

Introduction to Chiral Perturbation Theory

H. Leutwyler
University of Bern

DFG-Graduiertenkolleg Universität Jena
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I. Standard Model at low energies

1. Interactions

Local symmetries

2. QED+QCD

Precision theory for $E \ll 100$ GeV

Qualitative difference QED \iff QCD

3. Chiral symmetry

Some of the quarks happen to be light

Approximate chiral symmetry

Spontaneous symmetry breakdown

4. Goldstone theorem

If N_f of the quark masses are put equal to zero

QCD contains $N_f^2 - 1$ Goldstone bosons

5. Gell-Mann-Oakes-Renner relation

Quark masses break chiral symmetry

Goldstone bosons pick up mass

M_π^2 is proportional to $m_u + m_d$

II. Chiral perturbation theory

6. Group geometry

Symmetry group of the Hamiltonian G

Symmetry group of the ground state H

Goldstone bosons live on G/H

7. Generating functional

Collects the Green functions of QCD

8. Ward identities

Symmetries of the generating functional

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Taylor series in powers of external momenta

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Singularities due to the Goldstone bosons can be worked out with an effective field theory.

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χ PT, lattice, experiment

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Convergence, validity of Zweig rule

19. Conclusions for $SU(3) \times SU(3)$

Exercises

I. Standard Model at low energies

1. Interactions

strong weak e.m. gravity

$$SU(3) \times SU(2) \times U(1) \times D$$

Gravity

understood only at classical level

gravitational waves ✓

quantum theory of gravity ?

classical theory should be adequate for

$$r \gg \sqrt{\frac{G \hbar}{c^3}} = 1.6 \cdot 10^{-35} \text{ m}$$

Weak interaction

frozen at low energies

$$E \ll M_w c^2 \simeq 80 \text{ GeV}$$

⇒ structure of matter: only strong and electromagnetic interaction

⇒ neutrini decouple

Electromagnetic interaction

Maxwell \sim 1860

survived relativity and quantum theory, unharmed

- Electrons in electromagnetic field ($\hbar = c = 1$)

$$\frac{1}{i} \frac{\partial \psi}{\partial t} - \frac{1}{2m_e^2} (\vec{\nabla} + i e \vec{A})^2 \psi - e \varphi \psi = 0$$

contains the potentials \vec{A} , φ

- only $\vec{E} = -\vec{\nabla} \varphi - \frac{\partial \vec{A}}{\partial t}$ and $\vec{B} = \vec{\nabla} \times \vec{A}$

are of physical significance

- Schrödinger equation is invariant under gauge transformations

$$\vec{A}' = \vec{A} + \vec{\nabla} f, \quad \varphi' = \varphi - \frac{\partial f}{\partial t}, \quad \psi' = e^{-ief} \psi$$

describe the same physical situation as \vec{A}, φ, ψ

- Equivalence principle of the e.m. interaction:

$$\psi \text{ physically equivalent to } e^{-ief} \psi$$

- e^{-ief} is unitary 1×1 matrix, $e^{-ief} \in U(1)$
 $f = f(\vec{x}, t)$ space-time dependent function
- gauge invariance \iff local $U(1)$ symmetry
electromagnetic field is gauge field of $U(1)$
Weyl 1929
- $U(1)$ symmetry + renormalizability
fully determine the e.m. interaction

Strong interaction

nuclei = p + n ~ 1930

- Nuclear forces

Yukawa ~ 1935

$$V_{e.m.} = -\frac{e^2}{4\pi r} \quad V_s = -\frac{h^2}{4\pi r} e^{-\frac{r}{r_0}}$$

$$\frac{e^2}{4\pi} \simeq \frac{1}{137}$$

$$\frac{h^2}{4\pi} \simeq 13$$

long range

short range

$$r_0 = \infty$$

$$r_0 = \frac{\hbar}{M_\pi c} = 1.4 \cdot 10^{-15} \text{ m}$$

$$M_\gamma = 0 \quad M_\pi c^2 \simeq 140 \text{ MeV}$$

- Problem with Yukawa formula:
p and n are extended objects
diameter comparable to range of force
formula only holds for $r \gg$ diameter

- Protons, neutrons composed of quarks

$$p = uud \quad n = udd$$

- Quarks carry internal quantum number

$$u = \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} \quad d = \begin{pmatrix} d_1 \\ d_2 \\ d_3 \end{pmatrix}$$

occur in 3 “colours”

- Strong interaction is invariant under local rotations in colour space 1973

$$u' = U \cdot u \quad d' = U \cdot d$$

$$U = \begin{pmatrix} U_{11} & U_{12} & U_{13} \\ U_{21} & U_{22} & U_{23} \\ U_{31} & U_{32} & U_{33} \end{pmatrix} \in \mathbf{SU}(3)$$

- Can only be so if the strong interaction is also mediated by a gauge field

gauge field of $\mathbf{SU}(3) \implies$ strong interaction

Quantum chromodynamics

Comparison of e.m. and strong interaction

	QED	QCD
symmetry	U(1)	SU(3)
gauge field	\vec{A}, φ	gluon field
particles	photons	gluons
source	charge	colour
coupling constant	e	g

- All charged particles generate e.m. field
- All coloured particles generate gluon field
- Leptons do not interact strongly because they do not carry colour
- Equivalence principle of the strong interaction:

$$U \cdot \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} \text{ physically equivalent to } \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix}$$

2. QED+QCD

Effective theory for $E \ll M_{\text{W}}c^2 \simeq 80 \text{ GeV}$

Symmetry $U(1) \times SU(3)$

Lagrangian QED+QCD

- Dynamical variables:
gauge fields for photons and gluons
Fermi fields for leptons and quarks
- Interaction fully determined by group geometry
Lagrangian contains 2 coupling constants

$$e, g$$

- Quark and lepton mass matrices can be brought to diagonal form, eigenvalues real, positive

$$m_e, m_\mu, m_\tau, m_u, m_d, m_s, m_c, m_b, m_t$$

- Transformation generates vacuum angle

$$\theta$$

- θ breaks CP

Neutron dipole moment is very small

⇒ strong upper limit, $\theta \simeq 0$

⇒ Precision theory for cold matter,
atomic structure, solids, ...

Bohr radius:
$$a = \frac{4\pi}{e^2 m_e}$$

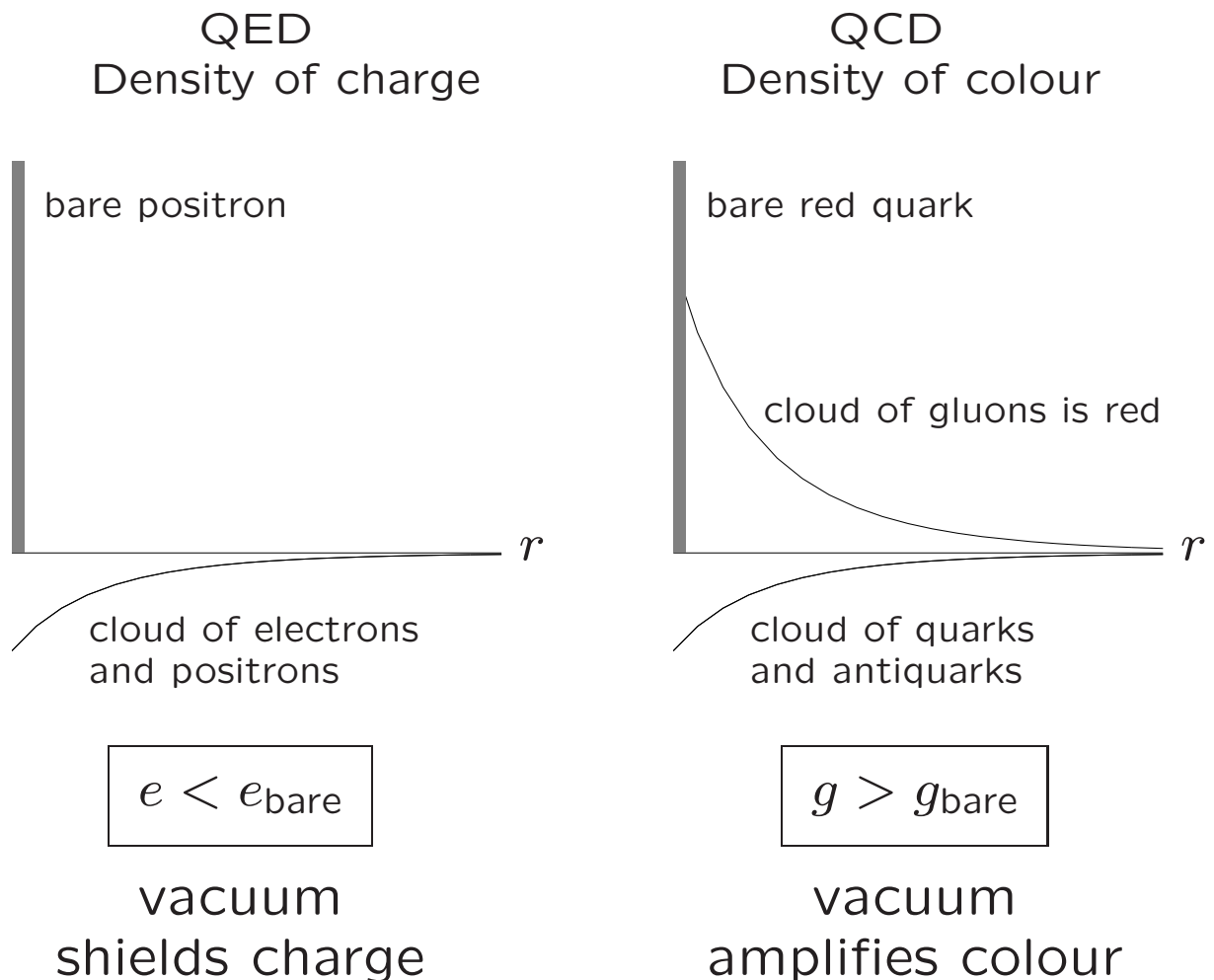
Qualitative difference between e.m. and strong interactions

- Photons do not have charge
- Gluons do have colour

$x_1 \cdot x_2 = x_2 \cdot x_1$ for $x_1, x_2 \in U(1)$ abelian

$x_1 \cdot x_2 \neq x_2 \cdot x_1$ for $x_1, x_2 \in SU(3)$

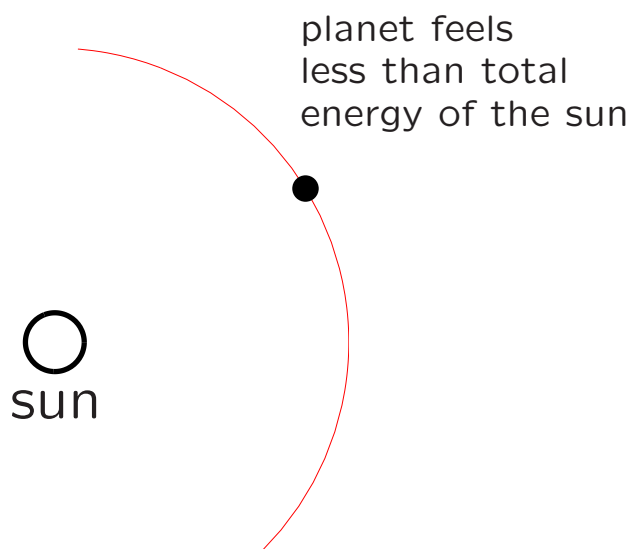
⇒ Consequence for vacuum polarization



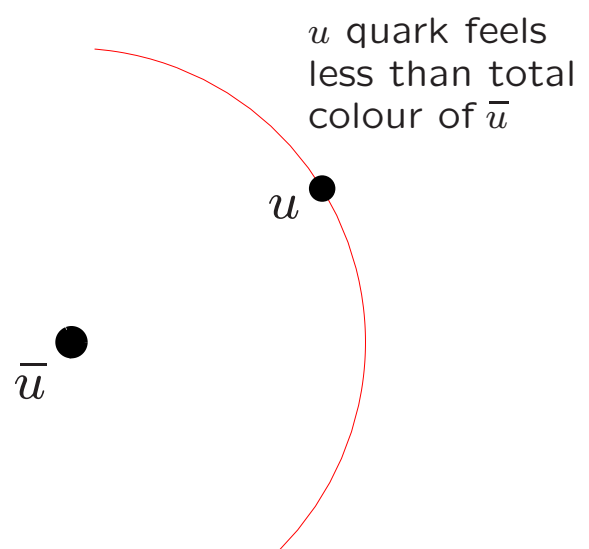
Comparison with gravity

- source of gravitational field: **energy**
gravitational field does carry **energy**
- source of e.m. field: **charge**
e.m. field does not carry **charge**
- source of gluon field: **colour**
gluon field does carry **colour**

gravity



strong interaction



Perihelion shift of Mercury:

$$43'' = 50'' - 7'' \text{ per century}$$

↑

- Force between u and \bar{u} :

$$V_s = -\frac{4}{3} \frac{g^2}{4\pi r}, \quad g \rightarrow 0 \quad \text{for} \quad r \rightarrow 0$$

$$\frac{g^2}{4\pi} = \frac{6\pi}{(11N_c - 2N_f) |\ln(r \Lambda_{\text{QCD}})|}$$

$$|\ln(r \Lambda_{\text{QCD}})| \simeq 7 \quad \text{for} \quad r = \frac{\hbar}{M_Z c} \simeq 2 \cdot 10^{-18} \text{ m}$$

- Vacuum amplifies gluonic field of a bare quark
 - Field energy surrounding isolated quark = ∞
Only colour neutral states have finite energy
- ⇒ Confinement of colour
- Theoretical evidence for confinement meagre
Experimental evidence much more convincing

QED: interaction weak at low energies
QCD: interaction strong at low energies

$$\frac{e^2}{4\pi} \simeq \frac{1}{137}$$

photons, leptons
nearly decouple

$$\frac{g^2}{4\pi} \simeq 1$$

gluons, quarks
confined

- Nuclear forces = van der Waals forces of QCD

3. Chiral symmetry

- For bound states of quarks, e.m. interaction is a small perturbation

Perturbation series in powers of $\frac{e^2}{4\pi}$ ✓

Discuss only the leading term: set $e = 0$

- Lagrangian then reduces to QCD

$$g, m_u, m_d, m_s, m_c, m_b, m_t$$

- m_u, m_d, m_s happen to be light

Consequence:

Approximate flavour symmetries

Play a crucial role for the low energy properties

Theoretical paradise

$$m_u = m_d = m_s = 0$$

$$m_c = m_b = m_t = \infty$$

QCD with 3 massless quarks

- Lagrangian contains a single parameter: g
 g is net colour of a quark
depends on radius of the region considered

- Colour contained within radius r

$$\frac{g^2}{4\pi} = \frac{2\pi}{9 |\ln(r \Lambda_{\text{QCD}})|}$$

- Intrinsic scale Λ_{QCD} is meaningful,
but not dimensionless

⇒ No dimensionless free parameter

All dimensionless physical quantities are pure numbers, determined by the theory

Cross sections can be expressed in terms of Λ_{QCD} or in the mass of the proton

- Interactions of u, d, s are identical
If the masses are set equal to zero,
there is no difference at all

$$q = \begin{pmatrix} u \\ d \\ s \end{pmatrix}$$

- Lagrangian symmetric under $u \leftrightarrow d \leftrightarrow s$

$$q' = V \cdot q \quad V \in \text{SU}(3)$$

V acts on quark flavour, mixes u, d, s

- More symmetry: For massless fermions,
right and left do not communicate

⇒ Lagrangian of massless QCD is invariant under independent rotations of the right- and left-handed quark fields

$$q_R = \frac{1}{2}(1 + \gamma_5) q, \quad q_L = \frac{1}{2}(1 - \gamma_5) q$$

$$q'_R = V_R \cdot q_R \quad q'_L = V_L \cdot q_L$$

$$G = \text{SU}(3)_R \times \text{SU}(3)_L$$

- Massless QCD invariant under

$$G = \text{SU}(3)_R \times \text{SU}(3)_L$$

$\text{SU}(3)$ has 8 parameters

⇒ Symmetry under Lie group with 16 parameters

⇒ 16 conserved “charges”

Q_1^V, \dots, Q_8^V (vector currents)

Q_1^A, \dots, Q_8^A (axial currents)

commute with the Hamiltonian:

$$[Q_i^V, H_0] = 0 \quad [Q_i^A, H_0] = 0$$

“Chiral symmetry” of massless QCD

- Vafa and Witten 1984: state of lowest energy is invariant under the vector charges

$$Q_i^V |0\rangle = 0$$

- Axial charges ? $Q_i^A |0\rangle = ?$

Two alternatives for axial charges

$$Q_i^A |0\rangle = 0$$

Wigner-Weyl realization of G
ground state is symmetric

$$\langle 0 | \bar{q}_R q_L | 0 \rangle = 0$$

ordinary symmetry
spectrum contains parity partners
degenerate multiplets of G

$$Q_i^A |0\rangle \neq 0$$

Nambu-Goldstone realization of G
ground state is asymmetric

$$\langle 0 | \bar{q}_R q_L | 0 \rangle \neq 0$$

“order parameter”
spontaneously broken symmetry
spectrum contains Goldstone bosons
degenerate multiplets of $SU(3)_V \subset G$

$$G = SU(3)_R \times SU(3)_L$$

- Spontaneous symmetry breakdown was discovered in condensed matter physics:
Spontaneous magnetization selects direction
- ⇒ Rotation symmetry is spontaneously broken
Goldstone bosons = spin waves, magnons
- Nambu 1960: state of lowest energy in particle physics is not invariant under chiral rotations
$$Q_i^A |0\rangle \neq 0$$
For dynamical reasons, the state of lowest energy must be asymmetric
- ⇒ Chiral symmetry is spontaneously broken
- Very strong experimental evidence ✓
- Theoretical understanding on the basis of the QCD Lagrangian ?

- Analog of Magnetization ?

$$\bar{q}_R q_L = \begin{pmatrix} \bar{u}_R u_L & \bar{d}_R u_L & \bar{s}_R u_L \\ \bar{u}_R d_L & \bar{d}_R d_L & \bar{s}_R d_L \\ \bar{u}_R s_L & \bar{d}_R s_L & \bar{s}_R s_L \end{pmatrix}$$

Transforms like $(\bar{3}, 3)$ under $SU(3)_R \times SU(3)_L$

If the ground state were symmetric, the matrix $\langle 0 | \bar{q}_R q_L | 0 \rangle$ would have to vanish, because it singles out a direction in flavour space

“quark condensate”, is quantitative measure of spontaneous symmetry breaking

“order parameter”

$$\langle 0 | \bar{q}_R q_L | 0 \rangle \Leftrightarrow \text{magnetization}$$

- Ground state is invariant under $SU(3)_V$

$\Rightarrow \langle 0 | \bar{q}_R q_L | 0 \rangle$ is proportional to unit matrix

$$\langle 0 | \bar{u}_R u_L | 0 \rangle = \langle 0 | \bar{d}_R d_L | 0 \rangle = \langle 0 | \bar{s}_R s_L | 0 \rangle$$

$$\langle 0 | \bar{u}_R d_L | 0 \rangle = \dots = 0$$

4. Goldstone Theorem

- Consequence of $Q_i^A |0\rangle \neq 0$:

$$H_0 Q_i^A |0\rangle = Q_i^A H_0 |0\rangle = 0$$

spectrum must contain 8 states

$$Q_1^A |0\rangle, \dots, Q_8^A |0\rangle \quad \text{with } E = 0,$$

spin 0, negative parity, octet of $SU(3)_V$

Goldstone bosons

- Argument is not water tight:

$$\langle 0 | Q_i^A Q_k^A | 0 \rangle = \int d^3x d^3y \langle 0 | A_i^0(x) A_k^0(y) | 0 \rangle$$

$$\langle 0 | A_i^0(x) A_k^0(y) | 0 \rangle \text{ only depends on } \vec{x} - \vec{y}$$

$\Rightarrow \langle 0 | Q_i^A Q_k^A | 0 \rangle$ is proportional to the volume of the universe, $|\langle Q_i^A | 0 \rangle| = \infty$

- Rigorous version of Goldstone theorem:

$$\langle 0 | \bar{q}_R q_L | 0 \rangle \neq 0 \Rightarrow \exists \text{ massless particles}$$

Proof

$$Q = \int d^3x \bar{u} \gamma^0 \gamma_5 d$$

$$[Q, \bar{d} \gamma_5 u] = -\bar{u} u - \bar{d} d$$

- $F^\mu(x - y) \equiv \langle 0 | \bar{u}(x) \gamma^\mu \gamma_5 d(x) \bar{d}(y) \gamma_5 u(y) | 0 \rangle$

Lorentz invariance $\Rightarrow F^\mu(z) = z^\mu f(z^2)$

Chiral symmetry $\Rightarrow \partial_\mu F^\mu(z) = 0$

$$\Rightarrow 4f(z^2) + 2z^2 f'(z^2) = 0$$

$$\Rightarrow f(z^2) = \frac{\text{constant}}{z^4}$$

$$\Rightarrow F^\mu(z) = \frac{z^\mu}{z^4} \times \text{constant}$$

- Compare Källén–Lehmann representation:

$$\begin{aligned} \langle 0 | \bar{u}(x) \gamma^\mu \gamma_5 d(x) \bar{d}(y) \gamma_5 u(y) | 0 \rangle \\ = (2\pi)^{-3} \int d^4 p p^\mu \rho(p^2) e^{-ip(x-y)} \\ = \int_0^\infty ds \rho(s) \partial^\mu \Delta^+(x-y, s) \end{aligned}$$

$\Delta^+(z, s)$: propagator for particle of mass \sqrt{s}

$$\Delta^+(z, s) = \frac{i}{(2\pi)^3} \int d^4 p \theta(p^0) \delta(p^2 - s) e^{-ipz}$$

- Massless propagator:

$$\Delta^+(z, 0) = \frac{1}{4\pi i z^2}$$

$$\Rightarrow \partial_\mu \Delta^+(z, 0) = \frac{z_\mu}{z^4} \times \text{constant}$$

- Result

$$\boxed{\langle 0 | \bar{u}(x) \gamma^\mu \gamma_5 d(x) \bar{d}(y) \gamma_5 u(y) | 0 \rangle = C \partial^\mu \Delta^+(z, 0)}$$

\Rightarrow Only massless intermediate states contribute:

$$\rho(s) = C \delta(s)$$

- Why only massless intermediate states ?

$\langle n | \bar{d} \gamma_5 u | 0 \rangle \neq 0$ only if $\langle n |$ has spin 0

If $|n\rangle$ has spin 0 $\Rightarrow \langle 0 | \bar{u}(x) \gamma^\mu \gamma_5 d(x) | n \rangle \propto p^\mu e^{-ipx}$

$\partial_\mu (\bar{u} \gamma^\mu \gamma_5 d) = 0 \Rightarrow p^2 = 0$

\Rightarrow Either \exists massless particles or $C = 0$

- Claim: $\langle 0 | \bar{q}_R q_L | 0 \rangle \neq 0 \Rightarrow C \neq 0$

Lorentz invariance, chiral symmetry

$\Rightarrow \langle 0 | \bar{d}(y) \gamma_5 u(y) \bar{u}(x) \gamma^\mu \gamma_5 d(x) | 0 \rangle = C' \partial^\mu \Delta^-(z)$

$\Rightarrow \langle 0 | [\bar{u}(x) \gamma^\mu \gamma_5 d(x), \bar{d}(y) \gamma_5 u(y)] | 0 \rangle$

$$= C \partial^\mu \Delta^+(z, 0) - C' \partial^\mu \Delta^-(z, 0)$$

- Causality: if $x - y$ is spacelike, then

$\langle 0 | [\bar{u}(x) \gamma^\mu \gamma_5 d(x), \bar{d}(y) \gamma_5 u(y)] | 0 \rangle = 0$

$\Rightarrow C' = -C$

$\Rightarrow \langle 0 | [\bar{u}(x) \gamma^\mu \gamma_5 d(x), \bar{d}(y) \gamma_5 u(y)] | 0 \rangle = C \partial^\mu \Delta(z, 0)$

$\Rightarrow \langle 0 | [Q, \bar{d}(y) \gamma_5 u(y)] | 0 \rangle = C$

- $\langle 0 | [Q, \bar{d}(y) \gamma_5 u(y)] | 0 \rangle = -\langle 0 | \bar{u}u + \bar{d}d | 0 \rangle = C$

Hence $\langle 0 | \bar{u}u + \bar{d}d | 0 \rangle \neq 0$ implies $C \neq 0$ qed.

5. Gell-Mann-Oakes-Renner relation

⇒ Spectrum of QCD with 3 massless quarks must contain 8 massless physical particles, $J^P = 0^-$

- Indeed, the 8 lightest mesons do have these quantum numbers:

$$\pi^+, \pi^0, \pi^-, K^+, K^0, \bar{K}^0, K^-, \eta$$

But massless they are not

- Real world \neq paradise

$$m_u, m_d, m_s \neq 0$$

Quark masses break chiral symmetry,
allow left to talk to right

- Chiral symmetry broken in two ways:

spontaneously $\langle 0 | \bar{q}_R q_L | 0 \rangle \neq 0$

explicitly $m_u, m_d, m_s \neq 0$

- H_{QCD} only has approximate symmetry to the extent that m_u, m_d, m_s are small

$$H_{\text{QCD}} = H_0 + H_1$$

$$H_1 = \int d^3x \{m_u \bar{u}u + m_d \bar{d}d + m_s \bar{s}s\}$$

- H_0 is Hamiltonian of the massless theory, invariant under $SU(3)_R \times SU(3)_L$
- H_1 breaks the symmetry, transforms with $(3, \bar{3}) \oplus (\bar{3}, 3)$
- For the low energy structure of QCD, the heavy quarks do not play an essential role: c, b, t are singlets under $SU(3)_R \times SU(3)_L$
Can include the heavy quarks in H_0
- Goldstone bosons are massless only if the symmetry is exact

$$M_\pi^2 = (m_u + m_d) \times |\langle 0 | \bar{u} u | 0 \rangle| \times \frac{1}{F_\pi^2}$$

1968

↑

↑

explicit spontaneous

Coefficient: decay constant F_π

Derivation

- Pion matrix elements in massless theory:

$$\begin{aligned} \langle 0 | \bar{u} \gamma^\mu \gamma_5 d | \pi^- \rangle &= i \sqrt{2} F p^\mu \\ \langle 0 | \bar{u} i \gamma_5 d | \pi^- \rangle &= \sqrt{2} G \end{aligned}$$

Only the one-pion intermediate state

$$\langle 0 | \bar{u}(x) \gamma^\mu \gamma_5 d(x) \overset{\uparrow}{| \pi^- \rangle} \langle \pi^- |} \bar{d}(y) \gamma_5 u(y) | 0 \rangle = C \partial^\mu \Delta^+(z, 0)$$

contributes. Hence $2 F G = C$

- Value of C fixed by quark condensate

$$C = -\langle 0 | \bar{u} u + \bar{d} d | 0 \rangle$$

⇒ Exact result in massless theory:

$$F G = -\langle 0 | \bar{u} u | 0 \rangle$$

- Matrix elements for $m_{\text{quark}} \neq 0$:

$$\langle 0 | \bar{u} \gamma^\mu \gamma_5 d | \pi^- \rangle = i \sqrt{2} F_\pi p^\mu$$

$$\langle 0 | \bar{u} i \gamma_5 d | \pi^- \rangle = \sqrt{2} G_\pi$$

- Current conservation

$$\partial_\mu (\bar{u} \gamma^\mu \gamma_5 d) = (m_u + m_d) \bar{u} i \gamma_5 d$$

$$\Rightarrow F_\pi M_\pi^2 = (m_u + m_d) G_\pi$$

$$\boxed{M_\pi^2 = (m_u + m_d) \frac{G_\pi}{F_\pi}} \quad \text{exact for } m \neq 0$$

- $F_\pi \rightarrow F$, $G_\pi \rightarrow G$ for $m \rightarrow 0$

$$F G = -\langle 0 | \bar{u} u | 0 \rangle$$

$$\Rightarrow \frac{G_\pi}{F_\pi} = -\frac{\langle 0 | \bar{u} u | 0 \rangle}{F_\pi^2} + O(m)$$

$$\Rightarrow M_\pi^2 = (m_u + m_d) \left(\frac{-\langle 0 | \bar{u} u | 0 \rangle}{F_\pi^2} \right) + O(m^2) \quad \checkmark$$

$$\Rightarrow \langle 0 | \bar{u} u | 0 \rangle \leq 0 \text{ if quark masses are positive}$$

- $M_\pi^2 = (m_u + m_d) B + O(m^2)$

$$B = \frac{|\langle 0 | \bar{u} u | 0 \rangle|}{F_\pi^2}$$

- M_π disappears if the symmetry breaking is turned off, $m_u, m_d \rightarrow 0$ ✓

- Explains why the pseudoscalar mesons have very different masses

$$M_{K^+}^2 = (m_u + m_s) B + O(m^2)$$

$$M_{K^-}^2 = (m_d + m_s) B + O(m^2)$$

⇒ M_K^2 is about 13 times larger than M_π^2 , because m_u, m_d happen to be small compared to m_s

- First order perturbation theory also yields

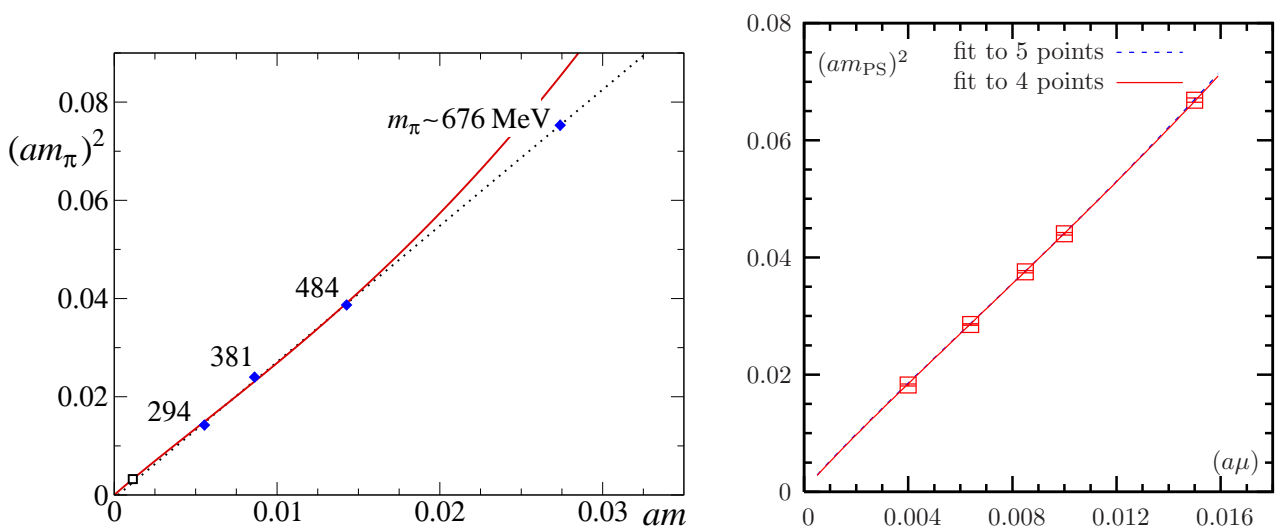
$$M_\eta^2 = \frac{1}{3} (m_u + m_d + 4m_s) B + O(m^2)$$

⇒ $M_\pi^2 - 4M_K^2 + 3M_\eta^2 = O(m^2)$

Gell-Mann-Okubo formula for M^2 ✓

Checking the GMOR formula on a lattice

- Can determine M_π as function of $m_u = m_d = m$



Lüscher, Lattice conference 2005 ETM collaboration, hep-lat/0701012

- No quenching, quark masses sufficiently light
- ⇒ Legitimate to use χ P.T for the extrapolation to the physical values of m_u, m_d

- Quality of data is impressive
- Proportionality of M_π^2 to the quark mass appears to hold out to values of m_u, m_d that are an order of magnitude larger than in nature
- Main limitation: systematic uncertainties
in particular: $N_f = 2 \rightarrow N_f = 3$

II. Chiral perturbation theory

6. Group geometry

- QCD with 3 massless quarks:
spontaneous symmetry breakdown
from $SU(3)_R \times SU(3)_L$ to $SU(3)_V$
generates 8 Goldstone bosons
- Generalization: suppose symmetry group
of Hamiltonian is Lie group G
Generators Q_1, Q_2, \dots, Q_D , $D = \dim(G)$
For some generators $Q_i |0\rangle \neq 0$
How many Goldstone bosons ?
- Consider those elements of the Lie algebra
 $Q = \alpha_1 Q_1 + \dots + \alpha_n Q_D$, for which $Q |0\rangle = 0$
These elements form a subalgebra:
 $Q |0\rangle = 0, Q' |0\rangle = 0 \Rightarrow [Q, Q'] |0\rangle = 0$
Dimension of subalgebra: $d \leq D$
- Of the D vectors $Q_i |0\rangle$
 $D - d$ are linearly independent
 $\Rightarrow D - d$ different physical states of zero mass
 $\Rightarrow D - d$ Goldstone bosons

- Subalgebra generates subgroup $H \subset G$
 H is symmetry group of the ground state
 coset space G/H contains as many parameters
 as there are Goldstone bosons
 $d = \dim(H)$, $D = \dim(G)$

⇒ Goldstone bosons live on the coset G/H

- Example: QCD with N_f massless quarks

$$G = SU(N_f)_R \times SU(N_f)_L$$

$$H = SU(N_f)_V$$

$$D = 2(N_f^2 - 1), \quad d = N_f^2 - 1$$

$$N_f^2 - 1 \text{ Goldstone bosons}$$

- It so happens that $m_u, m_d \ll m_s$
- $m_u = m_d = 0$ is an excellent approximation
 $SU(2)_R \times SU(2)_L$ is a nearly exact symmetry
 $N_f = 2$, $N_f^2 - 1 = 3$ Goldstone bosons (pions)

7. Generating functional

- Basic objects for quantitative analysis of QCD: Green functions of the currents

$$V_a^\mu = \bar{q} \gamma^\mu \frac{1}{2} \lambda_a q, \quad A_a^\mu = \bar{q} \gamma^\mu \gamma_5 \frac{1}{2} \lambda_a q,$$

$$S_a = \bar{q} \frac{1}{2} \lambda_a q, \quad P_a = \bar{q} i \gamma_5 \frac{1}{2} \lambda_a q$$

Include singlets, with $\lambda_0 = \sqrt{2/3} \times \mathbf{1}$, as well as

$$\omega = \frac{1}{16\pi^2} \text{tr}_c G_{\mu\nu} \tilde{G}^{\mu\nu}$$

- Can collect all of the Green functions formed with these operators in a generating functional: Perturb the system with external fields

$$v_\mu^a(x), a_\mu^a(x), s_a(x), p^a(x), \theta(x)$$

Replace the Lagrangian of the massless theory

$$\mathcal{L}_0 = -\frac{1}{2g^2} \text{tr}_c G_{\mu\nu} G^{\mu\nu} + \bar{q} i \gamma^\mu (\partial_\mu - i G_\mu) q$$

by $\mathcal{L} = \mathcal{L}_0 + \mathcal{L}_1$

$$\mathcal{L}_1 = v_\mu^a V_a^\mu + a_\mu^a A_a^\mu - s^a S_a - p^a P_a - \theta \omega$$

- Quark mass terms are included in the external field $s_a(x)$

- $|0 \text{ in}\rangle$: system is in ground state for $x^0 \rightarrow -\infty$
Probability amplitude for finding ground state when $x^0 \rightarrow +\infty$:

$$e^{iS_{\text{QCD}}\{v,a,s,p,\theta\}} = \langle 0 \text{ out} | 0 \text{ in} \rangle_{v,a,s,p,\theta}$$

$S_{\text{QCD}}\{v, a, s, p, \theta\}$ "generating functional of QCD"

- Expressed in terms of ground state of \mathcal{L}_0 :

$$e^{iS_{\text{QCD}}\{v,a,s,p,\theta\}} = \langle 0 | T \exp i \int dx \mathcal{L}_1 | 0 \rangle$$

- Expansion of $S_{\text{QCD}}\{v, a, s, p, \theta\}$ in powers of the external fields yields the connected parts of the Green functions of the massless theory

$$S_{\text{QCD}}\{v, a, s, p, \theta\} = - \int dx s_a(x) \langle 0 | S^a(x) | 0 \rangle + \frac{i}{2} \int dx dy a_\mu^a(x) a_\nu^b(y) \langle 0 | T A_a^\mu(x) A_b^\nu(y) | 0 \rangle_{\text{conn}} + \dots$$

- For Green functions of full QCD, set

$$s_a(x) = m_a + \tilde{s}_a(x), \quad m_a = \text{tr} \lambda_a m$$

and expand around $\tilde{s}_a(x) = 0$

- Path integral representation of generating functional:

$$e^{iS_{\text{QCD}}\{v,a,s,p\}} = \mathcal{N} \int [dG] e^{i \int dx \mathcal{L}_G} \det D$$

$$\mathcal{L}_G = -\frac{1}{2g^2} \text{tr}_c G_{\mu\nu} G^{\mu\nu} - \frac{\theta}{16\pi^2} \text{tr}_c G_{\mu\nu} \tilde{G}^{\mu\nu}$$

$$D = i\gamma^\mu \{ \partial_\mu - i(G_\mu + v_\mu + a_\mu \gamma_5) \} - s - i\gamma_5 p$$

G_μ is matrix in colour space

v_μ, a_μ, s, p are matrices in flavour space

$$v_\mu(x) \equiv \frac{1}{2} \lambda_a v_\mu^a(x), \text{ etc.}$$

8. Ward identities

Symmetry in terms of Green functions

- Lagrangian is invariant under

$$q_R(x) \rightarrow V_R(x) q_R(x), \quad q_L(x) \rightarrow V_L(x) q_L(x)$$
$$V_R(x), V_L(x) \in U(3)$$

provided the external fields are transformed with

$$v'_\mu + a'_\mu = V_R(v_\mu + a_\mu)V_R^\dagger - i\partial_\mu V_R V_R^\dagger$$
$$v'_\mu - a'_\mu = V_L(v_\mu - a_\mu)V_L^\dagger - i\partial_\mu V_L V_L^\dagger$$
$$s' + i p' = V_R(s + i p)V_L^\dagger$$

The operation takes the Dirac operator into

$$D' = \{P_- V_R + P_+ V_L\} D \{P_+ V_R^\dagger + P_- V_L^\dagger\}$$
$$P_\pm = \frac{1}{2}(1 \pm \gamma_5)$$

- $\det D$ requires regularization

⚡ symmetric regularization

$$\Rightarrow \det D' \neq \det D, \text{ only } |\det D'| = |\det D|$$

symmetry does not survive quantization

- Change in $\det D$ can explicitly be calculated

For an infinitesimal transformation

$$V_R = 1 + i\alpha + i\beta + \dots, \quad V_L = 1 + i\alpha - i\beta + \dots$$

the change in the determinant is given by

$$\det D' = \det D e^{-i \int dx \{2\langle\beta\rangle\omega + \langle\beta\Omega\rangle\}}$$

$$\langle A \rangle \equiv \text{tr } A$$

$$\omega = \frac{1}{16\pi^2} \text{tr}_c G_{\mu\nu} \tilde{G}^{\mu\nu} \quad \text{gluons}$$

$$\Omega = \frac{N_c}{4\pi^2} \epsilon^{\mu\nu\rho\sigma} \partial_\mu v_\nu \partial_\rho v_\sigma + \dots \quad \text{ext. fields}$$

- Consequence for generating functional:

The term with ω amounts to a change in θ ,
can be compensated by $\theta' = \theta - 2\langle\beta\rangle$

Pull term with $\langle\beta\Omega\rangle$ outside the path integral

$$\Rightarrow S_{\text{QCD}}\{v', a', s', p', \theta'\} = S_{\text{QCD}}\{v, a, s, p, \theta\} - \int dx \langle\beta\Omega\rangle$$

$$S_{\text{QCD}}\{v', a', s', p', \theta'\} = S_{\text{QCD}}\{v, a, s, p, \theta\} - \int dx \langle \beta \Omega \rangle$$

- S_{QCD} is invariant under $U(3)_R \times U(3)_L$, except for a specific change due to the anomalies
- Relation plays key role in low energy analysis: collects all of the Ward identities
For the octet part of the axial current, e.g.

$$\begin{aligned} \partial_\mu^x \langle 0 | T A_a^\mu(x) P_b(y) | 0 \rangle &= -\frac{1}{4} i \delta(x - y) \langle 0 | \bar{q} \{ \lambda_a, \lambda_b \} q | 0 \rangle \\ &\quad + \langle 0 | T \bar{q}(x) i \gamma_5 \{ m, \frac{1}{2} \lambda_a \} q(x) P_b(y) | 0 \rangle \end{aligned}$$

- Symmetry of the generating functional implies the operator relations

$$\partial_\mu V_a^\mu = \bar{q} i [m, \frac{1}{2} \lambda_a] q, \quad a = 0, \dots, 8$$

$$\partial_\mu A_a^\mu = \bar{q} i \gamma_5 \{ m, \frac{1}{2} \lambda_a \} q, \quad a = 1, \dots, 8$$

$$\partial_\mu A_0^\mu = \sqrt{\frac{2}{3}} \bar{q} i \gamma_5 m q + \sqrt{6} \omega$$

- Textbook derivation of the Ward identities goes in inverse direction, but is slippery formal manipulations, anomalies ?

9. Low energy expansion

- If the spectrum has an energy gap
- ⇒ no singularities in scattering amplitudes or Green functions near $p = 0$
- ⇒ low energy behaviour may be analyzed with Taylor series expansion in powers of p

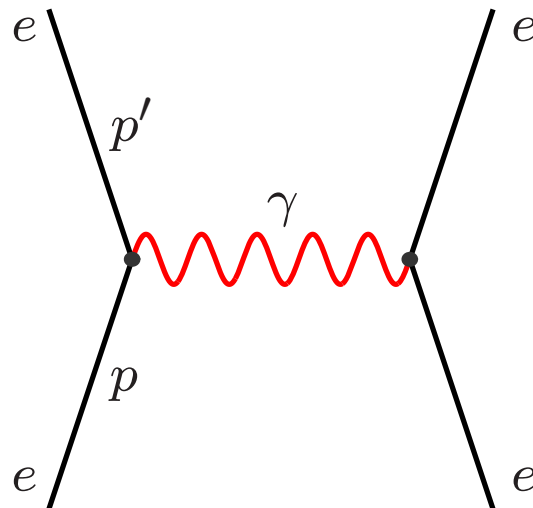
$$f(t) = 1 + \frac{1}{6} \langle r^2 \rangle t + \dots \text{ form factor}$$

$$T(p) = a + b p^2 + \dots \text{ scattering amplitude}$$

Cross section dominated by S -wave scattering length $\frac{d\sigma}{d\Omega} \simeq |a|^2$

- Expansion parameter: $\frac{p}{m} = \frac{\text{momentum}}{\text{energy gap}}$
- Taylor series only works if the spectrum has an energy gap, i.e. if there are no massless particles

- Illustration: Coulomb scattering



Photon exchange \Rightarrow pole at $t = 0$

$$T = \frac{e^2}{(p' - p)^2}$$

Scattering amplitude does not admit Taylor series expansion in powers of p

- QCD does have an energy gap but the gap is very small: M_π
- \Rightarrow Taylor series has very small radius of convergence, useful only for $p < M_\pi$

- Massless QCD contains infrared singularities due to the Goldstone bosons
 - For $m_u = m_d = 0$, pion exchange gives rise to poles and branch points at $p = 0$
- ⇒ Low energy expansion is not a Taylor series, contains logarithms

Singularities due to Goldstone bosons can be worked out with an effective field theory
 “Chiral Perturbation Theory”

Weinberg, Dashen, Pagels, Gasser, . . .

- Chiral perturbation theory correctly reproduces the infrared singularities of QCD
- Quantities of interest are expanded in powers of external momenta and quark masses
- Expansion has been worked out to next-to-leading order for many quantities
 ” Chiral perturbation theory to one loop”
- In quite a few cases, the next-to-next-to-leading order is also known

- Properties of the Goldstone bosons are governed by the hidden symmetry that is responsible for their occurrence
- Focus on the singularities due to the pions
Include the mass term of strange quark in H_0

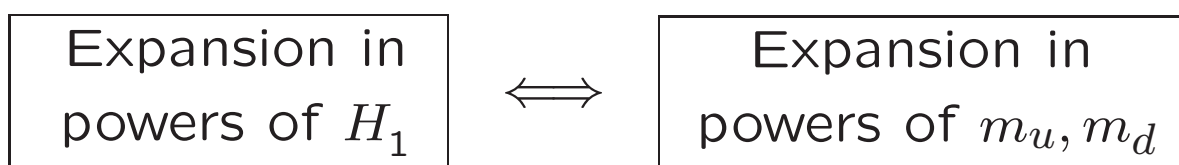
$$H_{\text{QCD}} = H_0 + H_1$$

$$H_1 = \int d^3x \{m_u \bar{u}u + m_d \bar{d}d\}$$

H_0 is invariant under $G = \text{SU}(2)_R \times \text{SU}(2)_L$

$|0\rangle$ is invariant under $H = \text{SU}(2)_V$

- Treat H_1 as a perturbation



- Extension to $\text{SU}(3)_R \times \text{SU}(3)_L$ straightforward:
include singularities due to exchange of K, η

10. Effective Lagrangian

- Replace quarks and gluons by pions

$$\vec{\pi}(x) = \{\pi^1(x), \pi^2(x), \pi^3(x)\}$$

$$\mathcal{L}_{\text{QCD}} \rightarrow \mathcal{L}_{\text{eff}}$$

- Central claim:

A. Effective theory yields alternative representation for generating functional of QCD

$$e^{iS_{\text{QCD}}\{v,a,s,p,\theta\}} = \mathcal{N}_{\text{eff}} \int [d\pi] e^{i \int dx \mathcal{L}_{\text{eff}}\{\vec{\pi},v,a,s,p,\theta\}}$$

B. \mathcal{L}_{eff} has the same symmetries as \mathcal{L}_{QCD}

⇒ Can calculate the low energy expansion of the Green functions with the effective theory.

If \mathcal{L}_{eff} is chosen properly, this reproduces the low energy expansion of QCD, order by order.

- Proof of A and B: H.L., Annals Phys. 1994

- Pions live on the coset $G/H = SU(2)$

$$\vec{\pi}(x) \rightarrow U(x) \in SU(2)$$

The fields $\vec{\pi}(x)$ are the coordinates of $U(x)$

Can use canonical coordinates, for instance

$$U = \exp i \vec{\pi} \cdot \vec{\tau} \in SU(2)$$

- Action of the symmetry group on the quarks:

$$q'_R = V_R \cdot q_R, \quad q'_L = V_L \cdot q_L$$

- Action on the pion field:

$$U' = V_R \cdot U \cdot V_L^\dagger$$

Note: Transformation law for the coordinates $\vec{\pi}$ is complicated, nonlinear

- Except for the contribution from the anomalies, \mathcal{L}_{eff} is invariant

$$\boxed{\mathcal{L}_{eff}\{U', v', a', s', p', \theta'\} = \mathcal{L}_{eff}\{U, v, a, s, p, \theta\}}$$

Symmetry of S_{QCD} implies symmetry of \mathcal{L}_{eff}

Side remark

- For nonrelativistic effective theories, the effective Lagrangian is in general invariant only up to a total derivative.
- ⇒ From the point of view of effective field theory, nonrelativistic systems with Goldstone bosons are more complicated than relativistic ones

detailed discussion: H. L., Phys. Rev. D49 (1994) 3033

- Origin of the complication: the generators of the symmetry group may themselves give rise to order parameters

$$\langle 0 | Q^i | 0 \rangle \neq 0$$

This cannot happen in the relativistic case:

$$Q = \int d^3x j^0(x)$$
$$\langle 0 | j^\mu(x) | 0 \rangle = 0 \Rightarrow \langle 0 | Q | 0 \rangle = 0$$

Nonrelativistic example where it does happen:

Heisenberg model of a ferromagnet

$$H = -g \sum_{\langle ij \rangle} \vec{s}_i \cdot \vec{s}_j$$

$g > 0$ $\uparrow\uparrow$ lower in energy than $\uparrow\downarrow$

- Ground state = $\uparrow\uparrow\uparrow\uparrow \cdots \uparrow\uparrow$
- Magnetization: $\vec{M} = \frac{\mu}{V} \sum_i \vec{s}_i$
 $\langle 0 | \vec{M} | 0 \rangle \neq 0 \iff \langle 0 | \bar{q}_R q_L | 0 \rangle \neq 0$
- Symmetry generators: $\vec{Q} = \sum_i \vec{s}_i \propto \vec{M}$
- Hamiltonian is invariant under the full rotation group $G = \text{SO}(3)$, ground state is invariant only under rotations around the direction of $\langle 0 | \vec{M} | 0 \rangle$, $H = U(1)$
- Effective field lives on $G/H = S_2$: unit vector \vec{U} , parametrized by 2 coordinates π^1, π^2 .
- Effective Lagrangian of ferromagnet is invariant under local rotations only up to a total derivative. Leading term is related to the Brouwer degree of the map $(\pi^1, \pi^2) \rightarrow \vec{U}$.

11. Explicit construction of \mathcal{L}_{eff}

- First ignore the external fields,

$$\mathcal{L}_{eff} = \mathcal{L}_{eff}(U, \partial U, \partial^2 U, \dots)$$

Derivative expansion:

$$\mathcal{L}_{eff} = f_0(U) + f_1(U) \times \square U + f_2(U) \times \partial_\mu U \times \partial^\mu U + \dots$$

$$\begin{array}{ccc} \uparrow & \uparrow & \uparrow \\ O(1) & O(p^2) & O(p^2) \end{array}$$

Amounts to expansion in powers of momenta

- Term of $O(1)$: $f_0(U) = f_0(V_R U V_L^\dagger)$
 $V_R = \mathbf{1}, \quad V_L = U \rightarrow V_R U V_L^\dagger = \mathbf{1}$
- $\Rightarrow f_0(U) = f_0(\mathbf{1})$ irrelevant constant, drop it
- Term with $\square U$: integrate by parts
- \Rightarrow can absorb $f_1(U)$ in $f_2(U)$

⇒ Derivative expansion of \mathcal{L}_{eff} starts with

$$\mathcal{L}_{eff} = f_2(U) \times \partial_\mu U \times \partial^\mu U + O(p^4)$$

- Replace the partial derivative by

$$\Delta_\mu \equiv \partial_\mu U U^\dagger, \quad \text{tr} \Delta_\mu = 0$$

Δ_μ is invariant under $SU(2)_L$ and transforms with the representation $D^{(1)}$ under $SU(2)_R$:

$$\Delta_\mu \rightarrow V_R \Delta_\mu V_R^\dagger$$

In this notation, leading term is of the form

$$\mathcal{L}_{eff} = \tilde{f}_2(U) \times \Delta_\mu \times \Delta^\mu + O(p^4)$$

- Invariance under $SU(2)_L$: $\tilde{f}_2(U) = \tilde{f}_2(U V_L^\dagger)$
- ⇒ $\tilde{f}_2(U)$ is independent of U
- Invariance under $SU(2)_R$: $\Delta_\mu \times \Delta^\mu$ transforms with $D^{(1)} \times D^{(1)} \rightarrow$ contains unity exactly once: $\text{tr}(\Delta_\mu \Delta^\mu) = \text{tr}(\partial_\mu U U^\dagger \partial^\mu U U^\dagger) = -\text{tr}(\partial_\mu U \partial^\mu U^\dagger)$
- ⇒ Geometry fixes leading term up to a constant

$$\mathcal{L}_{eff} = \frac{F^2}{4} \text{tr}(\partial_\mu U \partial^\mu U^\dagger) + O(p^4)$$

$$\mathcal{L}_{eff} = \frac{F^2}{4} \text{tr}(\partial_\mu U \partial^\mu U^\dagger) + O(p^4)$$

- Lagrangian of the nonlinear σ -model
- Expansion in powers of $\vec{\pi}$:

$$U = \exp i \vec{\pi} \cdot \vec{\tau} = \mathbf{1} + i \vec{\pi} \cdot \vec{\tau} - \frac{1}{2} \vec{\pi}^2 + \dots$$

$$\Rightarrow \mathcal{L}_{eff} = \frac{F^2}{2} \partial_\mu \vec{\pi} \cdot \partial^\mu \vec{\pi} + \frac{F^2}{48} \text{tr}\{[\partial_\mu \pi, \pi] [\partial^\mu \pi, \pi]\} + \dots$$

For the kinetic term to have the standard normalization: rescale the pion field, $\vec{\pi} \rightarrow \vec{\pi}/F$

$$\mathcal{L}_{eff} = \frac{1}{2} \partial_\mu \vec{\pi} \cdot \partial^\mu \vec{\pi} + \frac{1}{48F^2} \text{tr}\{[\partial_\mu \pi, \pi] [\partial^\mu \pi, \pi]\} + \dots$$

- \Rightarrow a. Symmetry requires the pions to interact
- b. Derivative coupling: Goldstone bosons only interact if their momentum does not vanish λ/π^4

- Expression given for \mathcal{L}_{eff} only holds if the external fields are turned off. Also, $\text{tr}(\partial_\mu U \partial^\mu U^\dagger)$ is invariant only under global transformations

Suffices to replace $\partial_\mu U$ by

$$D_\mu U = \partial_\mu U - i(v_\mu + a_\mu)U + iU(v_\mu - a_\mu)$$

In contrast to $\text{tr}(\partial_\mu U \partial^\mu U^\dagger)$, the term $\text{tr}(D_\mu U D^\mu U^\dagger)$ is invariant under local $SU(2)_R \times SU(2)_L$

- Can construct further invariants: $s + ip$ transforms like $U \Rightarrow \text{tr}\{(s + ip)U^\dagger\}$ is invariant
Violates parity, but $\text{tr}\{(s + ip)U^\dagger\} + \text{tr}\{(s - ip)U\}$ is even under $p \rightarrow -p, \vec{\pi} \rightarrow -\vec{\pi}$

In addition, \exists invariant independent of U :

$$D_\mu \theta D^\mu \theta, \text{ with } D_\mu \theta = \partial_\mu \theta + 2 \text{tr}(a_\mu)$$

- Count the external fields as

$$\theta = O(1), \quad v_\mu, a_\mu = O(p), \quad s, p = O(p^2)$$

- Derivative expansion yields string of the form

$$\mathcal{L}_{eff} = \mathcal{L}^{(2)} + \mathcal{L}^{(4)} + \mathcal{L}^{(6)} + \dots$$

- Full expression for leading term:

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \langle D_\mu U D^\mu U^\dagger + \chi U^\dagger + U \chi^\dagger \rangle + h_0 D_\mu \theta D^\mu \theta$$

$$\chi \equiv 2B(s + ip), \quad \langle X \rangle \equiv \text{tr}(X)$$

- Contains 3 constants: F, B, h_0
“effective coupling constants”
- Next-to-leading order:

$$\begin{aligned} \mathcal{L}^{(4)} = & \frac{\ell_1}{4} \langle D_\mu U D^\mu U \rangle^2 + \frac{\ell_2}{4} \langle D_\mu U D_\nu U \rangle \langle D^\mu U D^\nu U \rangle \\ & + \frac{\ell_3}{4} \langle \chi U^\dagger + U \chi^\dagger \rangle^2 + \frac{\ell_4}{4} \langle D_\mu \chi D^\mu U^\dagger + D_\mu U D^\mu \chi^\dagger \rangle \\ & + \dots \end{aligned}$$

- Number of effective coupling constants rapidly grows with the order of the expansion

- Infinitely many effective coupling constants
Symmetry does not determine these
Predictivity ?
- Essential point: If \mathcal{L}_{eff} is known to given order
 \Rightarrow can work out low energy expansion of the
Green functions to that order (Weinberg 1979)
- NLO expressions for F_π, M_π involve 2 new
coupling constants: l_3, l_4 .

In the $\pi\pi$ scattering amplitude, two further
coupling constants enter at NLO: l_1, l_2 .

- Note: effective theory is a quantum field theory
Need to perform the path integral

$$e^{iS_{\text{QCD}}\{v,a,s,p,\theta\}} = \mathcal{N}_{eff} \int [d\pi] e^{i \int dx \mathcal{L}_{eff}\{\vec{\pi},v,a,s,p,\theta\}}$$

- Classical theory \Leftrightarrow tree graphs
Need to include graphs with loops
- Power counting in dimensional regularization:
Graphs with ℓ loops are suppressed by factor $p^{2\ell}$ as compared to tree graphs
- \Rightarrow Leading contributions given by tree graphs
Graphs with one loop contribute at next-to-leading order, etc.
- The leading contribution to S_{QCD} is given by the sum of all tree graphs = classical action:

$$S_{\text{QCD}}\{v, a, s, p, \theta\} = \text{extremum}_{U(x)} \int dx \mathcal{L}_{\text{eff}}\{U, v, a, s, p, \theta\}$$

- \Rightarrow current algebra in compact form

III. Illustrations

12. Some tree level calculations

A. Condensate in terms of QCD

- To calculate the quark condensate of the massless theory, it suffices to consider the generating functional for $v = a = p = \theta = 0$ and to take a constant scalar external field

$$s = \begin{pmatrix} m_u & 0 \\ 0 & m_d \end{pmatrix}$$

- Expansion in powers of m_u and m_d treats

$$H_1 = \int d^3x \{m_u \bar{u}u + m_d \bar{d}d\} \text{ as a perturbation}$$

$$S_{\text{QCD}}\{0, 0, m, 0, 0\} = S_{\text{QCD}}^0 + S_{\text{QCD}}^1 + \dots$$

- S_{QCD}^0 is independent of the quark masses (cosmological constant)
- S_{QCD}^1 is linear in the quark masses

- First order in $m_u, m_d \Rightarrow$ expectation value of H_1 in unperturbed ground state is relevant

$$S_{\text{QCD}}^1 = - \int dx \langle 0 | m_u \bar{u}u + m_d \bar{d}d | 0 \rangle$$

- \Rightarrow $\langle 0 | \bar{u}u | 0 \rangle$ and $\langle 0 | \bar{d}d | 0 \rangle$ are the coefficients of the terms in S_{QCD} that are linear in m_u and m_d

B. Condensate in terms of effective theory

- Need the generating functional for $v = a = p = \theta = 0$ to first order in s

\Rightarrow classical level of effective theory suffices.

- extremum of the classical action: $U = 1$

$$S_{\text{QCD}}^1 = \int dx F^2 B (m_u + m_d)$$

- comparison with

$$S_{\text{QCD}}^1 = - \int dx \langle 0 | m_u \bar{u}u + m_d \bar{d}d | 0 \rangle \text{ yields}$$

$$\boxed{\langle 0 | \bar{u}u | 0 \rangle = \langle 0 | \bar{d}d | 0 \rangle = -F^2 B} \quad (1)$$

C. Evaluation of M_π at tree level

- In classical theory, the square of the mass is the coefficient of the term in the Lagrangian that is quadratic in the meson field:

$$\begin{aligned}\frac{F^2}{4}\langle\chi U^\dagger + U\chi^\dagger\rangle &= \frac{F^2 B}{2}\langle m(U^\dagger + U)\rangle \\ &= F^2 B(m_u + m_d)\left\{1 - \frac{\vec{\pi}^2}{2F^2} + \dots\right\}\end{aligned}$$

Hence
$$\boxed{M_\pi^2 = (m_u + m_d)B} \quad (2)$$

- Tree level result for F_π :

$$\boxed{F_\pi = F} \quad (3)$$

- (1) + (2) + (3) \Rightarrow GMOR relation:

$$\boxed{M_\pi^2 = \frac{(m_u + m_d) |\langle 0 | \bar{u}u | 0 \rangle|}{F_\pi^2}}$$

13. M_π beyond tree level

- The formula $M_\pi^2 = (m_u + m_d)B$ only holds at tree level, represents leading term in expansion of M_π^2 in powers of m_u, m_d
- Disregard isospin breaking: set $m_u = m_d = m$

A. M_π to 1 loop

- Claim: at next-to-leading order, the expansion of M_π^2 in powers of m contains a logarithm:

$$M_\pi^2 = M^2 - \frac{1}{2} \frac{M^4}{(4\pi F)^2} \ln \frac{\Lambda_3^2}{M^2} + O(M^6)$$

$$M^2 \equiv 2mB$$

- Proof: Pion mass \Leftrightarrow pole position, for instance in the Fourier transform of $\langle 0 | T A_a^\mu(x) A_b^\nu(y) | 0 \rangle$
Suffices to work out the perturbation series for this object to one loop of the effective theory

- Result

$$M_\pi^2 = M^2 + \frac{2\ell_3 M^4}{F^2} + \frac{M^2}{2F^2} \frac{1}{i} \Delta(0, M^2) + O(M^6)$$

$\Delta(0, M^2)$ is the propagator at the origin

$$\begin{aligned} \Delta(0, M^2) &= \frac{1}{(2\pi)^d} \int \frac{d^d p}{M^2 - p^2 - i\epsilon} \\ &= i(4\pi)^{-d/2} \Gamma(1 - d/2) M^{d-2} \end{aligned}$$

- Contains a pole at $d = 4$:

$$\Gamma(1 - d/2) = \frac{2}{d - 4} + \dots$$

- Divergent part is proportional to M^2 :

$$\begin{aligned} M^{d-2} &= M^2 \mu^{d-4} (M/\mu)^{d-4} = M^2 \mu^{d-4} e^{(d-4) \ln(M/\mu)} \\ &= M^2 \mu^{d-4} \{1 + (d-4) \ln(M/\mu) + \dots\} \end{aligned}$$

- Denote the singular factor by

$$\begin{aligned} \lambda &\equiv \frac{1}{2} (4\pi)^{-d/2} \Gamma(1 - d/2) \mu^{d-4} \\ &= \frac{\mu^{d-4}}{16\pi^2} \left\{ \frac{1}{d-4} - \frac{1}{2} (\ln 4\pi + \Gamma'(1) + 1) + O(d-4) \right\} \end{aligned}$$

- The propagator at the origin then becomes

$$\frac{1}{i}\Delta(0, M^2) = M^2 \left\{ 2\lambda + \frac{1}{16\pi^2} \ln \frac{M^2}{\mu^2} + O(d-4) \right\}$$

- In the expression for M_π^2

$$M_\pi^2 = M^2 + \frac{2\ell_3 M^4}{F^2} + \frac{M^2}{2F^2} \frac{1}{i}\Delta(0, M^2) + O(M^6)$$

the divergence can be absorbed in ℓ_3 :

$$\ell_3 = -\frac{1}{2}\lambda + \ell_3^{\text{ren}}$$

- ℓ_3^{ren} depends on the renormalization scale μ

$$\ell_3^{\text{ren}} = \frac{1}{64\pi^2} \ln \frac{\mu^2}{\Lambda_3^2} \quad \text{running coupling constant}$$

- Λ_3 is the ren. group invariant scale of ℓ_3

Net result for M_π^2

$$M_\pi^2 = M^2 - \frac{1}{2} \frac{M^4}{(4\pi F)^2} \ln \frac{\Lambda_3^2}{M^2} + O(M^6)$$

$\Rightarrow M_\pi^2$ contains a chiral logarithm at NLO

- Crude estimate for Λ_3 , based on SU(3) mass formulae for the pseudoscalar octet:

$$0.2 \text{ GeV} < \Lambda_3 < 2 \text{ GeV}$$

$$\bar{\ell}_3 \equiv \ln \frac{\Lambda_3^2}{M_\pi^2} = 2.9 \pm 2.4$$

Gasser & L. 1984

⇒ Next-to-leading term is small correction:

$$0.005 < \frac{1}{2} \frac{M_\pi^2}{(4\pi F_\pi)^2} \ln \frac{\Lambda_3^2}{M_\pi^2} < 0.04$$

- Scale of the expansion is set by size of pion mass in units of decay constant:

$$\frac{M^2}{(4\pi F)^2} \simeq \frac{M_\pi^2}{(4\pi F_\pi)^2} = 0.0144$$

B. M_π to 2 loops

- Terms of order m_{quark}^3 :

$$M_\pi^2 = M^2 - \frac{1}{2} \frac{M^4}{(4\pi F)^2} \ln \frac{\Lambda_3^2}{M^2} + \frac{17}{18} \frac{M^6}{(4\pi F)^4} \left(\ln \frac{\Lambda_M^2}{M^2} \right)^2 + k_M M^6 + O(M^8)$$

F is pion decay constant for $m_u = m_d = 0$

ChPT to two loops

Colangelo 1995

- Coefficients $\frac{1}{2}$ and $\frac{17}{18}$ determined by symmetry
- Λ_3, Λ_M and $k_M \iff$ coupling constants in \mathcal{L}_{eff}

14. F_π to one loop

- Also contains a logarithm at NLO:

$$F_\pi = F \left\{ 1 - \frac{M^2}{16\pi^2 F^2} \ln \frac{M^2}{\Lambda_4^2} + O(M^4) \right\}$$
$$M_\pi^2 = M^2 \left\{ 1 + \frac{M^2}{32\pi^2 F^2} \ln \frac{M^2}{\Lambda_3^2} + O(M^4) \right\}$$

F is pion decay constant in limit $m_u, m_d \rightarrow 0$

- Structure is the same, coefficients and scale of logarithm are different

- Low energy theorem: Λ_4 also determines the slope of the scalar form factor to leading order

$$\langle r^2 \rangle_s = \frac{6}{(4\pi F)^2} \left\{ \ln \frac{\Lambda_4^2}{M_\pi^2} - \frac{13}{12} + O(M^2) \right\}$$

- Scalar form factor of the pion can be calculated by means of dispersion theory
- Result for the slope:

$$\langle r^2 \rangle_s = 0.61 \pm 0.04 \text{ fm}^2$$

Colangelo, Gasser & L. Nucl. Phys. 2001

⇒ Corresponding value of the scale Λ_4 :

$$\Lambda_4 = 1.26 \pm 0.14 \text{ GeV}$$

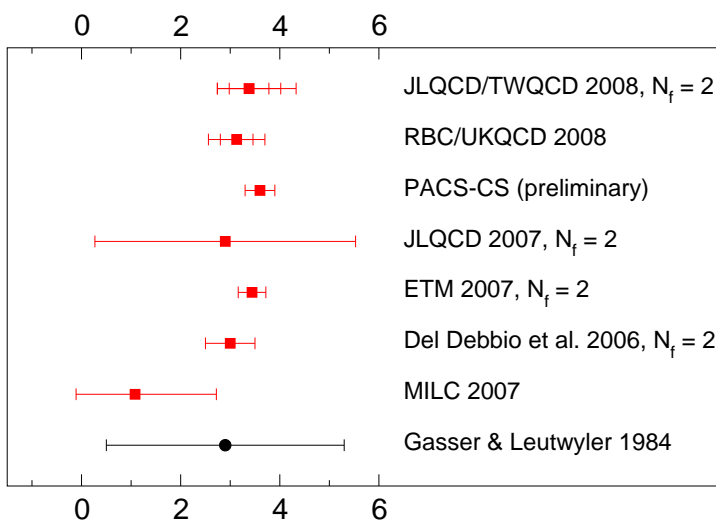
15. Lattice results for M_π, F_π

A. Results for M_π

- Determine the scale Λ_3 by comparing the lattice results for M_π as function of m with the χ PT formula

$$M_\pi^2 = M^2 - \frac{1}{2} \frac{M^4}{(4\pi F)^2} \ln \frac{\Lambda_3^2}{M^2} + O(M^6)$$

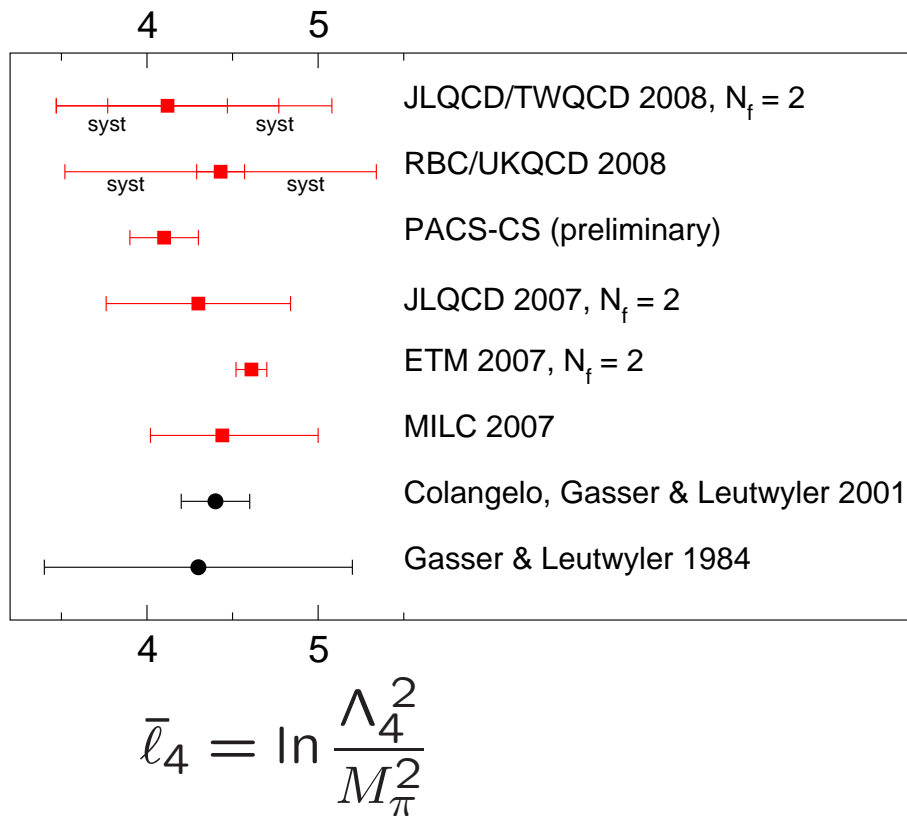
$$M^2 \equiv 2Bm$$



Horizontal axis shows the value of $\bar{\ell}_3 \equiv \ln \frac{\Lambda_3^2}{M_\pi^2}$

Range for Λ_3 obtained in 1984 corresponds to $\bar{\ell}_3 = 2.9 \pm 2.4$

Result of RBC/UKQCD 2008: $\bar{\ell}_3 = 3.13 \pm 0.33_{stat} \pm 0.24_{sys}$



- Lattice results beautifully confirm the prediction for the sensitivity of F_π to m_u, m_d :

$$\frac{F_\pi}{F} = 1.072 \pm 0.007 \quad \text{Colangelo and Dürr 2004}$$

16. $\pi\pi$ scattering

A. Low energy scattering of pions

- Consider scattering of pions with $\vec{p} = 0$
 - At $\vec{p} = 0$, only the S-waves survive (angular momentum barrier). Moreover, these reduce to the scattering lengths
 - Bose statistics: S-waves cannot have $I = 1$, either have $I = 0$ or $I = 2$
- \Rightarrow At $\vec{p} = 0$, the $\pi\pi$ scattering amplitude is characterized by two constants: a_0^0, a_0^2
- Chiral symmetry suppresses the interaction at low energy: Goldstone bosons of zero momentum do not interact
- \Rightarrow a_0^0, a_0^2 disappear in the limit $m_u, m_d \rightarrow 0$
- \Rightarrow $a_0^0, a_0^2 \sim M_\pi^2$ measure symmetry breaking

B. Tree level of χ PT

- Low Energy theorem Weinberg 1966:

$$a_0^0 = \frac{7M_\pi^2}{32\pi F_\pi^2} + O(M_\pi^4)$$

$$a_0^2 = -\frac{M_\pi^2}{16\pi F_\pi^2} + O(M_\pi^4)$$

\Rightarrow Chiral symmetry predicts a_0^0, a_0^2 in terms of F_π

- Accuracy is limited: Low energy theorem only specifies the first term in the expansion in powers of the quark masses
Corrections from higher orders ?

C. Scattering lengths at 1 loop

- Next term in the chiral perturbation series:

$$a_0^0 = \frac{7M_\pi^2}{32\pi F_\pi^2} \left\{ 1 + \frac{9}{2} \frac{M_\pi^2}{(4\pi F_\pi)^2} \ln \frac{\Lambda_0^2}{M_\pi^2} + O(M_\pi^4) \right\}$$

- Coefficient of chiral logarithm unusually large
Strong, attractive final state interaction
- Scale Λ_0 is determined by the coupling constants of $\mathcal{L}_{eff}^{(4)}$:

$$\frac{9}{2} \ln \frac{\Lambda_0^2}{M_\pi^2} = \frac{20}{21} \bar{\ell}_1 + \frac{40}{21} \bar{\ell}_2 - \frac{5}{14} \bar{\ell}_3 + 2\bar{\ell}_4 + \frac{5}{2}$$

- Information about $\bar{\ell}_1, \dots, \bar{\ell}_4$?

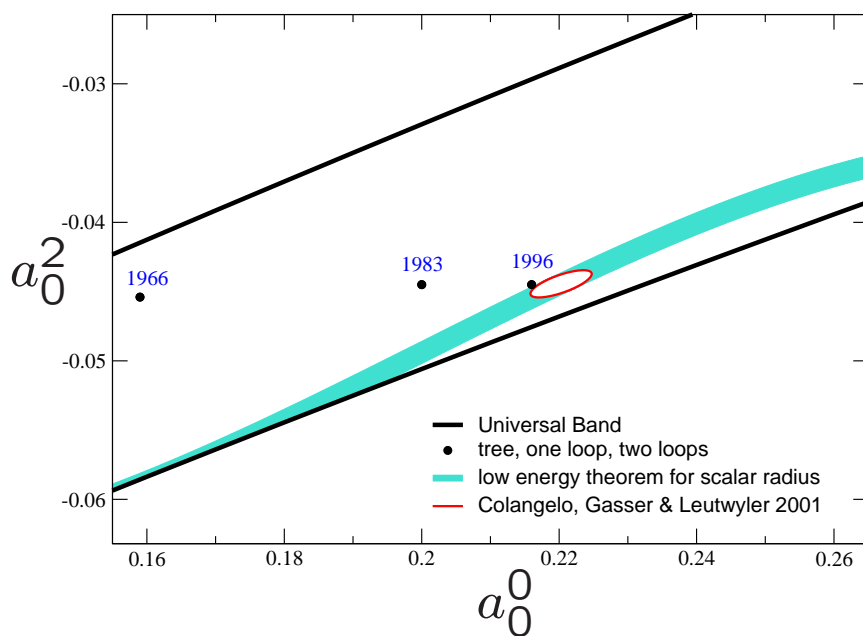
$\bar{\ell}_1, \bar{\ell}_2 \iff$	momentum dependence of scattering amplitude
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\Rightarrow Can be determined phenomenologically

$\bar{\ell}_3, \bar{\ell}_4 \iff$	dependence of scattering amplitude on quark masses
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Have discussed their values already

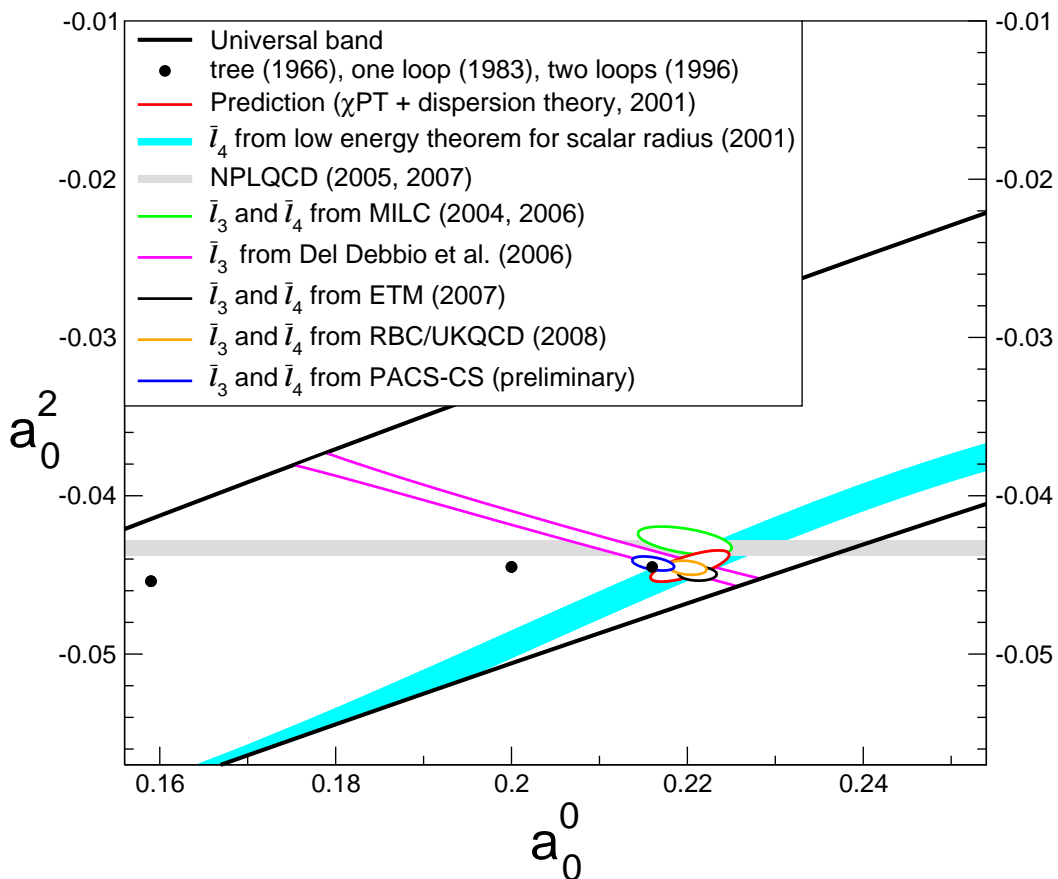
D. Numerical predictions from χ PT



Sizable corrections in a_0^0
 a_0^2 nearly stays put

E. Consequence of lattice results for l_3, l_4

- Uncertainty in prediction for a_0^0, a_0^2 is dominated by the uncertainty in the effective coupling constants l_3, l_4
- Can make use of the lattice results for these



F. Experiments concerning a_0^0, a_0^2

- Production experiments $\pi N \rightarrow \pi\pi N$,
 $\psi \rightarrow \pi\pi\omega$, $B \rightarrow D\pi\pi$, . . .

Problem: pions are not produced in vacuo

⇒ Extraction of $\pi\pi$ scattering amplitude is not simple

Accuracy rather limited

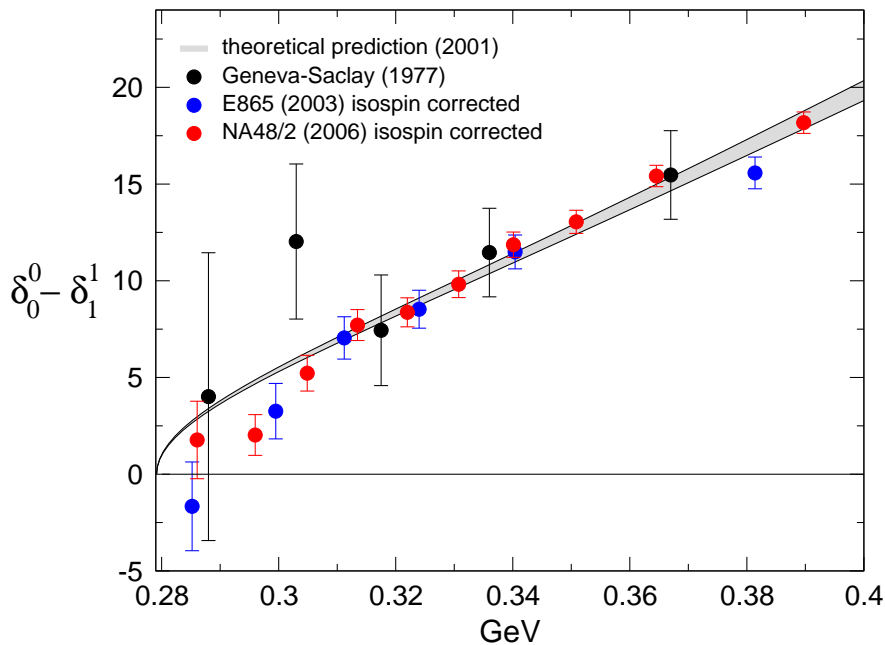
- $K^\pm \rightarrow \pi^+\pi^-e^\pm\nu$ data:
CERN-Saclay, E865, NA48/2
- $K^\pm \rightarrow \pi^0\pi^0\pi^\pm$, $K^0 \rightarrow \pi^0\pi^0\pi^0$: cusp near threshold, NA48/2
- $\pi^+\pi^-$ atoms, DIRAC

G. Results from K_{e4} decay

$$K^\pm \rightarrow \pi^+ \pi^- e^\pm \nu$$

- Allows clean measurement of $\delta_0^0 - \delta_1^1$

Theory predicts $\delta_0^0 - \delta_1^1$ as function of energy



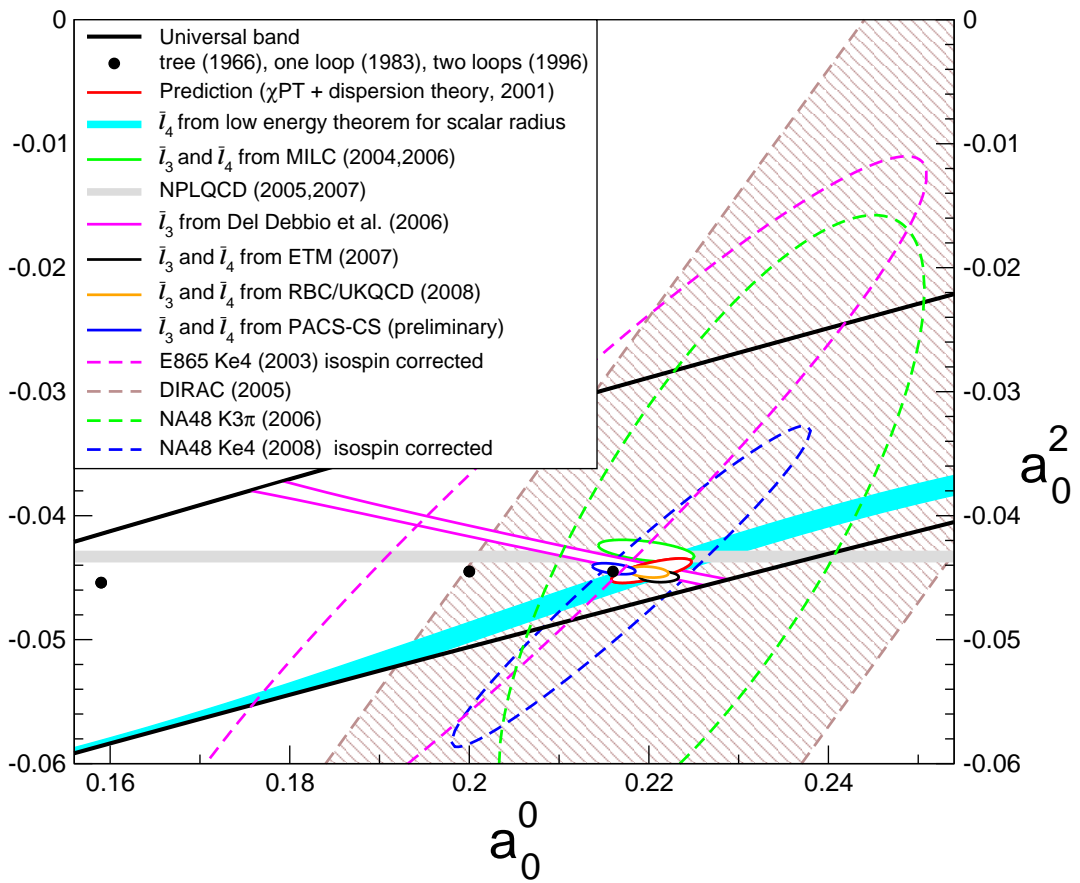
There was a discrepancy here, because a pronounced isospin breaking effect from

$$K \rightarrow \pi^0 \pi^0 e \nu \rightarrow \pi^+ \pi^- e \nu$$

had not been accounted for in the data analysis

⇒ Colangelo, Gasser, Rusetsky, arXiv:0811.0775

H. Summary for a_0^0, a_0^2



17. Conclusions for $SU(2) \times SU(2)$

- Expansion in powers of m_u, m_d yields a very accurate low energy representation of QCD
- Lattice results confirm the GMOR relation
- ⇒ M_π is dominated by the contribution from the quark condensate
- ⇒ Energy gap of QCD is understood very well
- Lattice approach allows an accurate measurement of the effective coupling constant l_3 already now
- Even for l_4 , the lattice starts becoming competitive with dispersion theory

18. Expansion in powers of m_s

- Theoretical reasoning
 - The eightfold way is an approximate symmetry
 - The only coherent way to understand this within QCD: $m_s - m_d$, $m_d - m_u$ can be treated as perturbations
 - Since $m_u, m_d \ll m_s$
 - ⇒ m_s can be treated as a perturbation
 - ⇒ Expect expansion in powers of m_s to work, but convergence to be comparatively slow
- This can now also be checked on the lattice

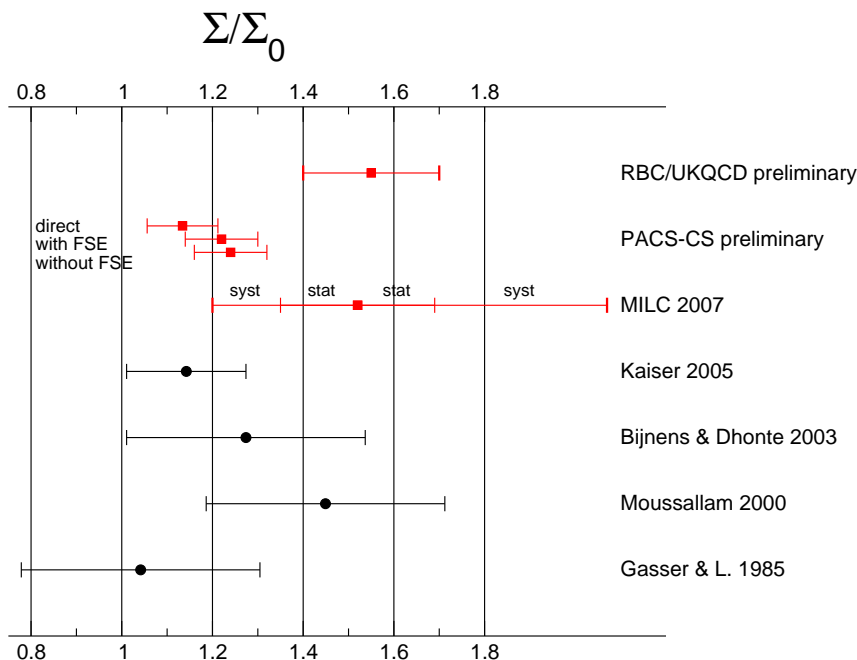
- Consider the limit $m_u, m_d \rightarrow 0$, m_s physical
 - F is value of F_π in this limit
 - Σ is value of $|\langle 0 | \bar{u}u | 0 \rangle|$ in this limit
 - B is value of $M_\pi^2 / (m_u + m_d)$ in this limit
- Exact relation: $\Sigma = F^2 B$
- F_0, B_0, Σ_0 : values for $m_u = m_d = m_s = 0$
- *Paramagnetic inequalities*: both F and Σ should decrease if m_s is taken smaller

$$F > F_0, \Sigma > \Sigma_0$$

Jan Stern et al. 2000

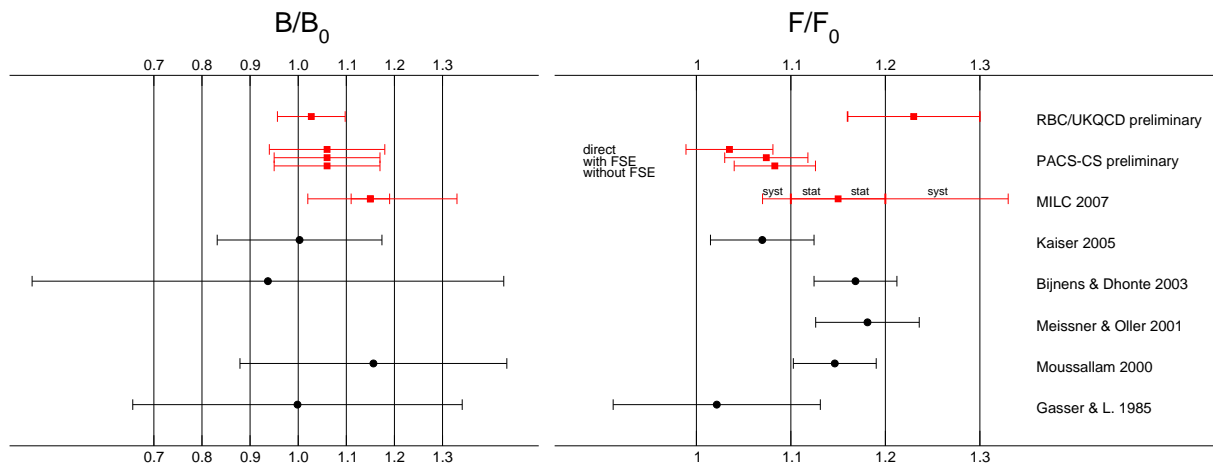
- $N_c \rightarrow \infty$: F, Σ, B become independent of m_s
- $\Rightarrow (F/F_0 - 1), (\Sigma/\Sigma_0 - 1), (B/B_0 - 1)$
violate the OZI rule

A. Condensate



- Central values of RBC/UKQCD and PACS-CS for Σ/Σ_0 lead to qualitatively different conclusions concerning OZI-violations
- ⇒ Discrepancy indicates large systematic errors
- The lattice results confirm the parametric inequalities, but do not yet allow to draw conclusions about the size of the OZI-violations

B. Results for B , F



- Results for B are coherent, indicate small OZI-violations in B
- $\Rightarrow F$ is the crucial factor in $\Sigma = F^2 B$
- Note: most of the numbers quoted are preliminary, errors purely statistical, continuum limit, finite size effects, ...

C. Expansion to NLO

Involves the effective coupling constants L_4 and L_6 of the $SU(3) \times SU(3)$ Lagrangian:

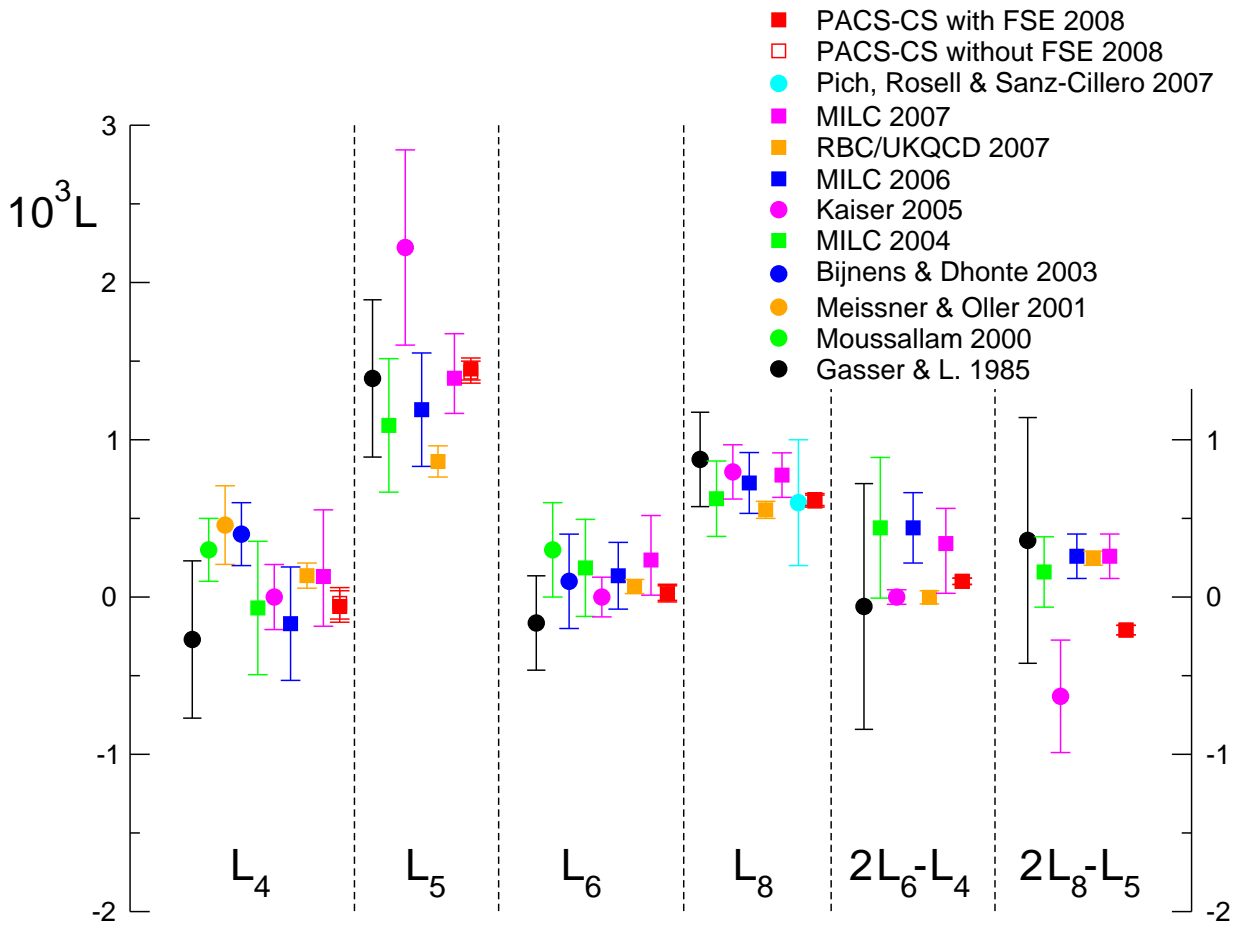
$$F/F_0 = 1 + \frac{8\bar{M}_K^2}{F_0^2} L_4 + \chi \log + \dots$$

$$\Sigma/\Sigma_0 = 1 + \frac{32\bar{M}_K^2}{F_0^2} L_6 + \chi \log + \dots$$

$$B/B_0 = 1 + \frac{16\bar{M}_K^2}{F_0^2} (2L_6 - L_4) + \chi \log + \dots$$

\bar{M}_K is the kaon mass for $m_u = m_d = 0$.

D. Running coupling constants L_4, L_5, L_6, L_8



Numerical values shown refer to running scale $\mu = M_\rho$

19. Conclusions for $SU(3) \times SU(3)$

- In $B/B_0 \leftrightarrow 2L_6 - L_4$, the available lattice results indicate little if any violations of the OZI rule
- For F/F_0 (and hence Σ/Σ_0), the situation is not conclusive: some of the data indicate very juicy OZI-violations, others are consistent with $F/F_0 \simeq \Sigma/\Sigma_0 \simeq 1$
- If the central value $F/F_0 = 1.23$ of RBC/UKQCD were confirmed within small uncertainties, we would be faced with a qualitative puzzle:
 - F_π is the pion wave function at the origin
 - F_K is larger because one of the two valence quarks is heavier \rightarrow moves more slowly \rightarrow wave function more narrow \rightarrow higher at the origin: $F_K/F_\pi \simeq 1.19$
 - $F/F_0 = 1.23$ indicates that the wave function is more sensitive to the mass of the sea quarks than to the mass of the valence quarks . . . very strange \rightarrow most interesting if true

- The PACS-CS results are consistent with our old estimates. Only show modest violations of the OZI rule. If these results are confirmed, then the picture looks very coherent, also for $SU(3) \times SU(3)$.

Exercises

1. Evaluate the positive frequency part of the massless propagator

$$\Delta^+(z, 0) = \frac{i}{(2\pi)^3} \int \frac{d^3k}{2k^0} e^{-ikz}, \quad k^0 = |\vec{k}|$$

for $\text{Im } z^0 < 0$. Show that the result can be represented as

$$\Delta^+(z, 0) = \frac{1}{4\pi i z^2}$$

2. Evaluate the d -dimensional propagator

$$\Delta(z, M) = \int \frac{d^d k}{(2\pi)^d} \frac{e^{-ikz}}{M^2 - k^2 - i\epsilon}$$

at the origin and verify the representation

$$\Delta(0, M) = \frac{i}{4\pi} \Gamma\left(1 - \frac{d}{2}\right) \left(\frac{M^2}{4\pi}\right)^{\frac{d}{2}-1}$$

How does this expression behave when $d \rightarrow 4$?

3. Leading order effective Lagrangian:

$$\begin{aligned}\mathcal{L}^{(2)} &= \frac{F^2}{4} \langle D_\mu U D^\mu U^\dagger + \chi U^\dagger + U \chi^\dagger \rangle + h_0 D_\mu \theta D^\mu \theta \\ D_\mu U &= \partial_\mu U - i(v_\mu + a_\mu)U + iU(v_\mu - a_\mu) \\ \chi &= 2B(s + ip) \\ D_\mu \theta &= \partial_\mu \theta + 2\langle a_\mu \rangle \\ \langle X \rangle &= \text{tr} X\end{aligned}$$

- Take the space-time independent part of the external field $s(x)$ to be isospin symmetric (i. e. set $m_u = m_d = m$):

$$s(x) = m \mathbf{1} + \tilde{s}(x)$$

- Expand $U = \exp i\phi/F$ in powers of $\phi = \vec{\phi} \cdot \vec{\tau}$ and check that, in this normalization of the field ϕ , the kinetic part takes the standard form

$$\mathcal{L}^{(2)} = \frac{1}{2} \partial_\mu \vec{\phi} \cdot \partial^\mu \vec{\phi} - \frac{1}{2} M^2 \vec{\phi}^2 + \dots$$

with $M^2 = 2mB$.

- Draw the graphs for all of the interaction vertices containing up to four of the fields $\phi, v_\mu, a_\mu, \tilde{s}, p, \theta$.

4. Show that the classical field theory belonging to the QCD Lagrangian in the presence of external fields is invariant under

$$\begin{aligned}
v'_\mu + a'_\mu &= V_R(v_\mu + a_\mu)V_R^\dagger - i\partial_\mu V_R V_R^\dagger \\
v'_\mu - a'_\mu &= V_L(v_\mu - a_\mu)V_L^\dagger - i\partial_\mu V_L V_L^\dagger \\
s' + ip' &= V_R(s + ip)V_L^\dagger \\
q'_R &= V_R q_R(x) \\
q'_L &= V_L q_L
\end{aligned}$$

where V_R, V_L are space-time dependent elements of $U(3)$.

5. Evaluate the pion mass to NLO of χ PT. Draw the relevant graphs and verify the representation

$$M_\pi^2 = M^2 + \frac{2\ell_3 M^4}{F^2} + \frac{M^2}{2F^2} \frac{1}{i} \Delta(0, M^2) + O(M^6)$$

6. Start from the symmetry property of the generating functional,

$$S_{\text{QCD}}\{v', a', s', p', \theta'\} = S_{\text{QCD}}\{v, a, s, p, \theta\} - \int dx \langle \beta \Omega \rangle,$$

and show that this relation in particular implies the Ward identity

$$\begin{aligned}
\partial_\mu^x \langle 0 | T A_a^\mu(x) P_b(y) | 0 \rangle &= -\frac{1}{4} i \delta(x-y) \langle 0 | \bar{q} \{ \lambda_a, \lambda_b \} q | 0 \rangle \\
&\quad + \langle 0 | T \bar{q}(x) i \gamma_5 \{ m, \frac{1}{2} \lambda_a \} q(x) P_b(y) | 0 \rangle \\
a &= 1, \dots, 8, \quad b = 0, \dots, 8
\end{aligned}$$

7. What is the Ward identity obeyed by the singlet axial current,

$$\partial_\mu^x \langle 0 | T A_0^\mu(x) P_b(y) | 0 \rangle = ?$$