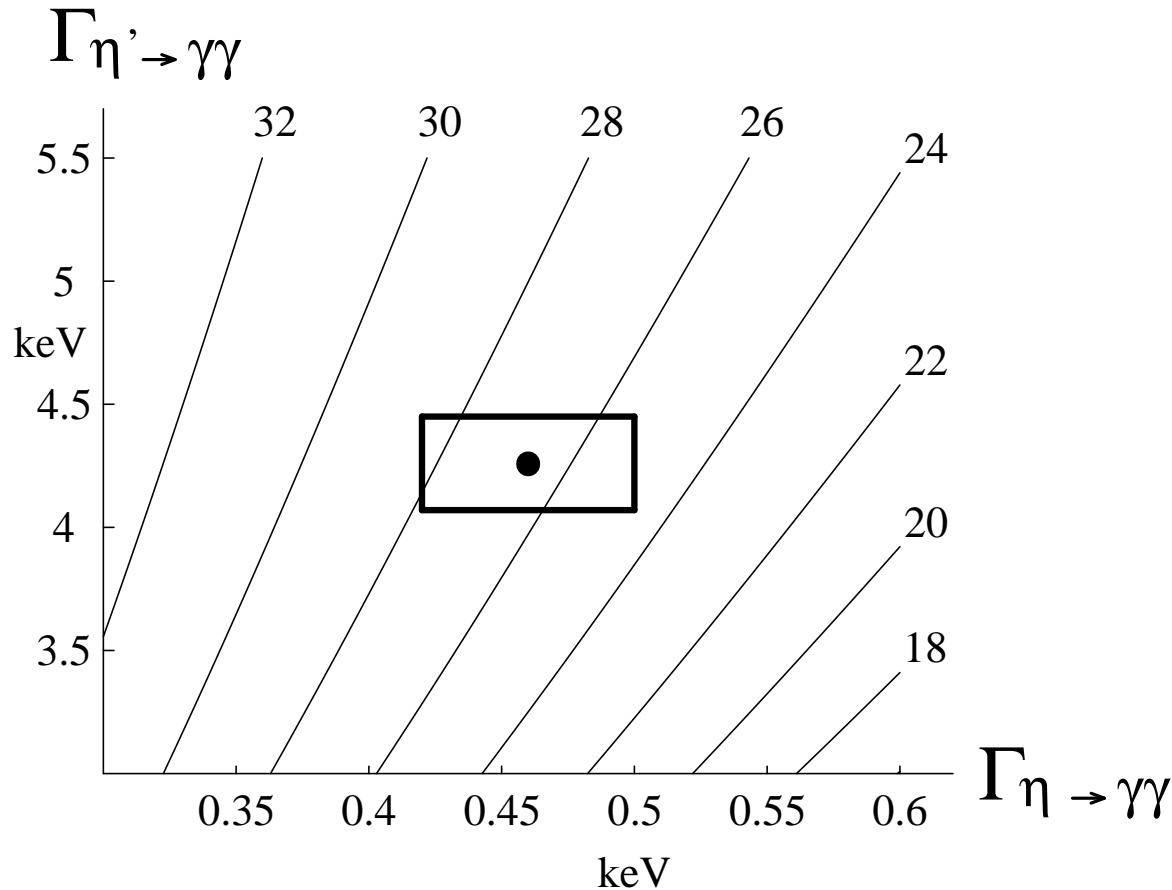


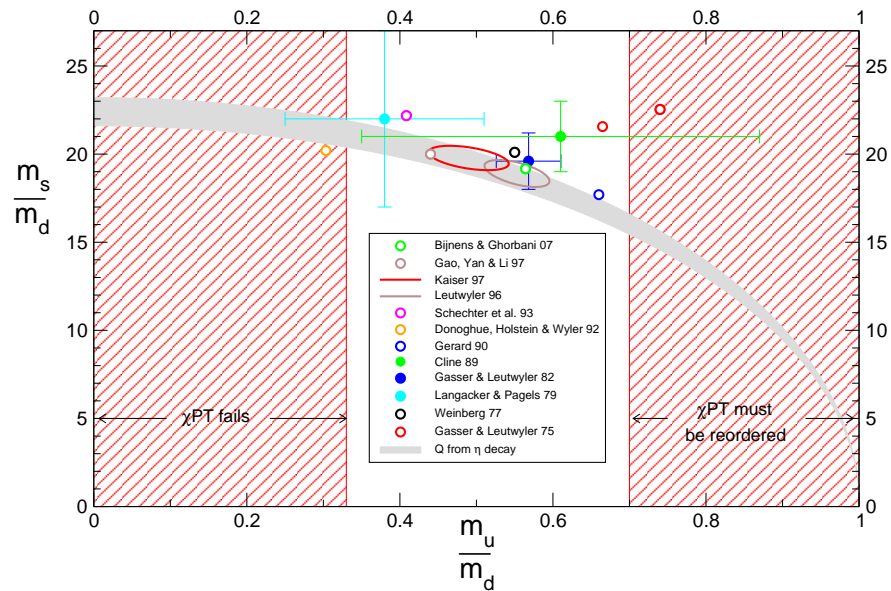
Figure taken from diploma work of Roland Kaiser (1997)

Tilted lines: value of $S = m_s/m_{ud}$, rectangle: experiment

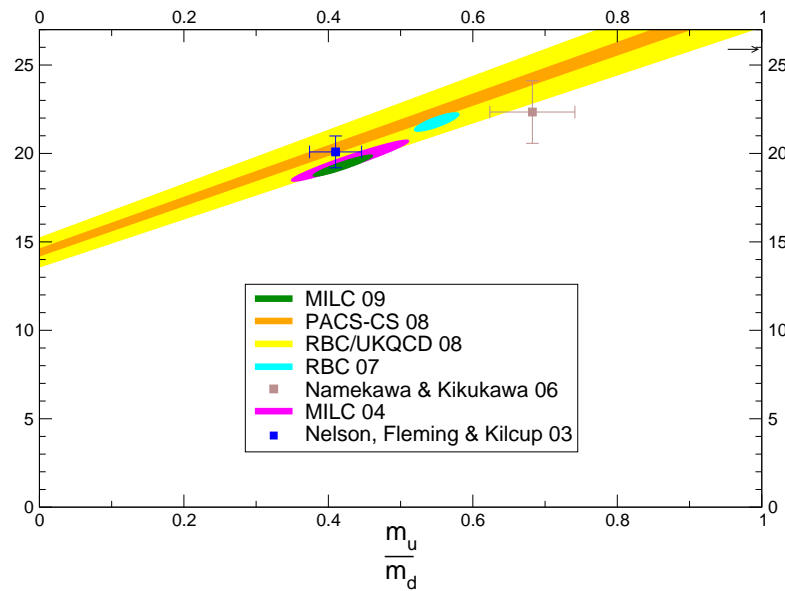
Central value found in this determination: $S = 26.6$

Barely differs from leading order result: $S = 25.9$

Results for quark mass ratios

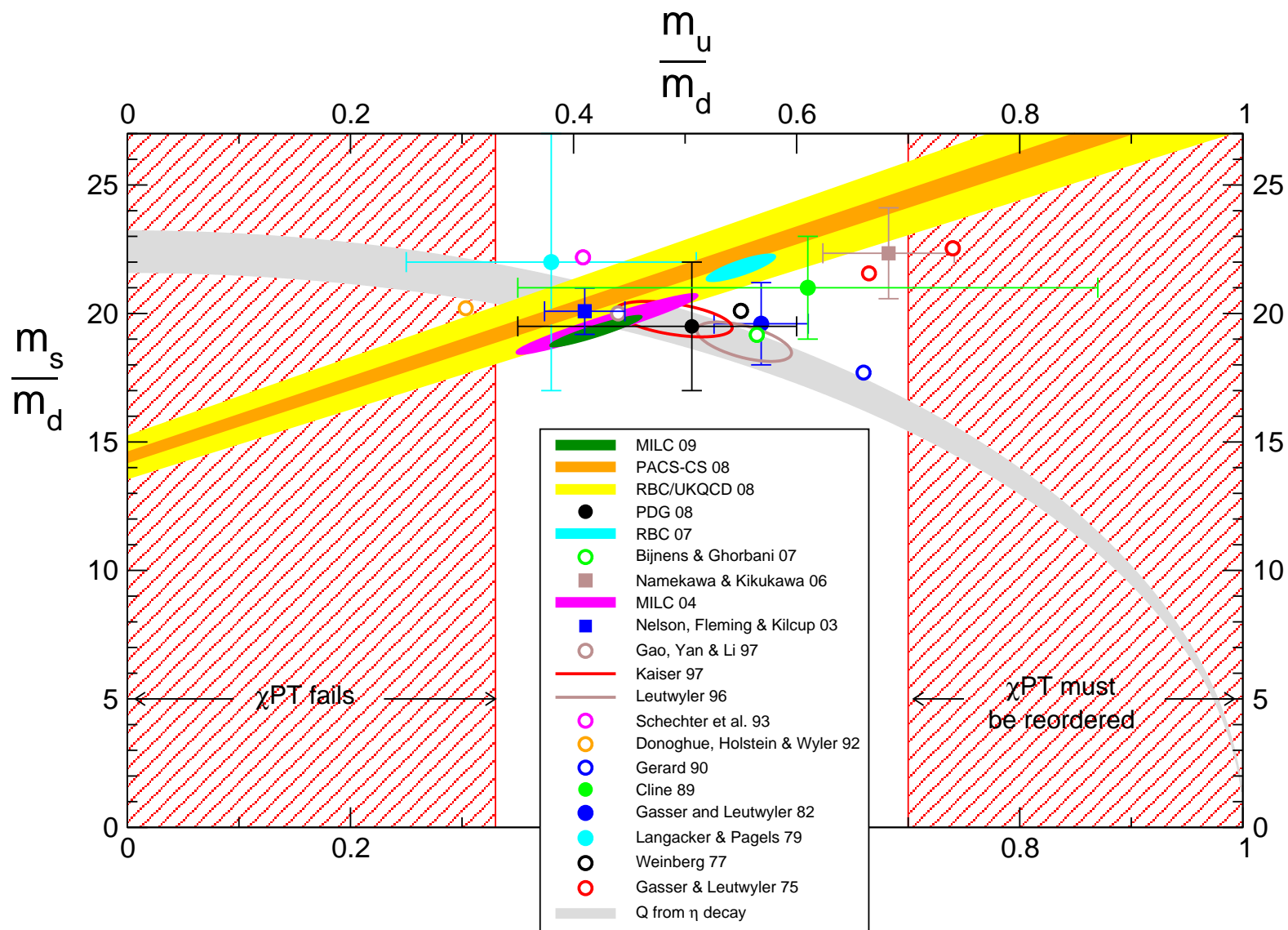


Phenomenology



Lattice

Comparison



Conclusions

Conclusions

- Expansion in powers of m_u, m_d yields a very accurate low energy representation of QCD
- Lattice yields remarkably coherent and significant results for pion physics already now

Low energy pion physics is a precision laboratory
Theoretical tools: χ PT, lattice, dispersion theory

- Limitations:
 - Low energies
 - e.m. interaction must properly be accounted for
 - Calculations cannot be done on back of an envelope

Conclusions

- $m_u \neq 0$

Nature solves the strong CP problem differently

- $m_s \simeq 100 \pm 15 \text{ MeV}$ ($\overline{\text{MS}}$ scheme, scale 2 GeV)

Lattice results confirm sum rule estimates within errors

- For the physical values of m_u , m_d , m_s , the leading order terms in the chiral perturbation series of M_π , M_K , F_π , F_K do represent a decent approximation

- Summary of current knowledge of quark mass ratios:

$$\frac{m_u}{m_d} = 0.47 \pm 0.08$$

$$\frac{m_s}{m_d} = 19.7 \pm 1.5$$

to be compared with Weinberg's LO formulae, which give 0.56 & 20.2, respectively

Conclusions

- Lattice results indicate that the NLO contributions do dominate the corrections
- ⇒ χ PT does appear to work for $SU(3) \times SU(3)$ as well
- Many open issues, however:
 - Better determination of the NLO couplings needed
 - $M_K = 600$ MeV is beyond reach of χ PT
 - Size of Zweig rule violations ?
 - e.m. self energies, corrections to Dashen Theorem ?

Conclusions

- Extension to kaon physics is making progress
 - Representations of many quantities of interest are available to NNLO of χ PT ⇒ Bijnens et al.
 - Main problem at NNLO: the current knowledge of the LECs is rudimentary
 - Except for a few selected quantities, kaon physics is still at an exploratory stage
- Significant progress at the interface between lattice and effective field theory methods is ante portas

If time permits:

V_{ud} , V_{us}

Lattice determination of V_{us} , V_{ud}

- Rely on Standard Model, where

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

- Precision data on K -decays imply

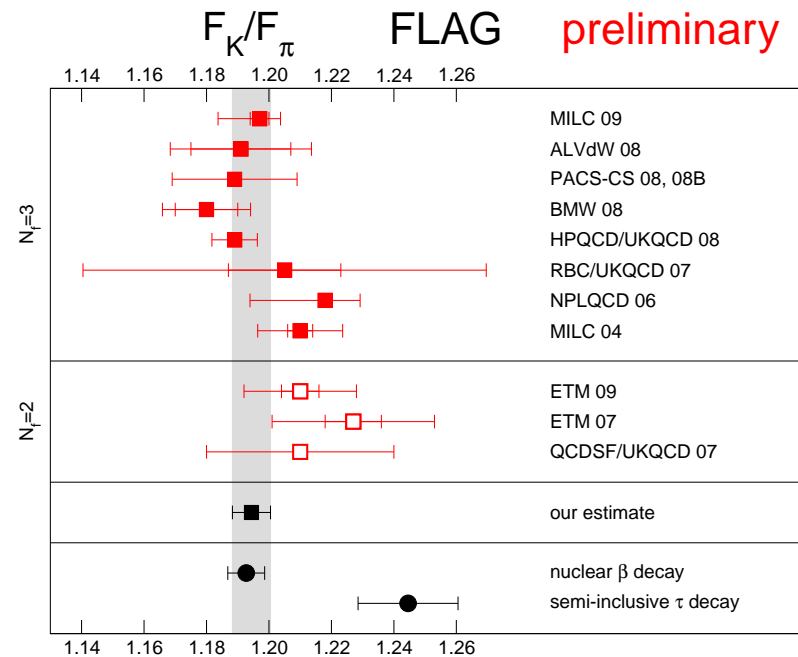
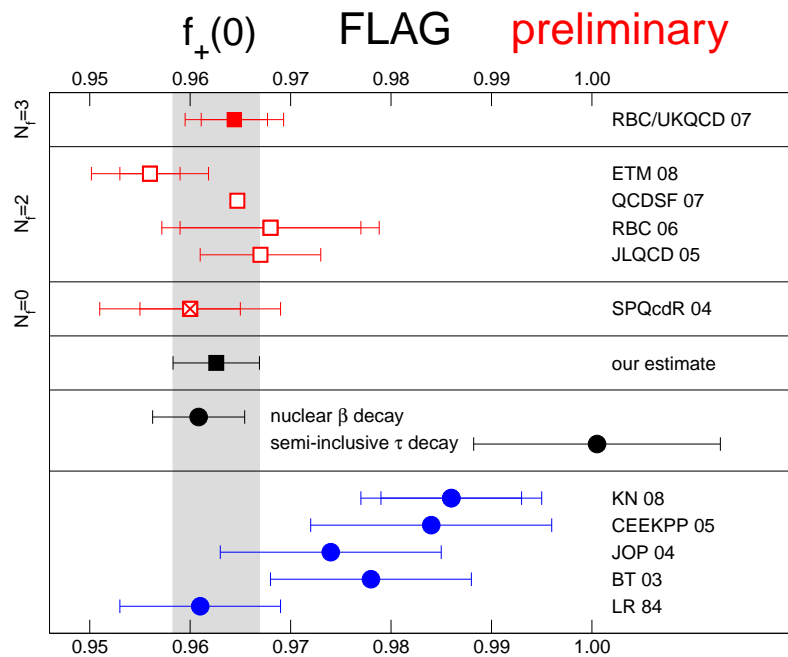
$$|V_{us}|f_+(0) = 0.21661(47)$$

$$\left| \frac{V_{us}F_K}{V_{ud}F_\pi} \right| = 0.27599(59)$$

⇒ Since V_{ub} is tiny and known to good accuracy, V_{ud} , $f_+(0)$, F_K/F_π are all determined by V_{us}

- Lattice allows two independent ways to measure V_{us} :
calculate $f_+(0)$ or calculate F_K/F_π

Lattice results for $f_+(0)$ and F_K/F_π

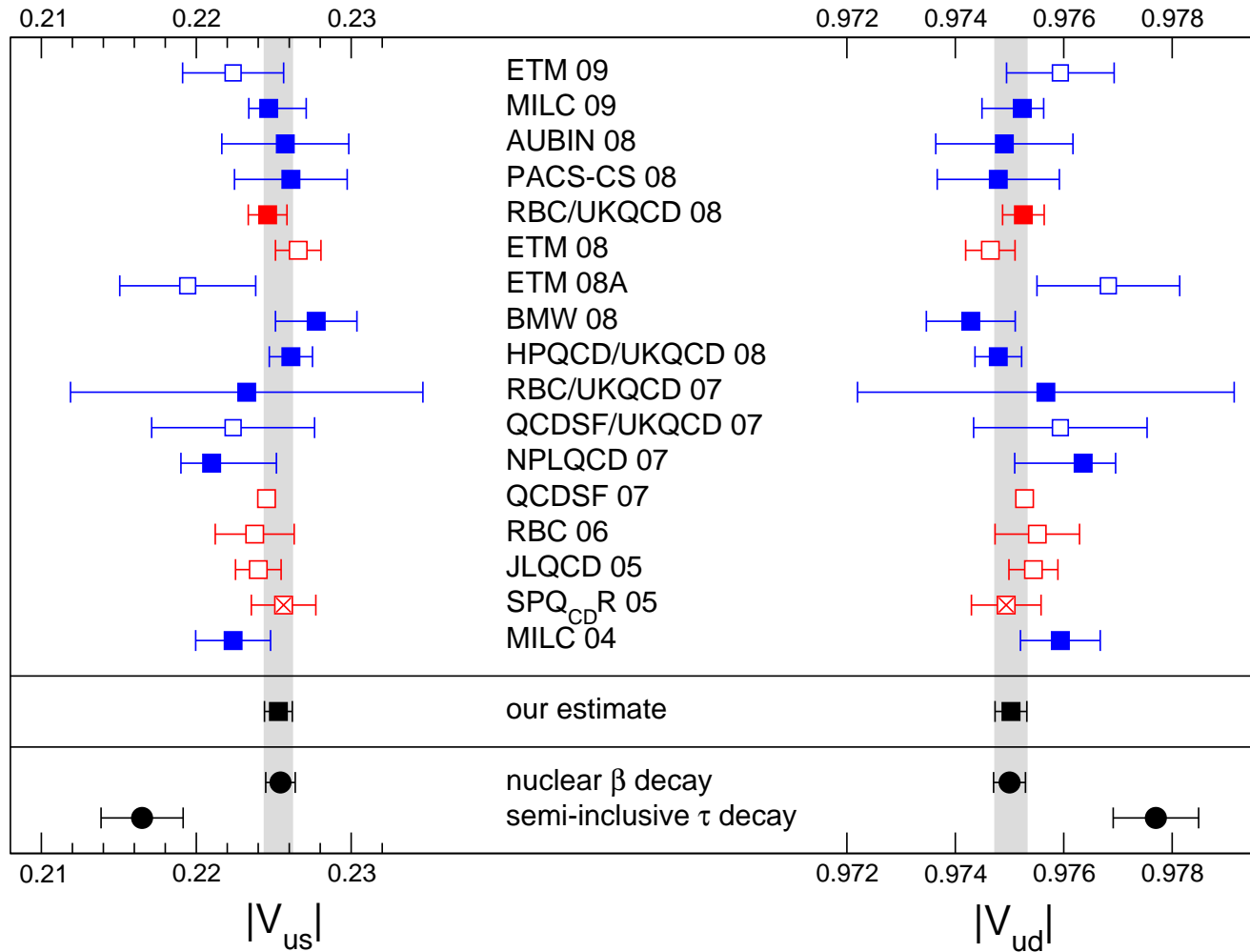


● FLAG estimate combines the lattice data for $f_+(0)$ with those for F_K/F_π

⇒ Determines V_{us} as well as V_{ud}

Lattice results for V_{us} and V_{ud}

FLAG
preliminary



- Nuclear β decay value for V_{ud} confirmed within errors
- τ decay: physics beyond the Standard Model ?

Trying to understand the size of the effective couplings

- $SU(2)_L \times SU(2)_R$: can understand the size of all NLO couplings in terms of resonance exchange Gasser + L. 1984
- Also true for $SU(3)_L \times SU(3)_R$ Ecker, Gasser, Pich, de Rafael 1989
- χ PT formulae have been worked out to NNLO for many quantities of physical interest Bijnens and collaborators
- Formulae involve new unknown couplings
- Chiral resonance theory, couplings of higher order, effective Lagrangian for e.m. + weak interactions ...
Gonzalez-Alonso, Guo, Pich, Portoles, Prades, Rosell, Ruiz-Femenia, Sanz-Cillero ...
- Comprehensive review of current state of the art:
Bijnens, arXiv:0904.3713 (Valencia 2009)

Problem with $R\chi$ PT in case of $f_+(0)$

- Form factor known to NNLO

Post + Schilcher 2002, Bijens + Talavera 2003

- Account for isospin breaking, use $R\chi$ PT estimates for the effective couplings

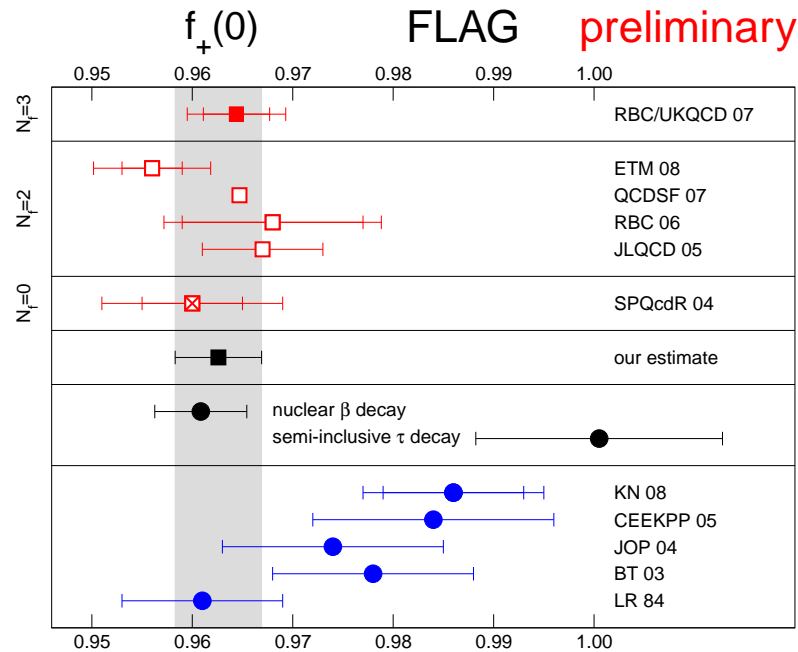
$$\Rightarrow f_+(0) = 0.986(7)$$

Kastner + Neufeld 2008

Compare 0.961(5) (β decay) or 0.962(5) (lattice)

Discrepancy amounts to more than 3σ

Compare with lattice results



- Difference between $f_+(0)$ and 1 is a symmetry breaking effect
- No problem at NLO
- ⇒ R_χ PT does not appear to account properly for the symmetry breaking effects at NNLO

Problems with scalar meson dominance ?

- Quark mass term in \mathcal{L}_{QCD} is a scalar operator
- Matrix elements dominated by scalar resonances ?
Can the *dependence on the quark masses* be accounted for with scalar meson dominance ?
- Rapidly rising $\pi\pi$ continuum (large chiral logs), σ makes a broad bump, narrow peak from $f_0(980)$, glueballs, etc.
- Failure of scalar meson dominance may be the origin of the problem more detailed discussion in Erice lectures, arXiv:0808.2825